

# Strong Hadron Cooling for the Electron Ion Collider

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March 8th, 2024

FRIB ACCELERATOR PHYSICS AND ENGINEERING SEMINARS

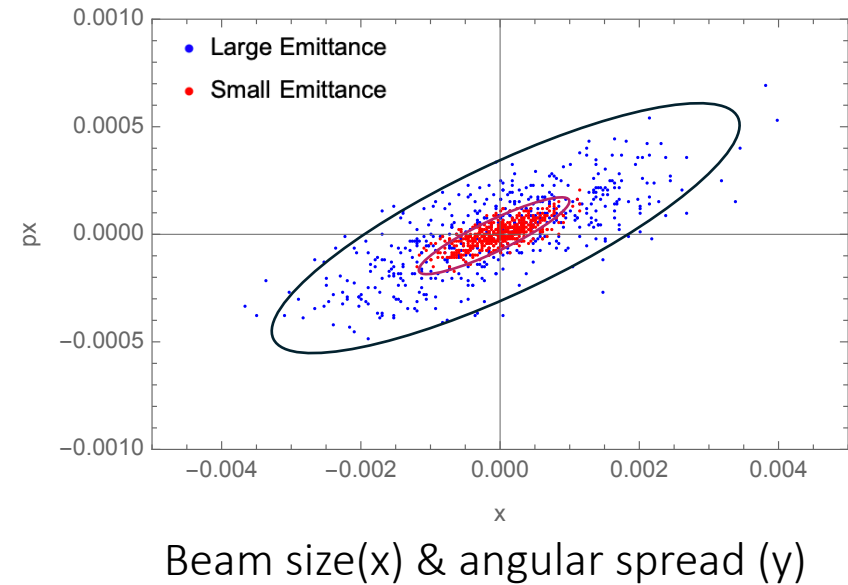
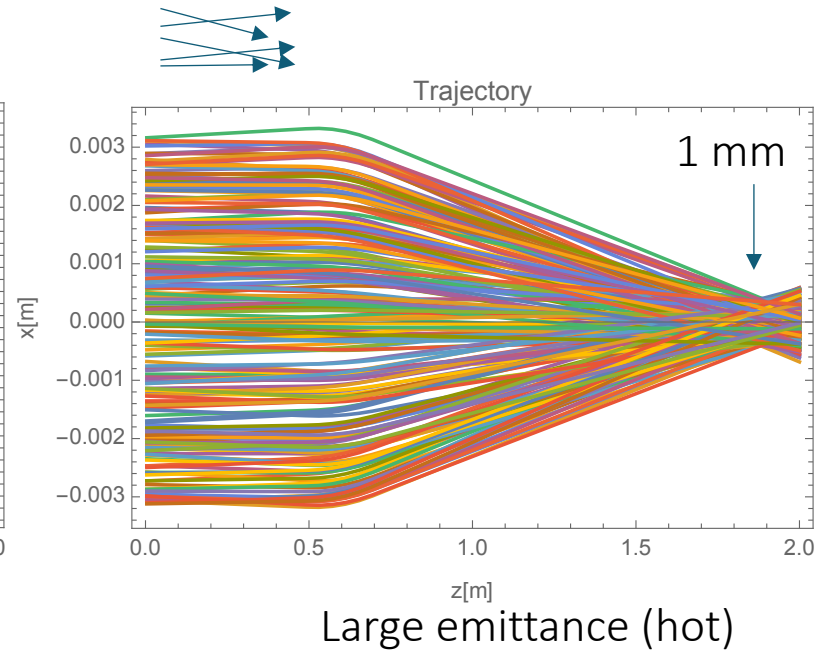
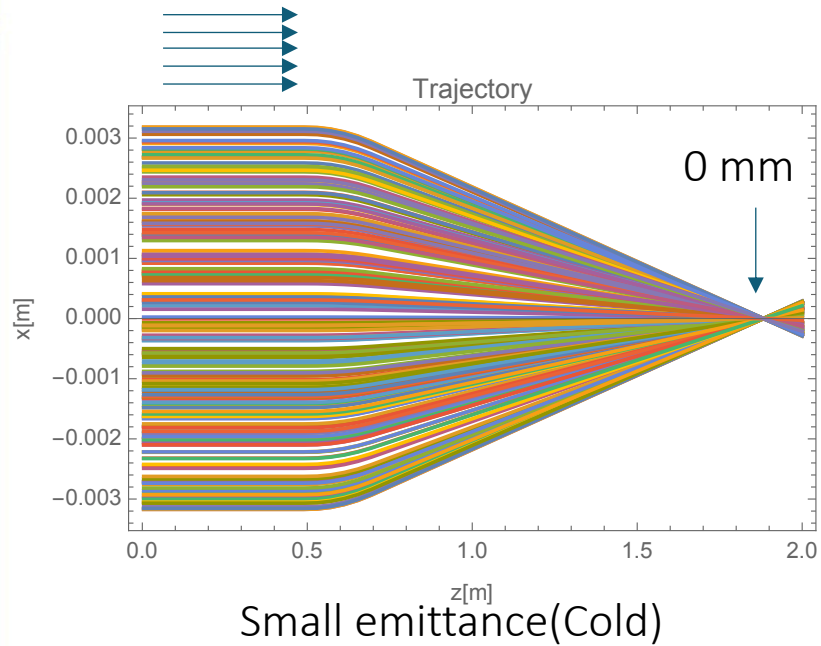


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# Outline

- Introduction of heating and cooling mechanism
- CeC mechanism
- EIC strong hadron cooling
- Selected design considerations and challenges
- Design progress
- Summary

# Hot vs cold beam



Emittance:

the density-weighted area in the projected phase space of the beam  $(x-p_x)$ ,  $(y-p_y)$  and  $(z-p_z)$

Unfortunately, Cold beam always *warms up*

*Transverse emittance*    *Longitudinal emittance*

# What is beam cooling

- Cooling is a **reduction** of the 6D phase space, occupied by the beam with the same number of particles.

Reduce phase space by non-cooling method:

- Beam scraping: Removing particles with large action
- Accelerating beam
- Coupling between degrees of freedom may lead to a reduction of phase-space.

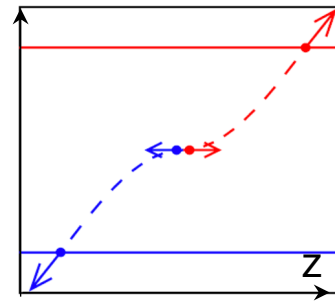
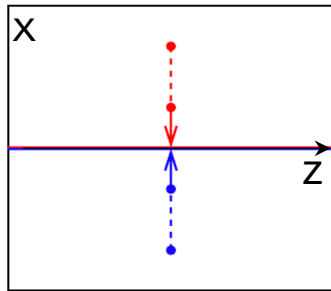
# Why cool beams?

Particle accelerators create a beam with virtually limitless energy in longitudinal direction. This energy can couple randomly and coherently to other directions by various processes, such as:

- Scattering: *intra-beam, beam-beam*
- Mismatch;
- Wake fields;
- Space-charge effects;

# Heating mechanism I: Intra Beam Scattering

- Intra Beam Scattering is caused by Coulomb scattering of high energy particles inside a bunch
- If the particles scatter from the transverse in the longitudinal direction, the momentum transfer as observed in the laboratory frame gets Lorentz boosted by a factor  $\gamma$



- This causes a widening of the energy distribution in the beam: **longitudinal emittance growth**.
- A particle, which experiences a jump in its momentum will oscillate around an equilibrium orbit according to its new momentum. The old and new orbit differ by the dispersion at the location of the scattering: **transverse emittance growth**



$$x_{\beta} \rightarrow x_{\beta} - D\Delta p/p$$

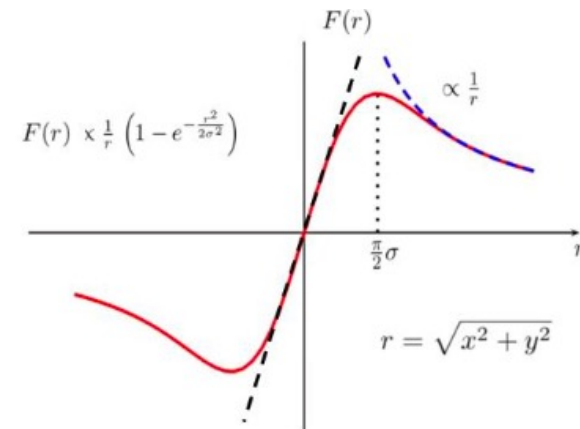
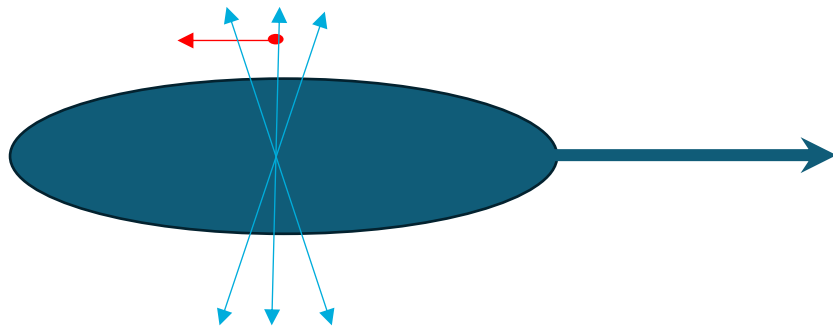
$$x'_{\beta} \rightarrow x'_{\beta} - D'\Delta p/p$$

Effect was discovered by A. Piwinski (1974)  
Verified in the Cern-SPS collider (1983)  
2017 Wilson Prize together with Bjorken and Mtingwa

# Heating mechanism II: Beam-beam

When two bunches of charged particles collide, particles from one bunch will feel the electrical and magnetic fields of the opposite bunch.

- For charged particles with  $\gamma \gg 1$ , their electrical and magnetic fields will shrink into a thin disc perpendicular to its velocity.
- When electrons and ions collide at the collision point, the linear beam-beam force acts as a focusing mechanism.
- The presence of ripple in the electron beam can induce changes in the beam-beam force exerted on the hadron beam, thereby resulting in the **growth of hadron beam emittance** or **coupling in different planes**.



# Hadron Cooling Mechanisms

- Radiation damping: particles emit synchrotron radiation which gives rise to radiation damping, Effective for light particles at high energy such as electrons. However, hadrons with mass  $>2000$  times large than the electron mass do not radiate sufficiently.
- There are two main extra mechanisms
  - **Electron Cooling** (Budker ,1966):  
Transfer of momentum to light particles (electrons) by scattering
  - **Stochastic cooling**( Van der Meer, Nobel Prize, 1984)  
The fluctuations of the average beam position can be detected with pickup electrodes, amplify the signal, and place a corresponding correction.

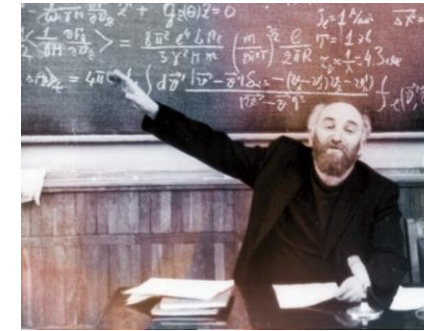


# Electron Cooling

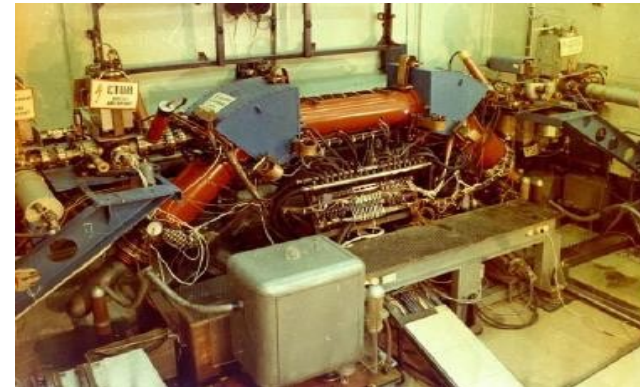
- Electron cooling process is thermalization of two component plasma.
- Hadron and electrons propagate in the same beam pipe with the same velocity.
- Transfer of energy from "hot" hadrons to "cold" electrons by scattering until equilibrium between electron and hadron temperature is reached.

$$\theta_i = \sqrt{\frac{m_e}{m_i}} \theta_e$$

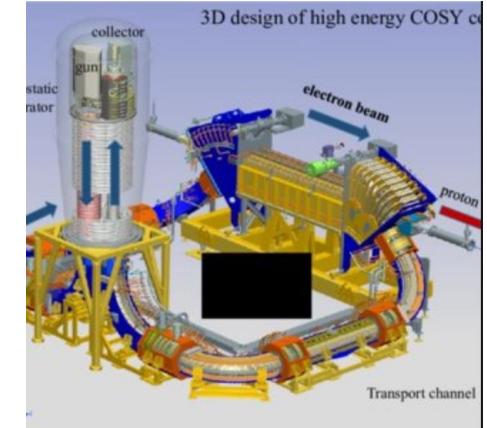
- Always needs to generate new, cold electron beam.



Invented and developed at BINP



NAP-M storage ring cooler  
(Novosibirsk, 1974)



DC cooler for the  
COSY proton  
synchrotron KFZ  
Juelich

# Electron Cooler facilities

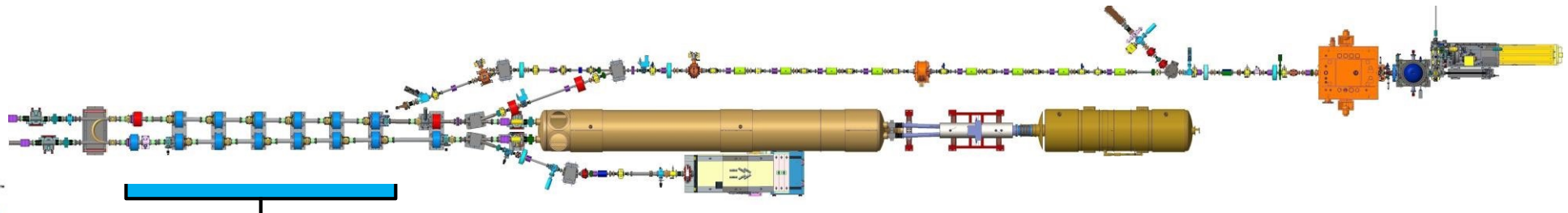
## *High Voltage DC coolers:*

Standard electron coolers (1974-2018): 10's of coolers were constructed and successfully operated– all DC electrostatic accelerators;

- FNAL Recycler cooler (2005-11) :4 MeV electrons, first relativistic electron cooler.

## *RF acceleration (High Energy approach):*

- Electron Cooling in RHIC (LReC): First RF-linac based electron cooler. Also, the first cooler without any magnetization of electrons (BNL, 2019-2023).



# Electron Cooling Challenges

**High energy Challenge:** Large proton energies up to 275 GeV

Electron cooling currents in the order of Ampere are required

- There is no electron source which can deliver CW high brightness electron currents ( $\epsilon_N \approx \mu\text{m}$ ,  $dE/E \approx 10^{-4}$ ) in the order of Ampere.
- The power needed to generate ampere beams of up to 150 MeV would exceed what can be considered reasonable by several orders of magnitude.

Superconducting Energy Recovery Linac state-of-the-art average current is  $\sim 20$  mA

Two Possibilities:

- **Recirculating cooler rings ERL** to reduce the source requirement. (in consideration)
- Cooling electron beam is provided by **storage ring** (alternative solution of EIC hadron cooler)

$$\tau^{-1} \propto \frac{1}{\gamma^2} \frac{Ne}{\epsilon_{xn} \epsilon_{yn} \sigma_z \sigma_\delta} L$$

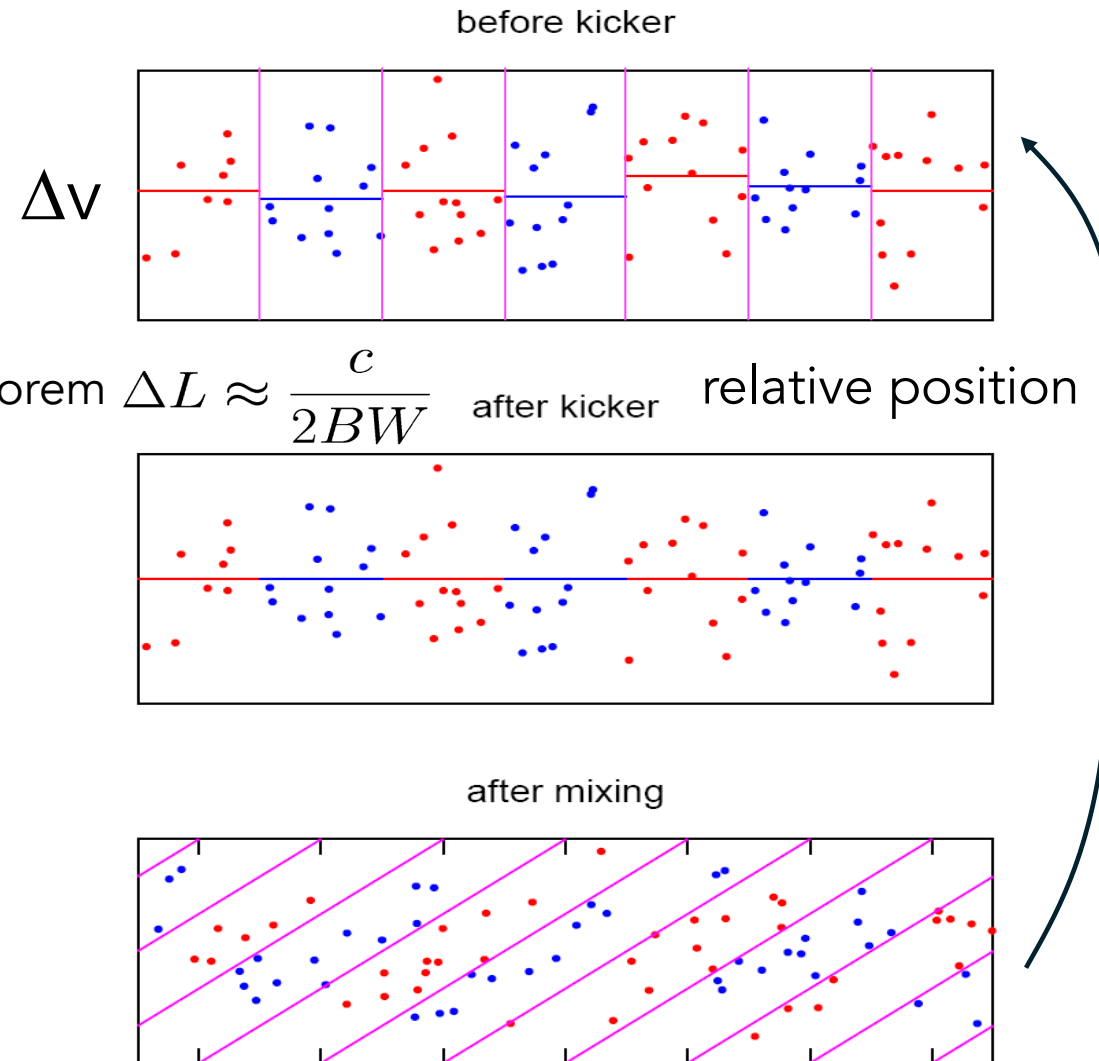
$$\gamma_{eic} = 194$$

$$\gamma_{fnal} = 8.8$$

$$\gamma_{leref} = 4.9$$

# Stochastic Cooling basic idea

- Pickup gets an average velocity error in the sample-each slice.
- With a finite number of particles, the sliced average energy will rarely equal to average from each sample.
- Subtracts the average from each sample.
- The spread is reduced!
- Longitudinal slip mixes into new samples
- Into next iteration



# Stochastic Cooling Rate

Measure the average sample error and apply a correcting kick, proportional to average sample error:

$$\begin{aligned} - \Delta x &= g \times \langle x \rangle_s \\ - \Delta x &= \frac{g}{N_s} \sum_{i=1}^{N_s} x_i \end{aligned}$$

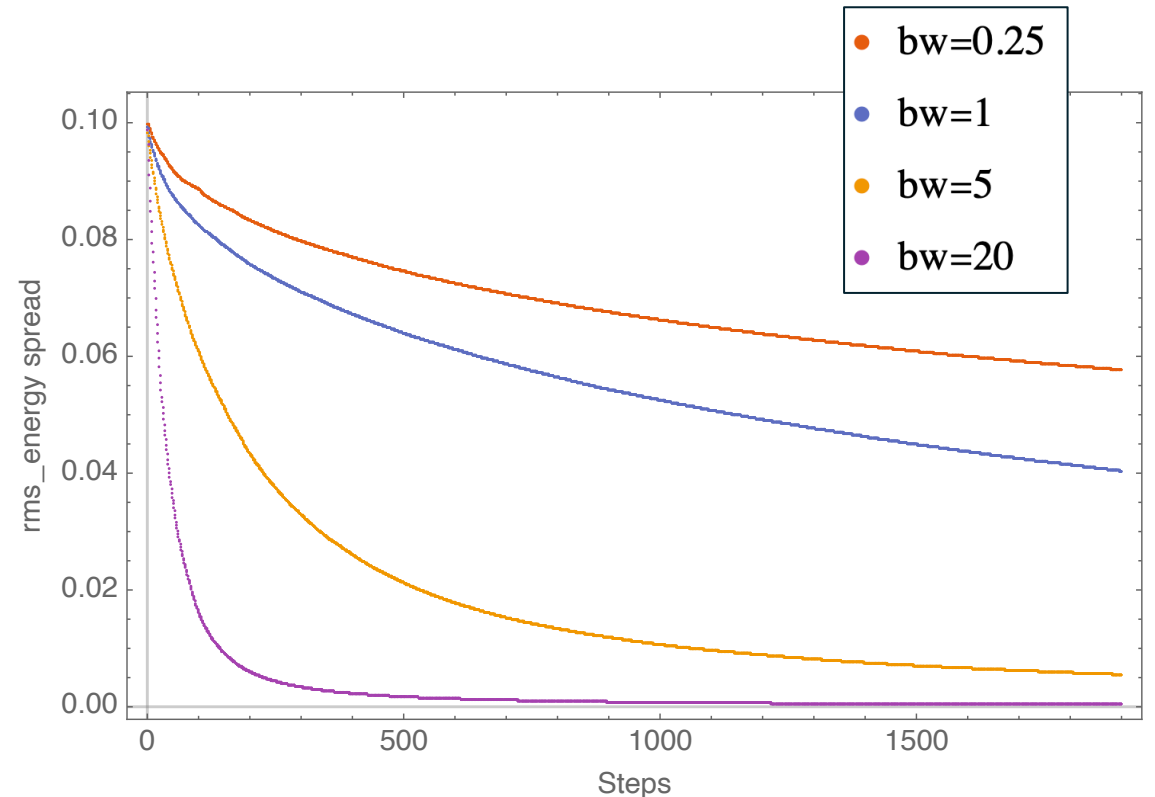
Write number of particles in a sample in term of bandwidth

$$N_s = N \frac{\Delta T}{T_0} = \frac{N}{2BW T_0}$$

Cooling rate per turn

$$\tau^{-1} = T_0^{-1} \frac{\Delta x}{x} = g \frac{2BW}{N}$$

The larger the bandwidth, the higher the cooling rate

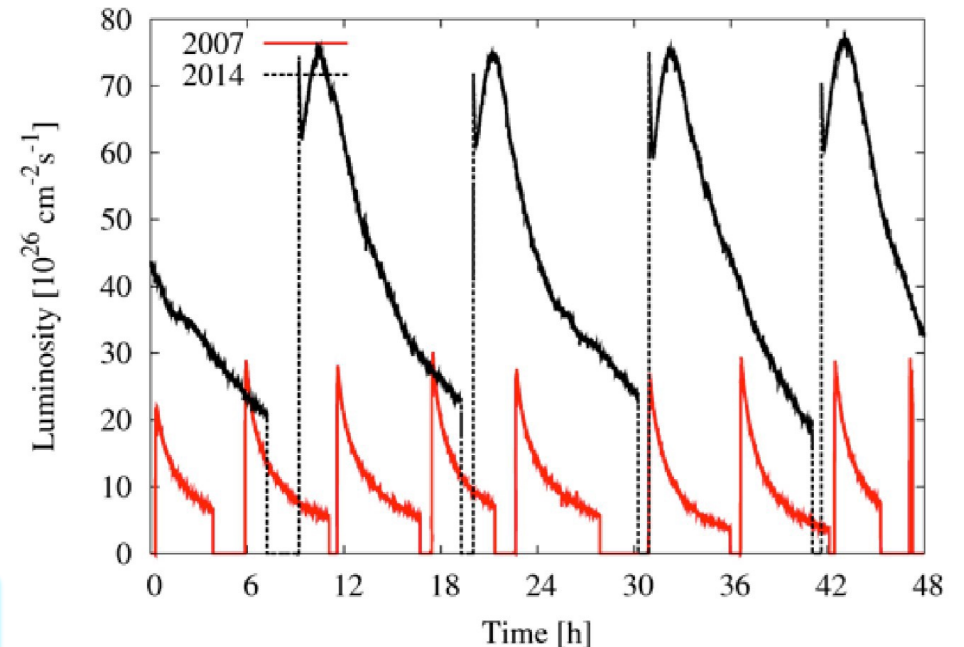


# Stochastic Cooling at RHIC

Successful cooling of bunched Au beams for Au-Au collision in RHIC for 23 GeV-100 GeV Au beams

- Achieved bandwidth of 3 GHz
- **Cooling reduces the beam emittance initially during the collision run**

If the EIC SHC concept and design is not matured, then the existing stochastic cooling capability will be upgraded for hadron beam cooling (not proton).



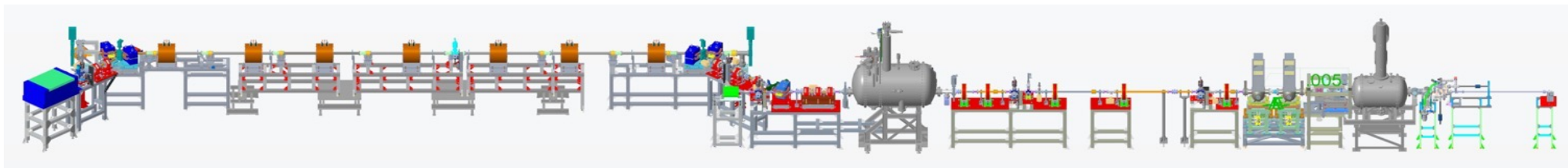
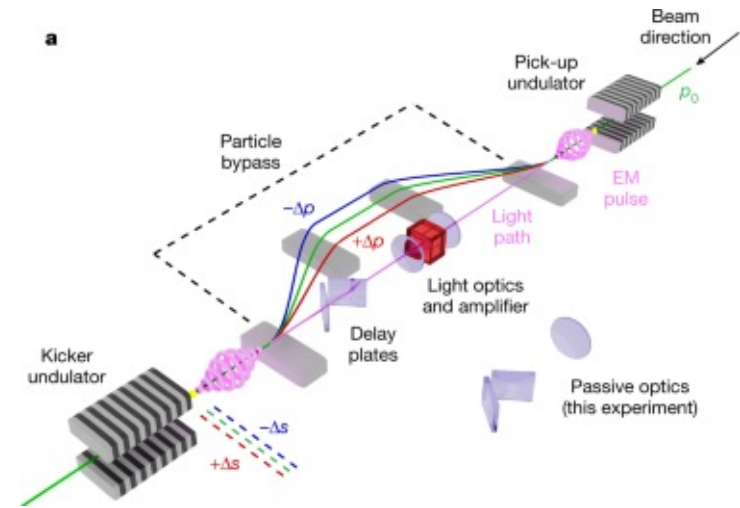
# Types of stochastic Cooling

- Conventional microwave (GHz-range bandwidth)
- Optical phonons (10s -100 THz)

Jarvis, J., Lebedev, V., Romanov, A. *et al.* Experimental demonstration of optical stochastic cooling. *Nature* **608**, 287–292 (2022).

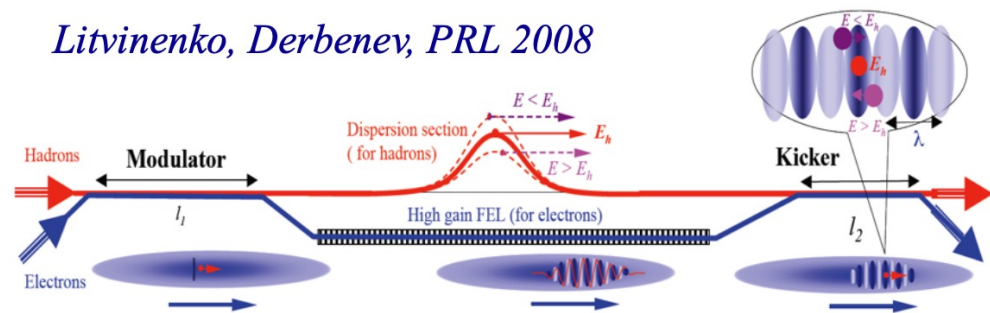
- **Coherent electron (use electron beam as an amplifier, 1-10s THz)**

Vladimir N. Litvinenko and Yaroslav S. Derbenev *Phys. Rev. Lett.* **102**, 114801



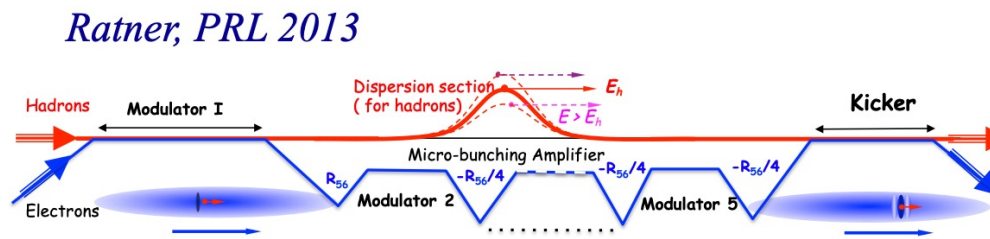
# Coherent Electron Cooling proposed schemes

Coherent electron cooling is a variant of the stochastic cooling, use electron beam as signal carrier, with the bandwidth range raised from ~GHz to tens of THz.



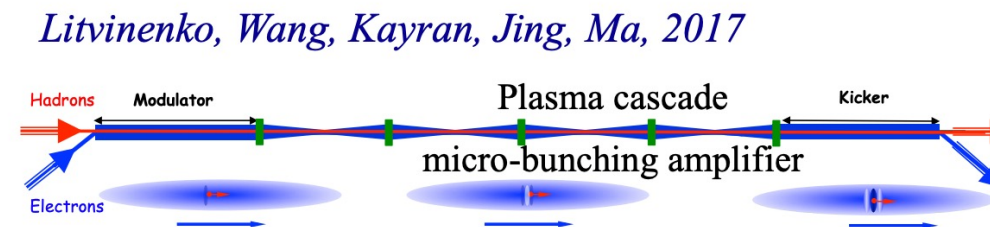
**High gain FEL amplifier**

2012-2018



**Multi-Chicane Microbunching amplifier**

EIC CDR



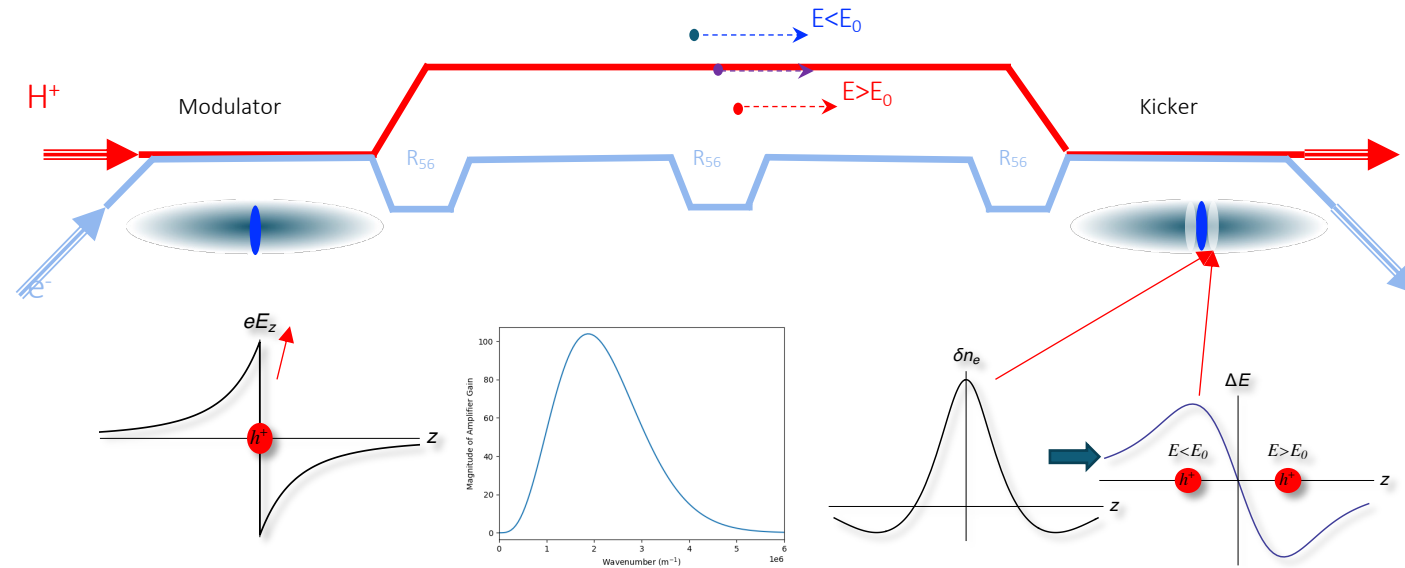
**Plasma-Cascade Microbunching amplifier**

Present test

There are several CeC schemes were proposed. The major difference is the amplification mechanism.



# Coherent Electron Cooling introduction



**Modulator:** density fluctuation in hadron beam causes energy modulation of e-beam

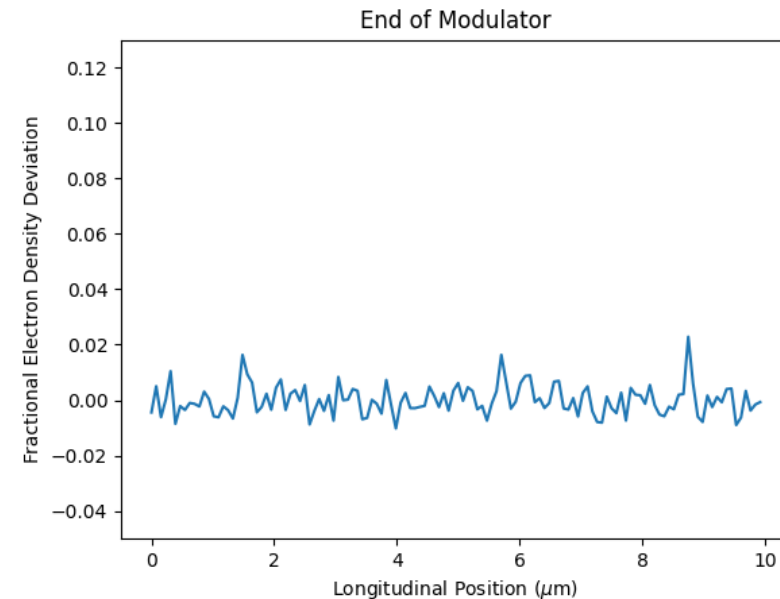
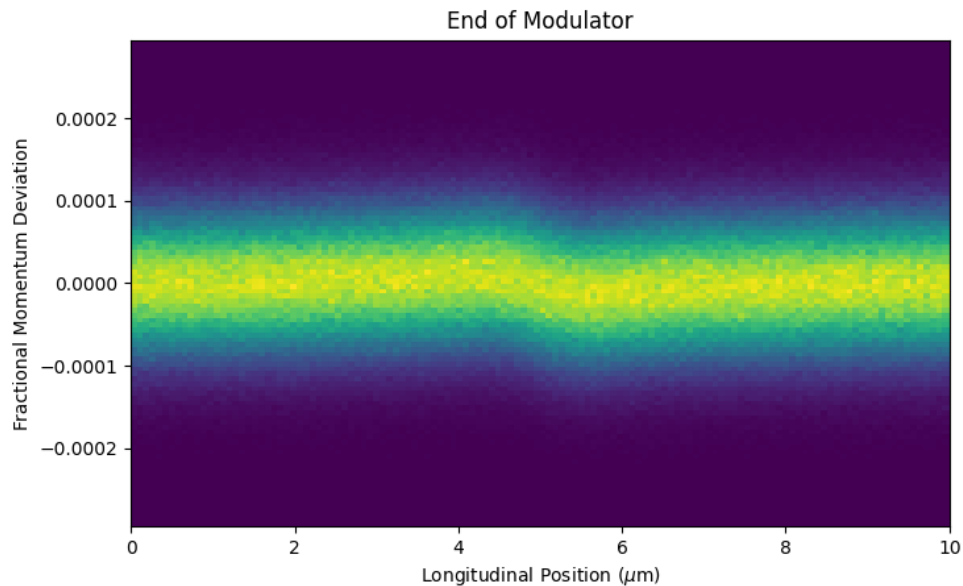
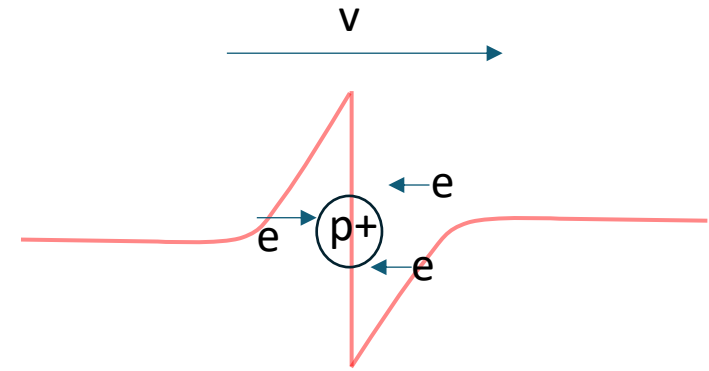
**Amplification:** e-beam energy modulations are amplified and converted to density fluctuations by chicane and straights

**Hadron chicane:** Controls hadron travel time with respect to electron path. Transfer to correlated energy modulation.

**Kicker:** longitudinal electric field of electrons reduces the hadron beam correlated energy spread.

# Modulator section

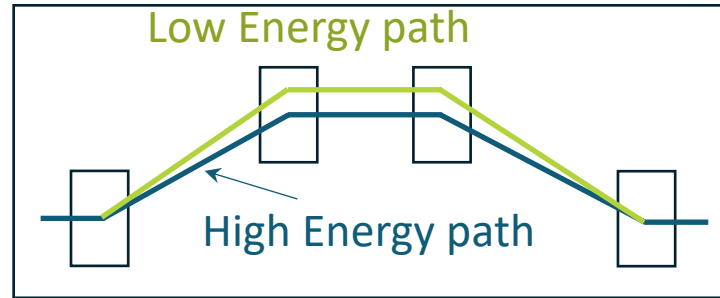
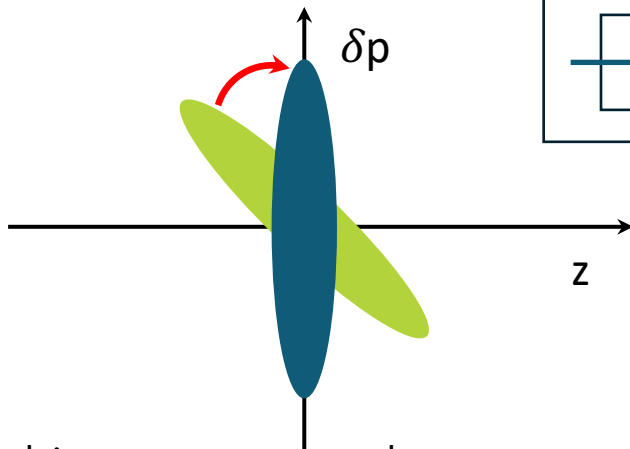
- The pickup (modulator) are implemented via the Coulomb interaction of the hadrons and electrons when  $\gamma_e = \gamma_h$ .
- Electrons' momentum is modulated around a proton or hadron density fluctuation.



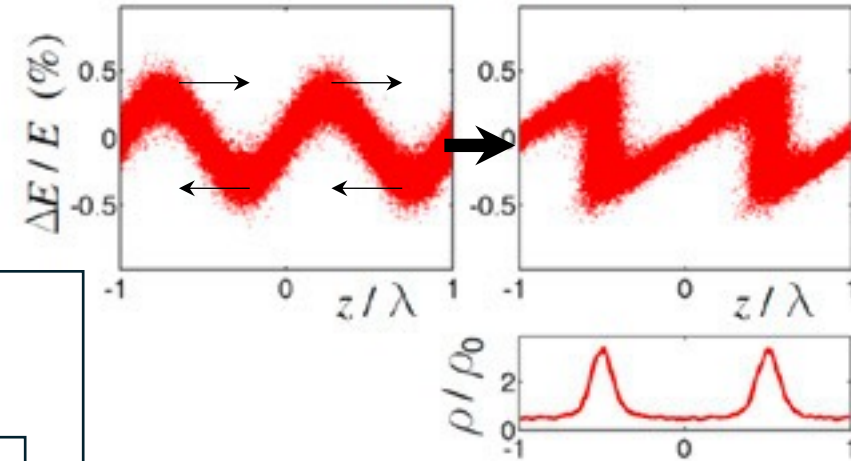
# Amplifier section-Chicane

What is chicane: Chicane is one kind of lattice that can provide longitudinal dispersion ( $R_{56}$ ).

$$\Delta l = R_{56} \frac{\Delta p}{p}$$



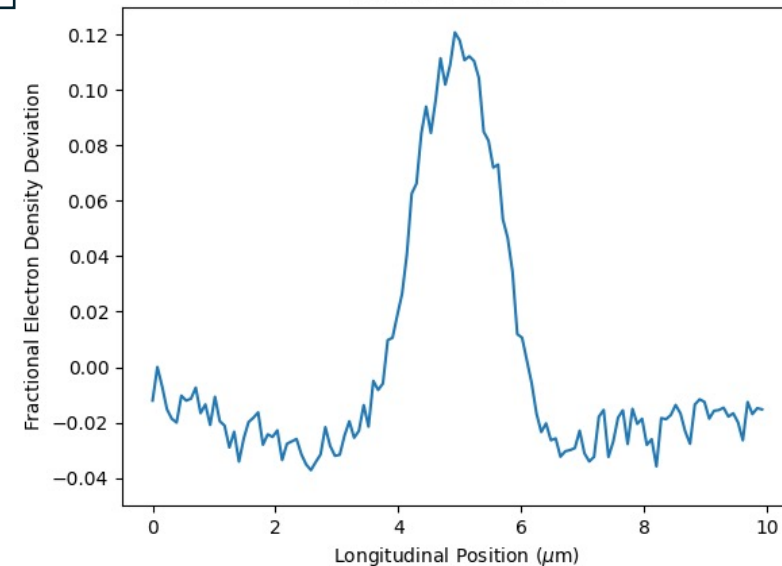
Energy modulated beam



The chicane converts the energy-modulated bunch to many micro-bunches.

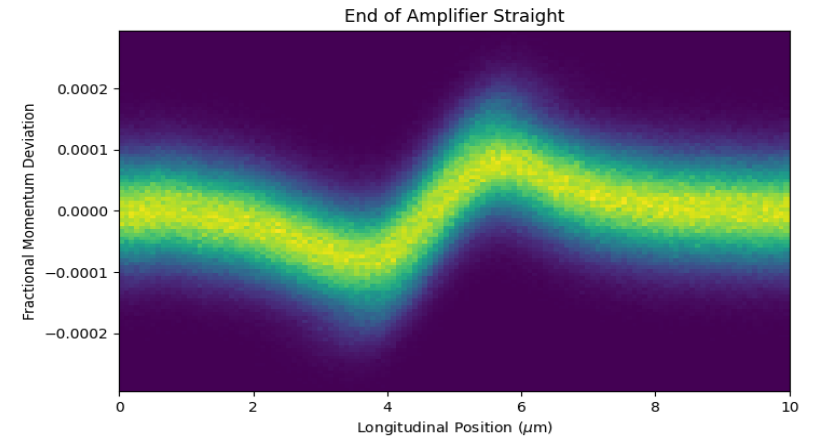
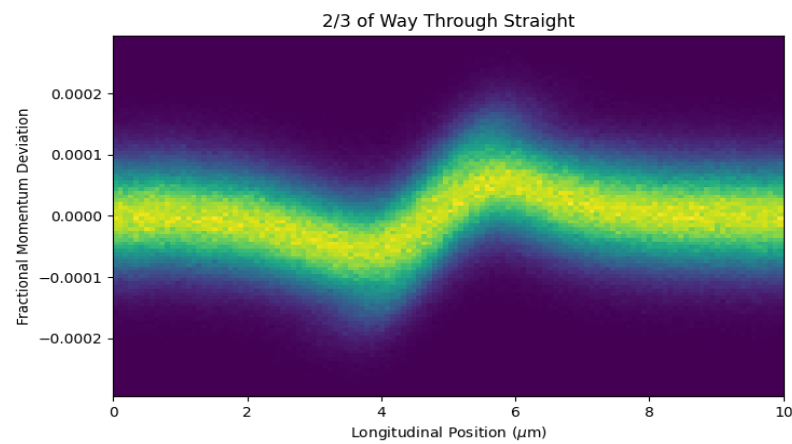
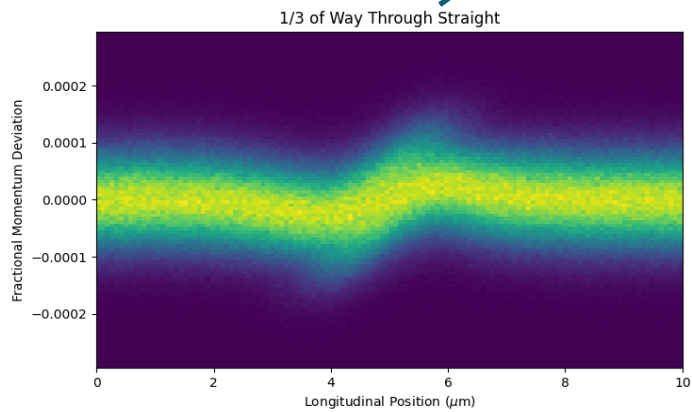
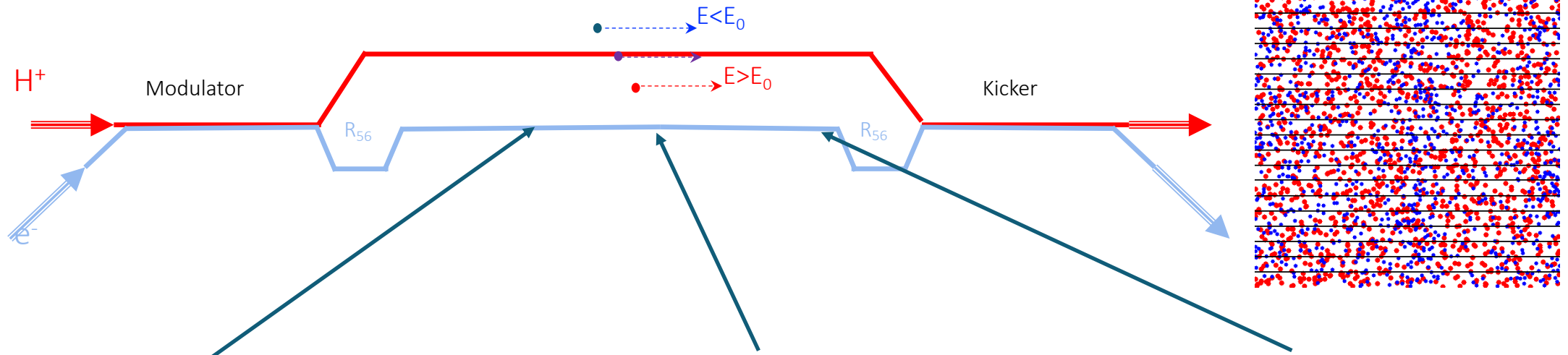
Micro-bunch has much higher longitudinal space charge.

After First Chicane

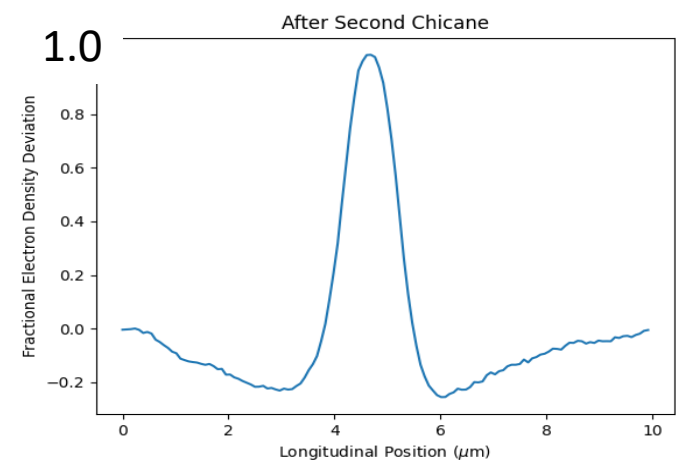
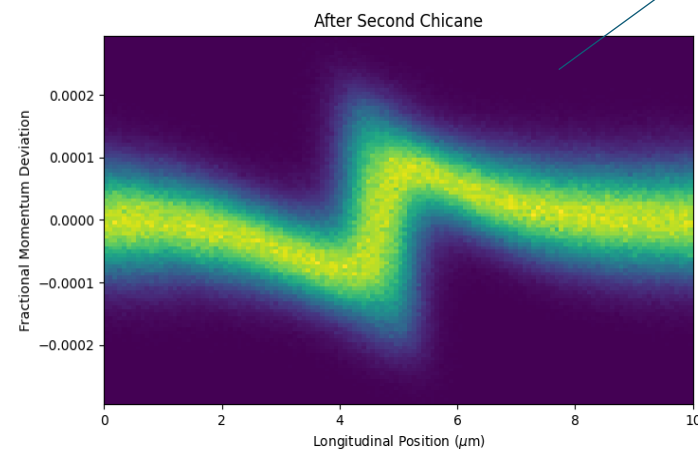
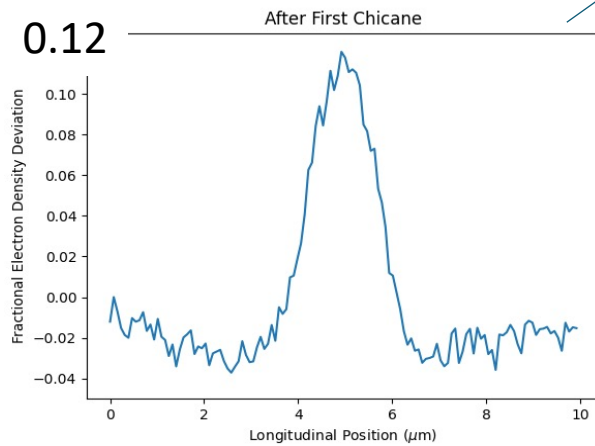
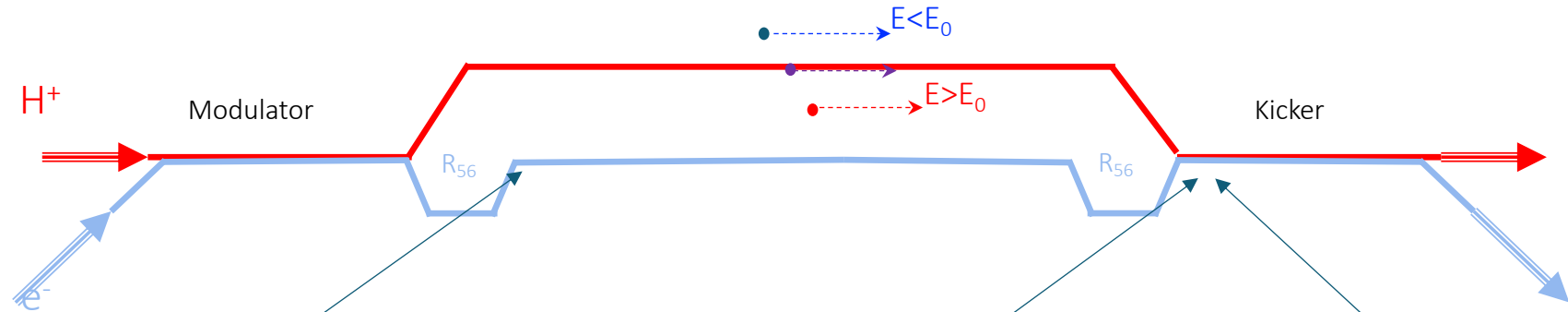


# Amplifier section-drift

Longitudinal plasma oscillation in a neutral plasma (from the internet, only for illustration)



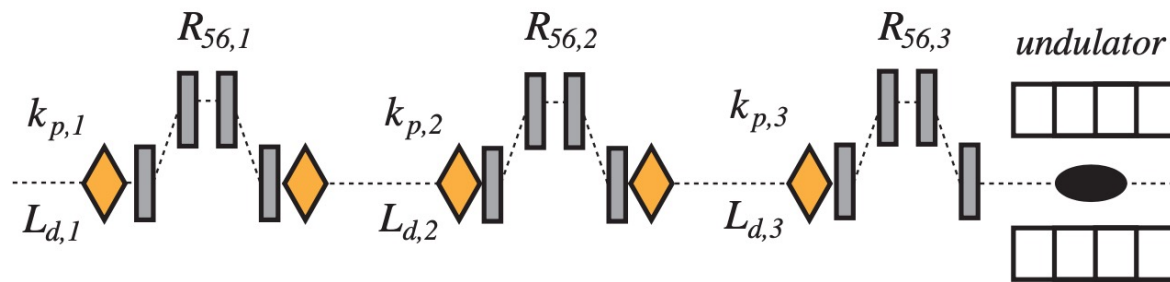
# Amplifier second chicane



**Micro bunch density is increased ~ 8X**

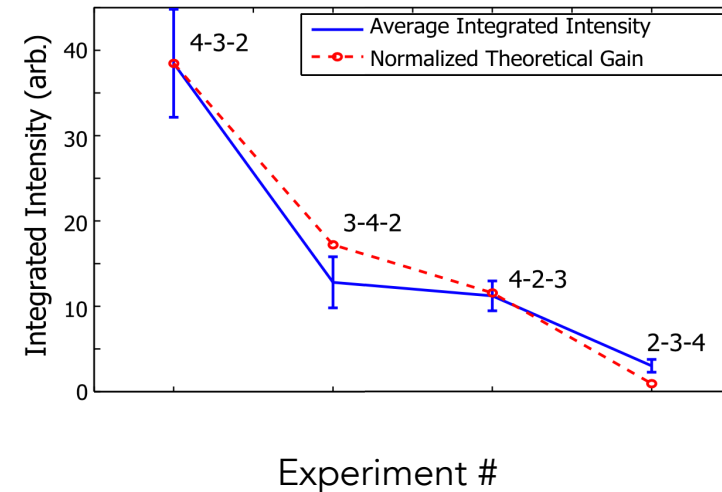
# Chicane-drift amplifier was tested experimentally

Micro-bunched amplification is well known in FELs [Schneidmiller&Yurkov PRAB 13, 110701 (2010); Dohlus et al. PRAB 14, 090702 (2011)]. It has been tested experimentally at NLCTA facility at SLAC [Marinelli et al. PRL 110, 264802 (2013)].



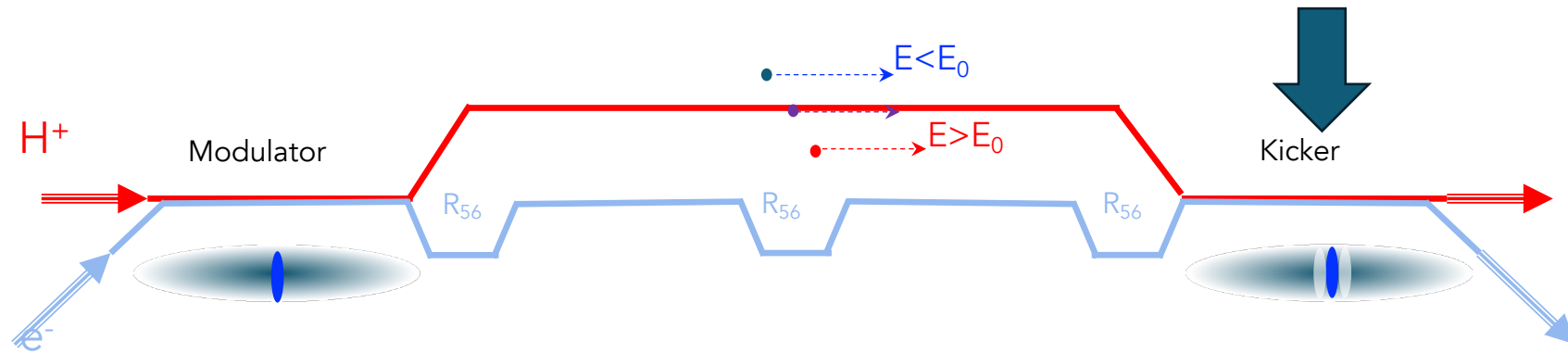
Beam line for the NLCTA experiment.  
Beam energy is 72 MeV.  
The amplification was inferred from the beam radiation in the undulator

**Estimated intensity amplification ~ 3000**

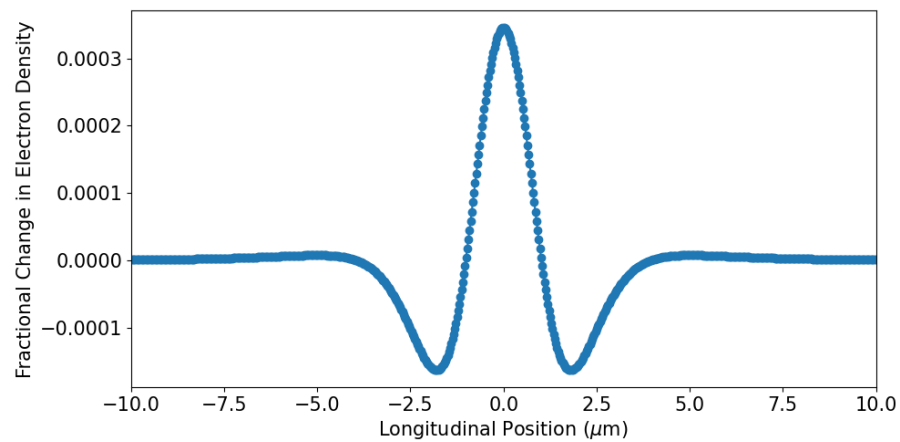


Signal intensity increases when the chicane strength is optimized. Good agreement with theory.

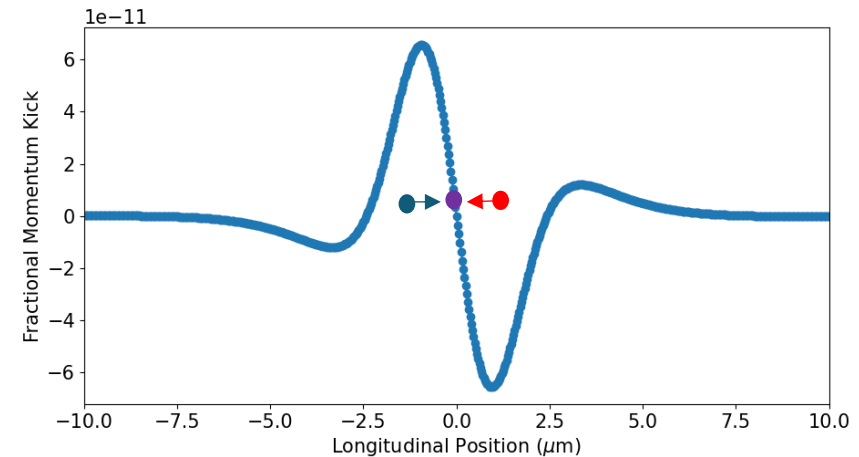
# Kicker section



if one amplifier is not enough, we can have a second amplifier to get a higher gain.



Electron density in kicker



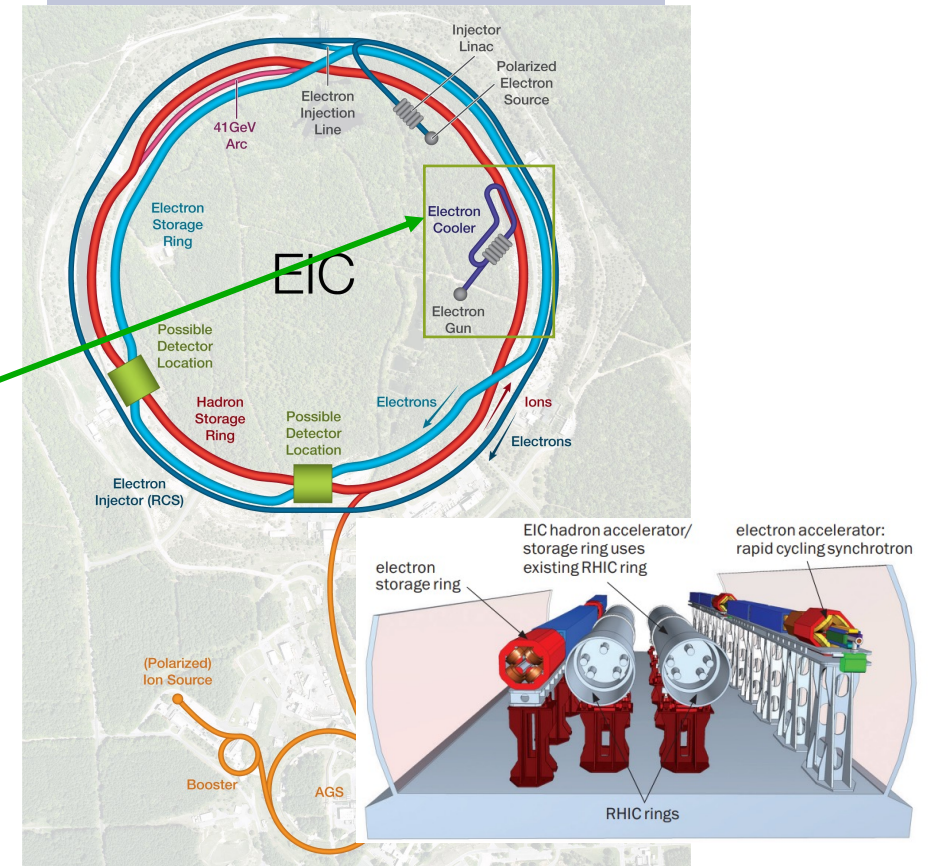
Micro bunched electron wake in kicker

# EIC accelerator introduction

Electron Ion collider design based on existing RHIC.

- Hadron storage ring 40-275 GeV (existing)
  - ~10 hour lifetime
  - 70% polarization
  - Bright beam
  - **Strong hadron cooling (new)**
- Electron storage ring (2.5–18 GeV, new)
- Electron Injector (new)

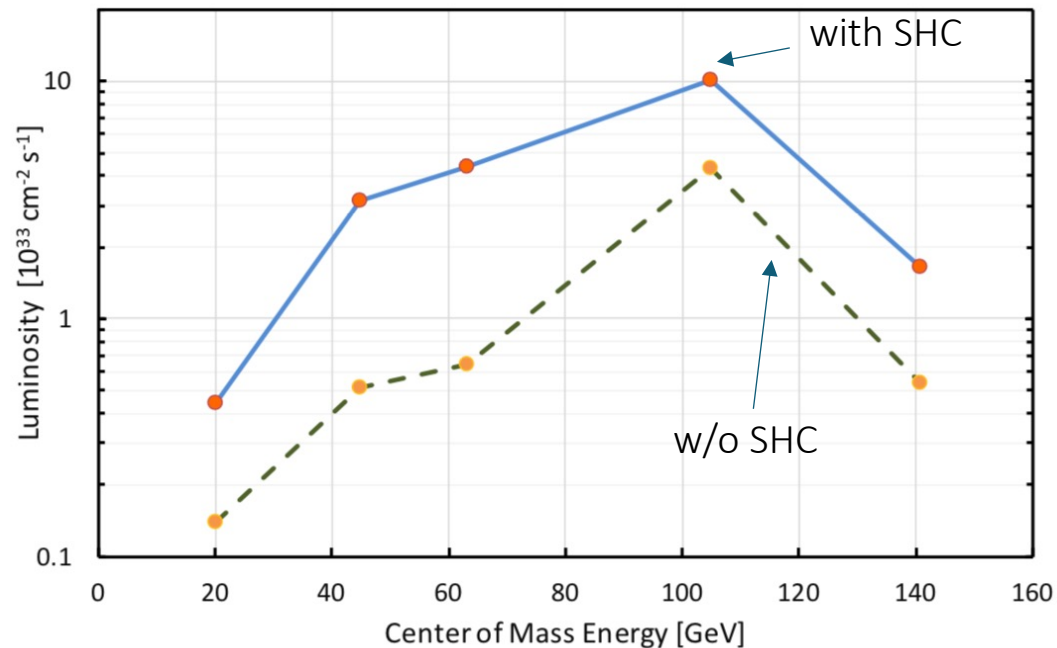
$E_{\text{cm}} = 20 \text{ GeV} - 141 \text{ GeV}$   
High luminosity goal:  $L = 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$





# EIC cooling requirements

- Luminosity of lepton-hadron colliders in the energy range of the EIC benefits strongly (factor  $\approx 3-10$ ) from cooling the transverse and longitudinal hadron beam emittance.
- Cool the proton beam at 275 GeV and 100 GeV ;
- **IBS** longitudinal and transverse(h) growth time is 2-3 hours. **Beam-beam** growth time(v) is  $> 5$  hours. The cooling time shall be equal to or less than the diffusion growth time from all sources.
- Must cool the hadron beam normalized rms vertical emittance at injection from 2.5  $\mu\text{m}$  to 0.3  $\mu\text{m}$  in 2 hours.



# EIC Cooling methods

Pre-cooler@24 GeV cooling time <1 hr  
SHC time@100, 275 GeV ~2 hrs

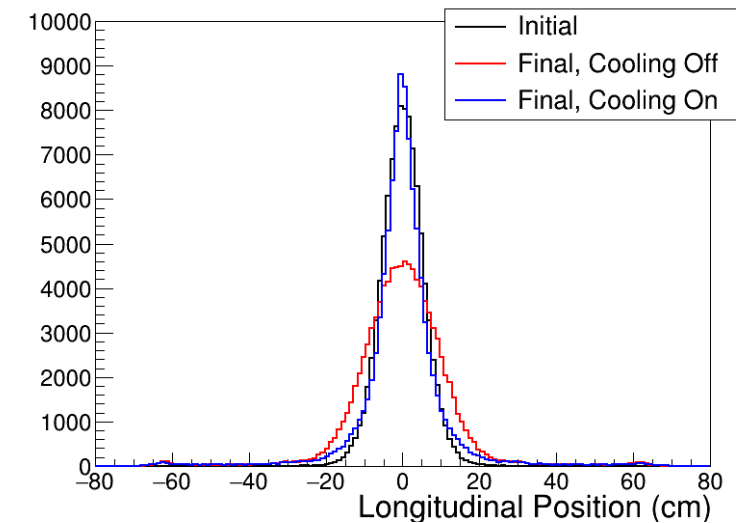
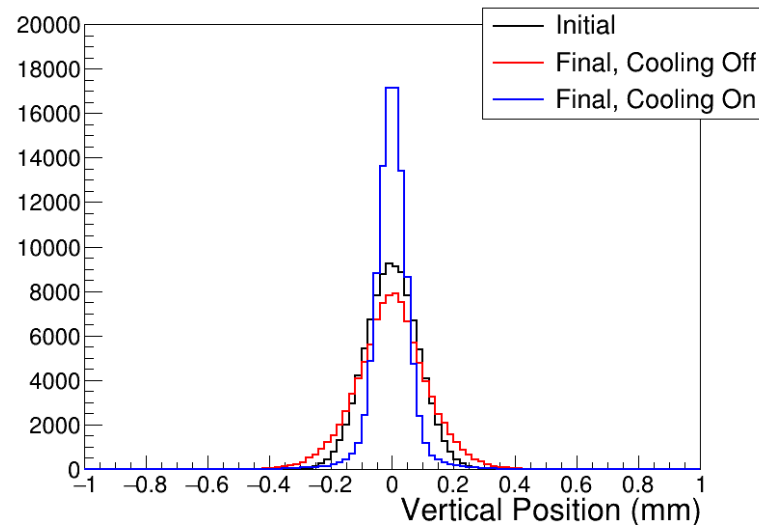
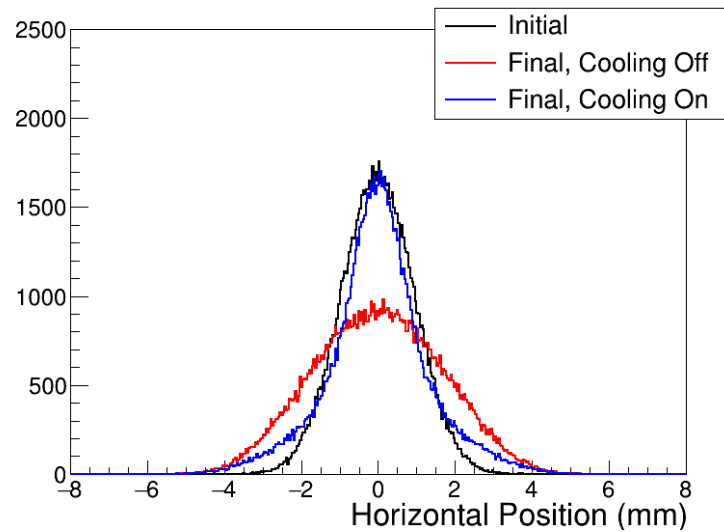
- Use a precooler(**electron cooling**) with bunched beam electron cooling at injection energy to reduce the vertical hadron RMS normalized emittance from 2.5  $\mu\text{m}$  to 0.3  $\mu\text{m}$  within 2 hours or less of storage time at the injection energy of 24 GeV. Achieve the hadron collision emittance.(Flat beam experimental test is being started at RHIC run.)
- Maintaining high beam brightness counteracting intra-beam scattering and beam-beam by cooling at high energy(**Coherent electron Cooling**)

# SHC Parameters table

	100 GeV	275 GeV
Modulator/Kicker length [m]	33	33
Amplification length [m]	49 x 2	
e bunch charge [nC]	1	
e RMS Bunch length[mm]	9	7
e slice energy spread(dp/p)	1e-4	5.9e-5
e normalized emittance [mm-mrad]	2.8	
R56_e of 1 <sup>st</sup> , 2 <sup>nd</sup> and 3 <sup>rd</sup> chicane [mm]	23/-17/-18	12./-6.7/-6.8
Proton Energy spread	9.7e-4	6.8e-4
R56 of proton chicane [mm]	4.2	1.3
Proton H/V phase advance [rad]	3.2/4.7	3.2/4.8
Proton H/V dispersion in M&K [m]	0.0036/0.096	0.0019/0.067
<b><i>H/V/L IBS time [hrs]</i></b>	<b><i>2/4/2.5</i></b>	<b><i>2/7/2.9</i></b>
<b><i>H/V/L cooling time [hrs]</i></b>	<b><i>1.7/3.9/2.4</i></b>	<b><i>0.9/1.9/1.2</i></b>

- 1D/3D Cooling code has been developed.
- The cooler parameters are optimized by a genetic algorithm on the cluster.

# Initial and final hadron's spatial distributions after 10 hours

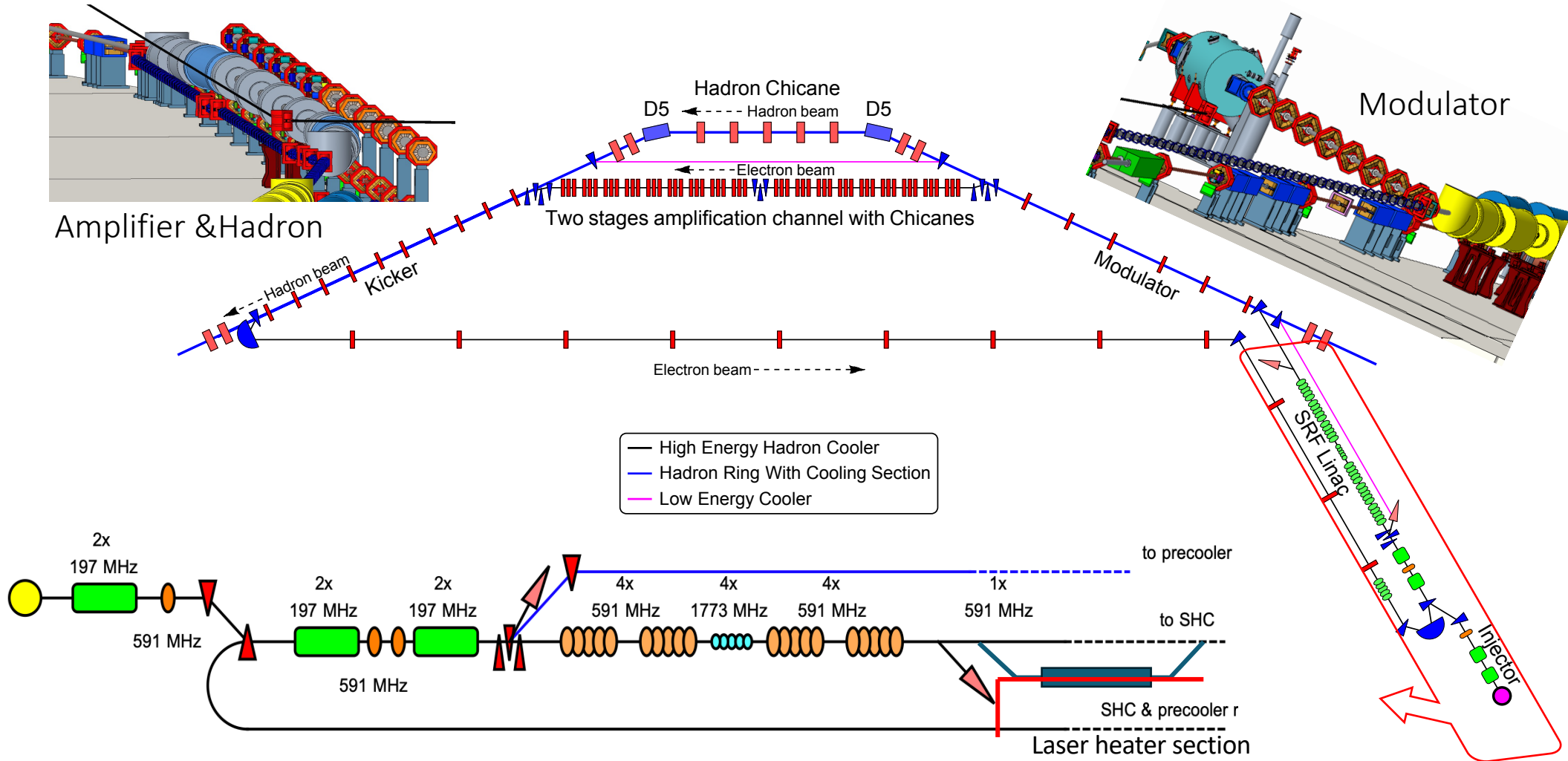


Track 10 hr with IBS and beam-beam emittance growth

# Selected SHC design consideration and challenges

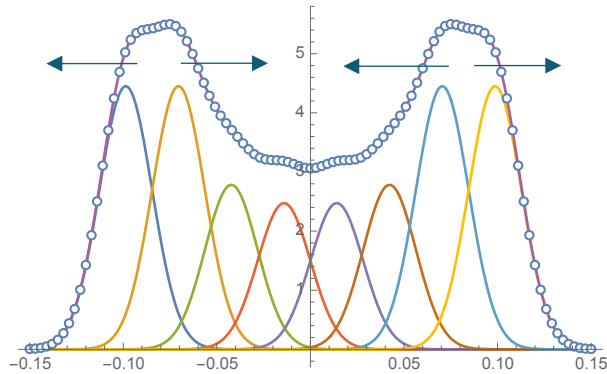
- A **high current ERL** to generate uniform energy spread, super gaussian distribution beam
- A high-quality electron beam with **high current, small energy spread,** and **small noise** in the beam.
- Total R56 to be zero to avoid slice slippage.
- Path length control for electrons and hadrons with sub-micron accuracy. An exquisite stability of the system.

# SHC/precooler facility layout

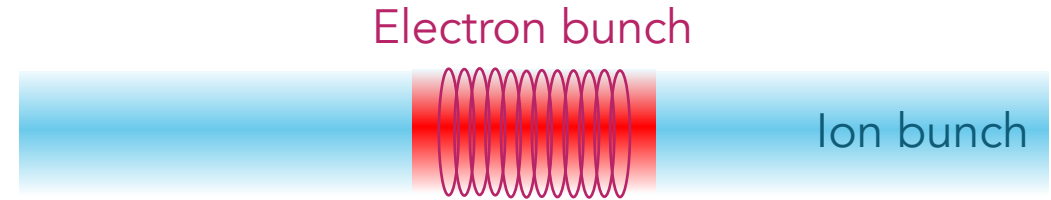
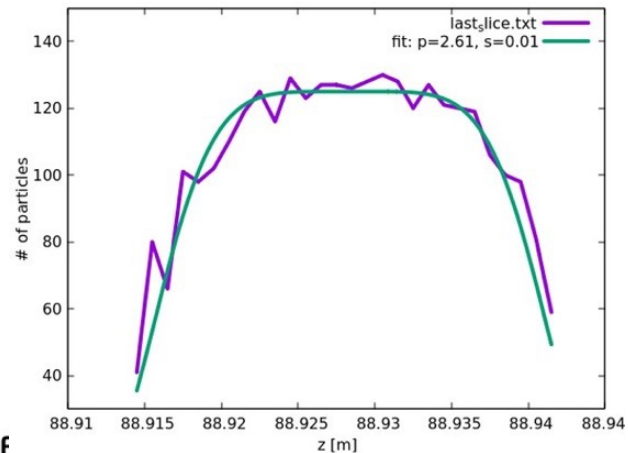


# Make a SuperGaussian bunch in the Cooler

Initial Laser distribution in the gun



SuperGaussian distribution at the end of Linac

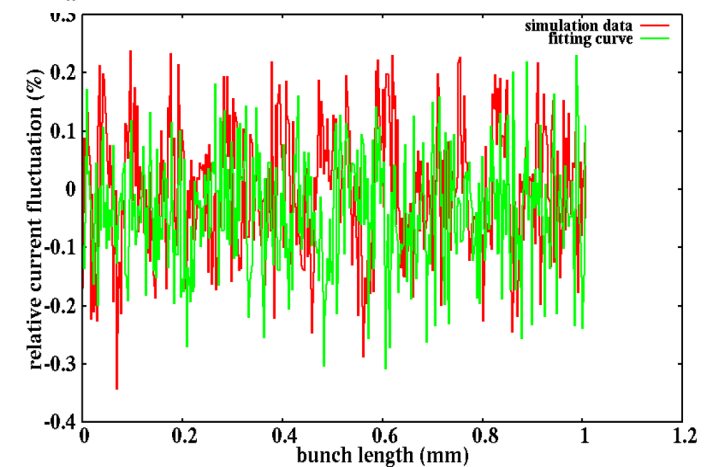
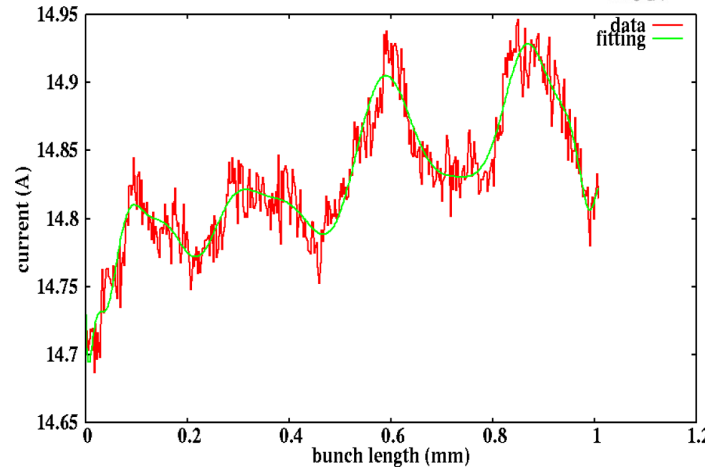
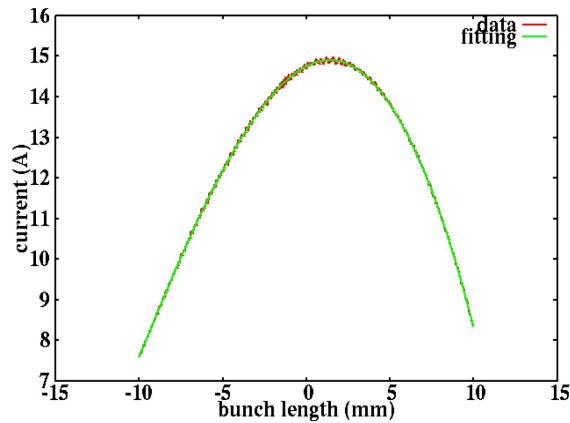
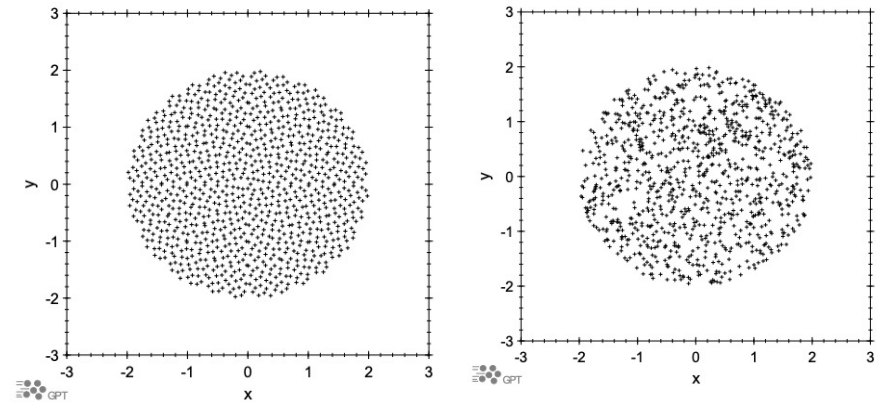


In amplifier:  $G \propto \sqrt{I_e} \sin\left(\frac{\omega_p(I_e)L}{c}\right)$

3D GPT simulation	Beam parameters
Bunch charge	1 nC
RMS emittance x/y	2.6 mm-mrad
rms dp/p	5e-5
Slice dp/p	< 3e-5
rms Bunch length	7 mm

- Uniform current along the bunch provides higher cooling rate
- Chicane's  $T_{566}$  compensated here using 3<sup>rd</sup> harmonic RF gradient

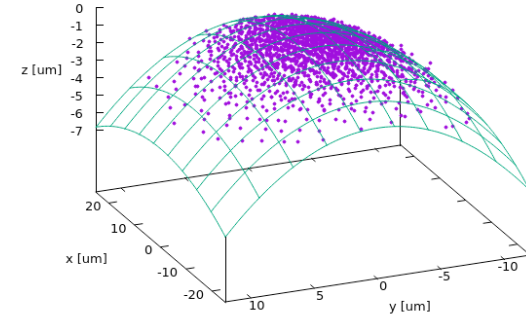
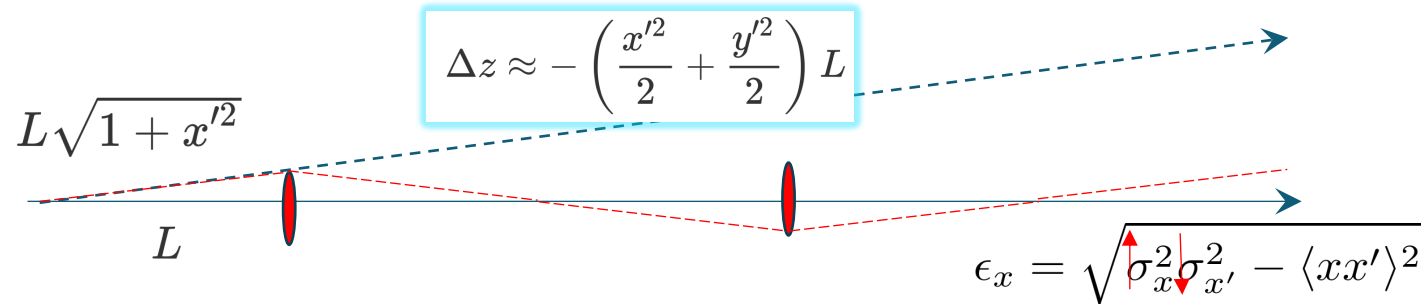
# Beam noise simulation



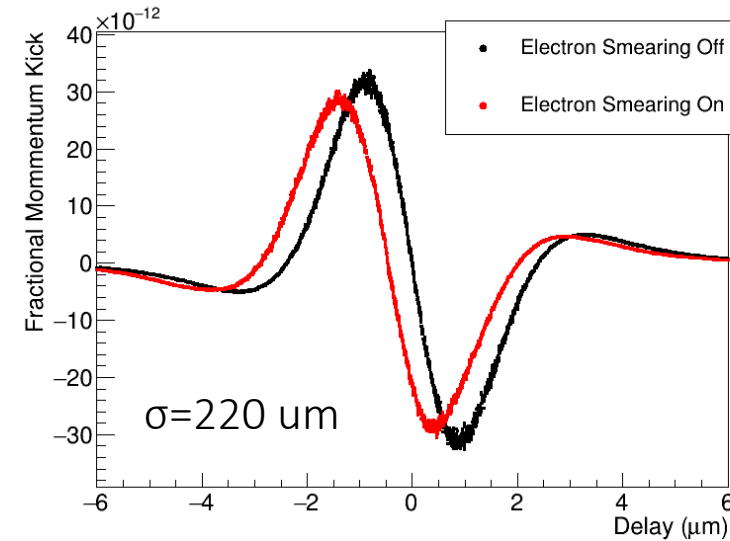
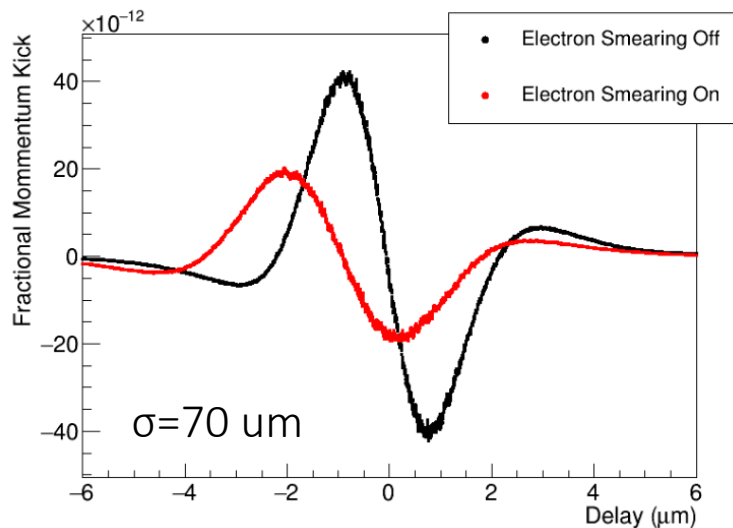
- Beam shot noise is created initially. The question is how to avoid noise increasing.
- Observed  $> 250$   $\mu\text{m}$  modulation with noise amplitude 2x shot noise. It will not be amplified in the cooler. (The typical amplified signal is  $\sim 40$  THz(3  $\mu\text{m}$ )).
- 250  $\mu\text{m}$  modulation will **not affect the cooling rate**.
- current fluctuation through the linac and the merger is **close to the shot noise level**.



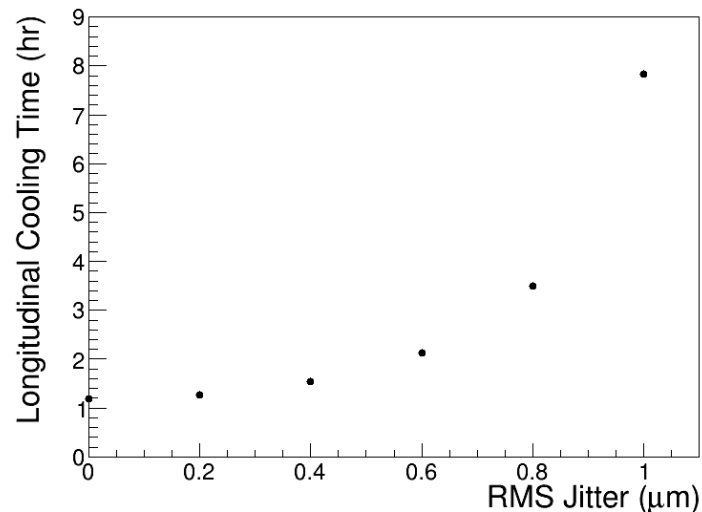
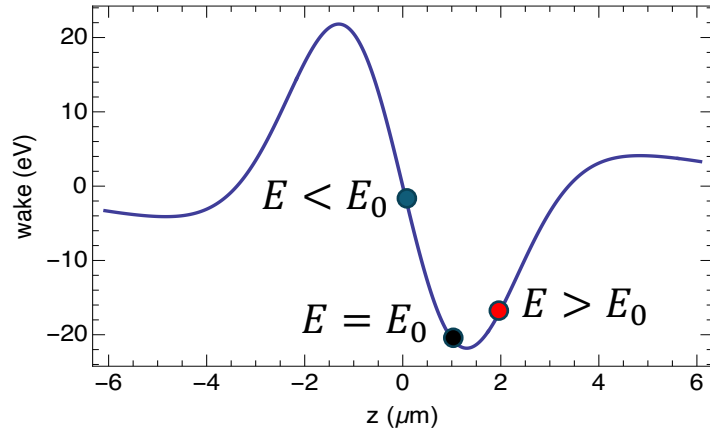
# Electron beam divergence



- Electron divergence causes path length change which can accumulate over long distance with small beam sizes. It will smear out the wake.
- By **increasing the beam size** at the amplifier section one can reduce the impact on wake.



# Unequal path-length of electrons and protons



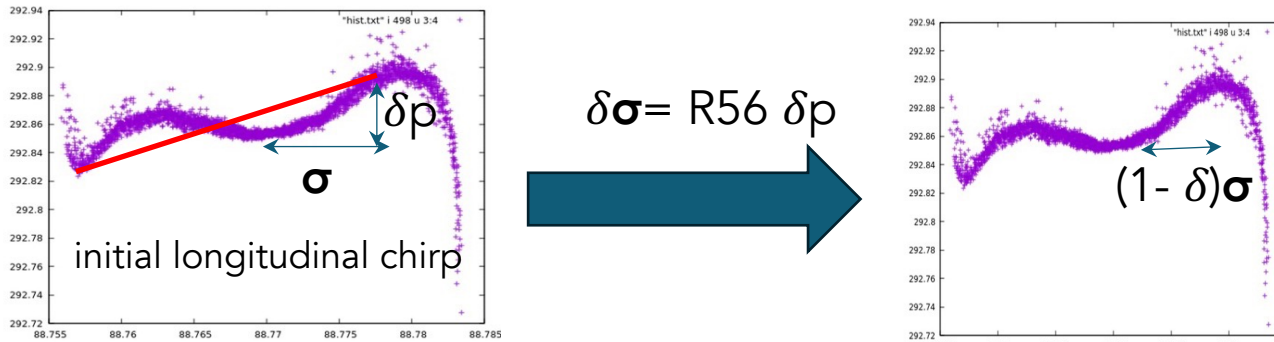
Jitter of the path-length of electrons and ions leads to **deterioration of cooling**. Simulations show that the rms pathlength jitter  $\sim 0.5 \mu\text{m}$  noticeably increases the cooling time.

## Contributions to the jitter:

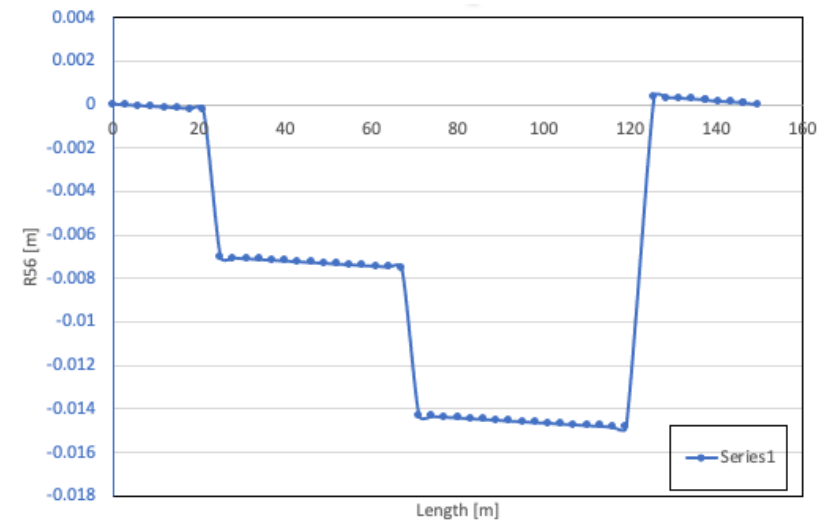
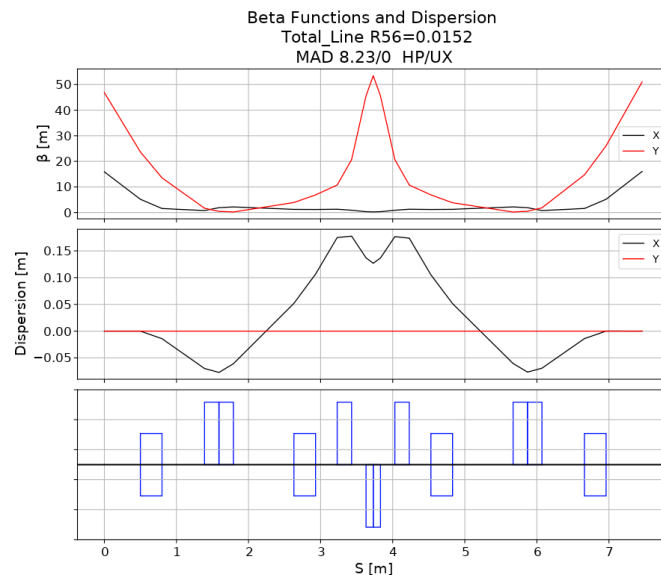
- Cooling section electron beamline PS stabilization  $\sim 3 \text{ ppm}$   $\rightarrow$  longitudinal shift  $\sim 200 \text{ nm}$
- Longitudinal Space charge  $\rightarrow \sim 56 \text{ nm}$
- CSR wake  $\rightarrow \sim 140 \text{ nm}$
- Hadron bypass  $\rightarrow \sim \mu\text{m}$

Getting path length identical is crucial

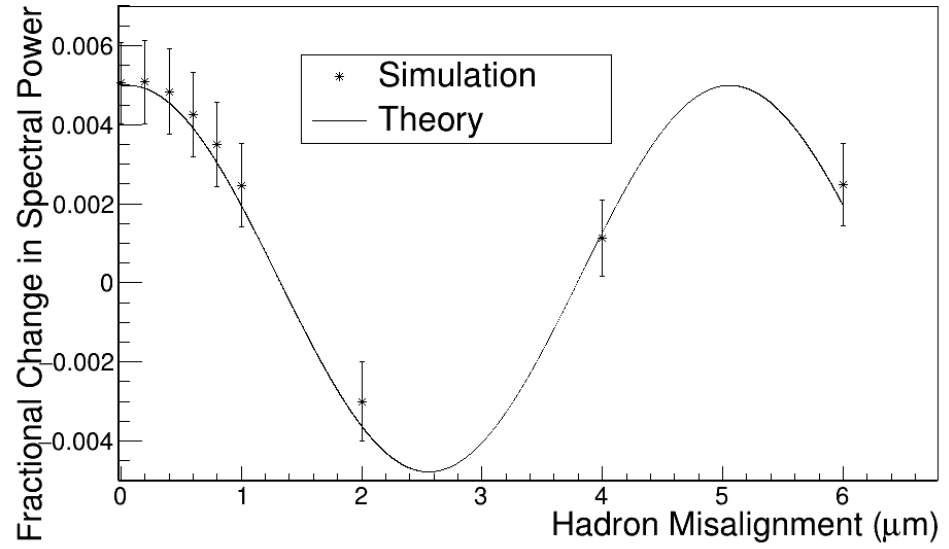
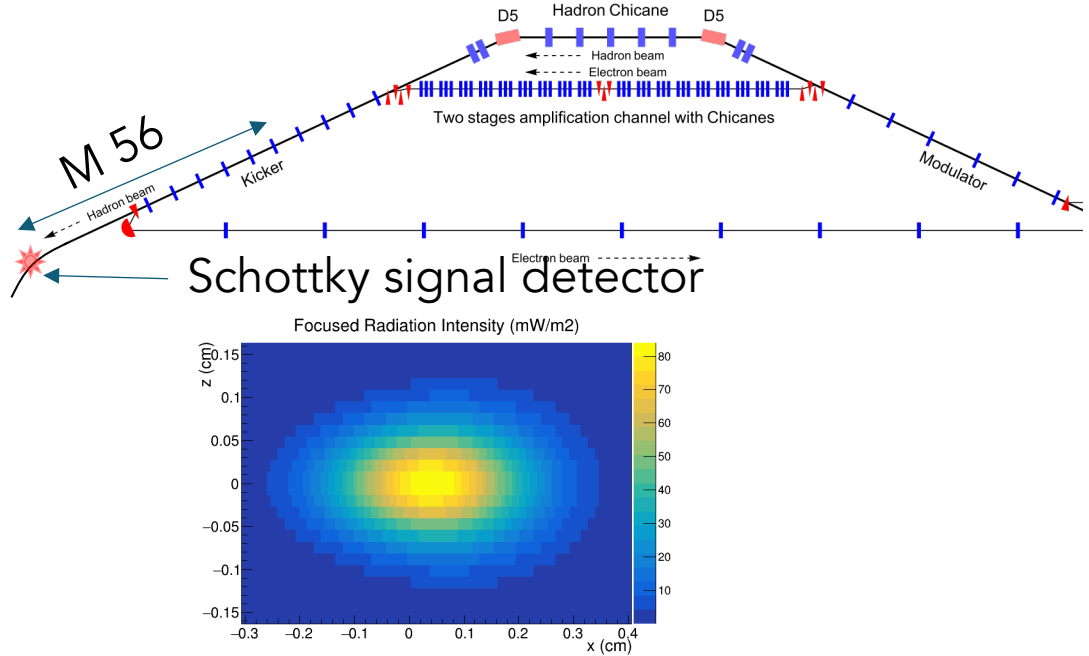
# Chicanes of the amplification section



- The micro-bunched amplification has three chicanes.
- To avoid slippage:
  - R56\_e from Mid M to K is **zero**.
  - R56\_e **tunable** for each chicane.



# e-h longitudinal alignment

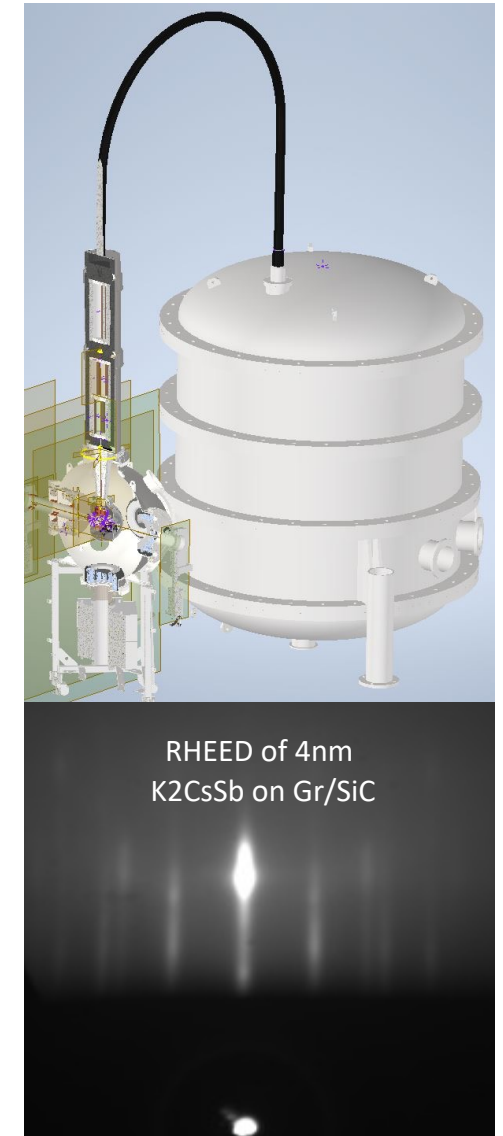
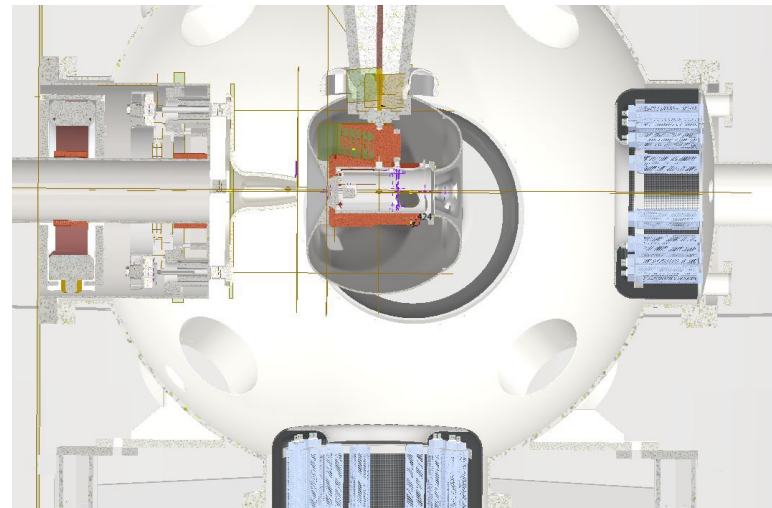


- Electron and hadron beams must be aligned longitudinally on **sub-um scale**.
- Correction feedback will be needed to stabilize the path length
- At “detector” element downstream of kicker, the fractional change in hadron noise at wavenumber  $k$  is  $A \cos(k\Delta z)$ , where  $\Delta z$  is the electron/hadron misalignment, and  $A$  is some amplitude
- Signal modification amplitude at 275 GeV and 5 um wavelength using synchrotron radiation from dipole placed ~10 meters behind the kicker.

# Strong Hadron Cooler e-source R&D

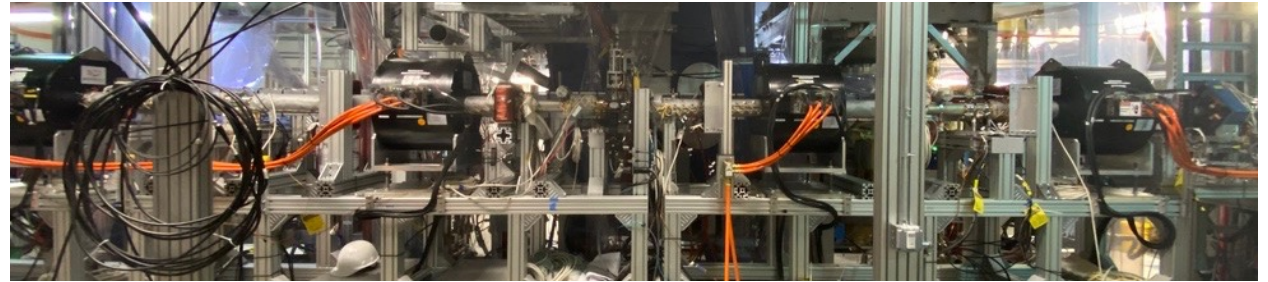
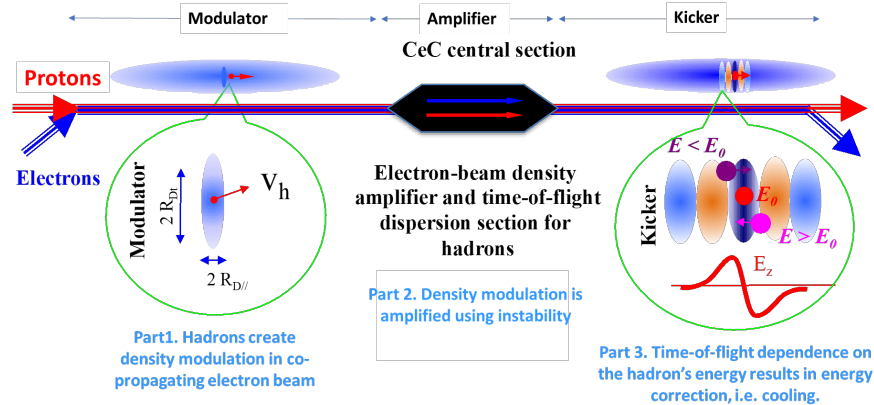
	World record	Goal
Voltage [kV]	550 (KEK)	550
Average current [mA]	65 (Cornell) 50(BNL)	100

- Leveraging polarized gun design/commissioning and LEReC gun operation experience, we are developing an SHC R&D gun. Novel features:
  - 150 mA, 600 kV high voltage power supply.
  - A 600 kV HV cable and gun-attached resistor network can prevent cable storage energy from hurting the gun.
  - **Cathode heat sink with cooling FC62 feedthrough can hold up to 20 W laser.**
  - The success of **epitaxial growth** of K<sub>2</sub>CsSb on Gr/4H-SiC (9% QE), gave us the chance to generate a much smaller initial emittance and longer lifetime.



# CeC experiment

Experimental study for cooling at BNL: Coherent Electron Cooling Experiment (CeC-X)



Solenoids for Plasma-Cascade Amplifier

- The experiment of demonstrating PCA-amplification is ongoing at each RHIC run.
- We observed
  - PCA-amplified imprint of ion beam in the electron beam radiation
  - Observed high PCA gain at frequencies between 5 and 10 THz
  - Regular electron cooling – albeit very weak – of 26.5 GeV/u ion beam
- CeC-X has many challenges. It has been improved year by year. And will continue with this and the next RHIC run.

# Summary

- SHC will boost EIC luminosity by a factor of 3-10.
- The Strong hadron cooler will establish a major advance in accelerator science and technology.
- We developed CeC theory, models, and cooling code. Now have a preliminary design of SHC based on CeC.
- SHC needs a high-quality electron beam. It requires the development of an ERL with parameters beyond the state of the art.
- Challenges exist, but many have already been removed, and there are promising avenues to mitigate others.

# Acknowledgment

- BNL: W. Bergan, M. Blaskiewicz, D. Holmes, P. Baxevanis, S. Peggs, F. Willeke, V. Ptitsyn, G. Wang, V. Litvinenko, J. Ma, A. Fedotov
- SLAC: G. Stupakov
- Jlab: S. Benson, K. Deitrick, D. Douglas
- LBNL: J. Qiang
- Xelera: C. Mayes, C. Gulliford, N. Taylor

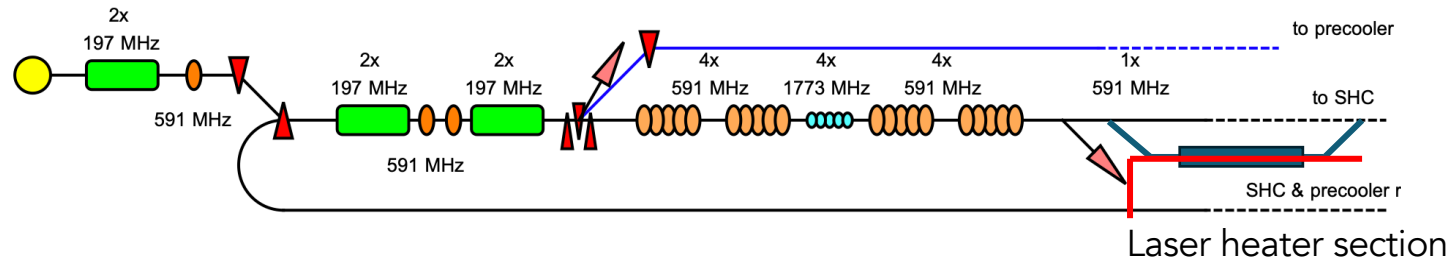


Thanks!  
Questions?

# Back up

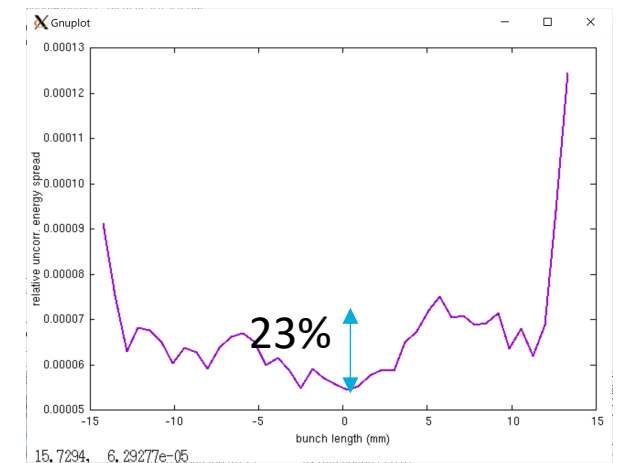
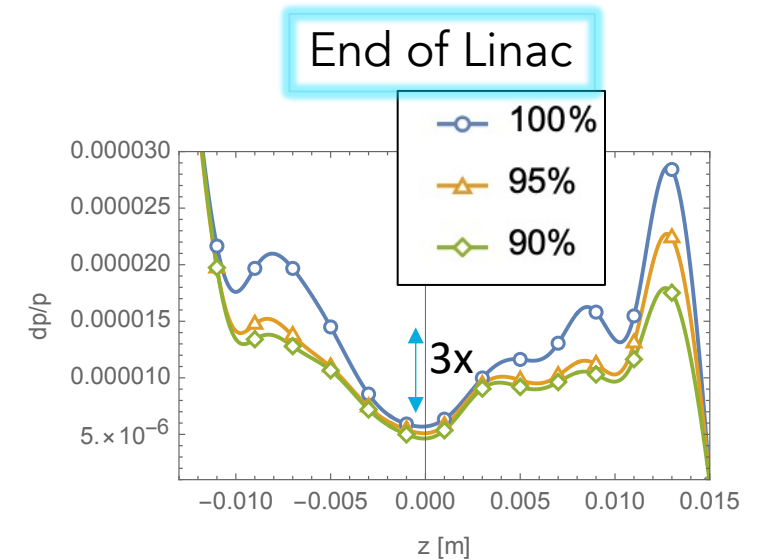


# Using laser heater to get uniform slice energy spread



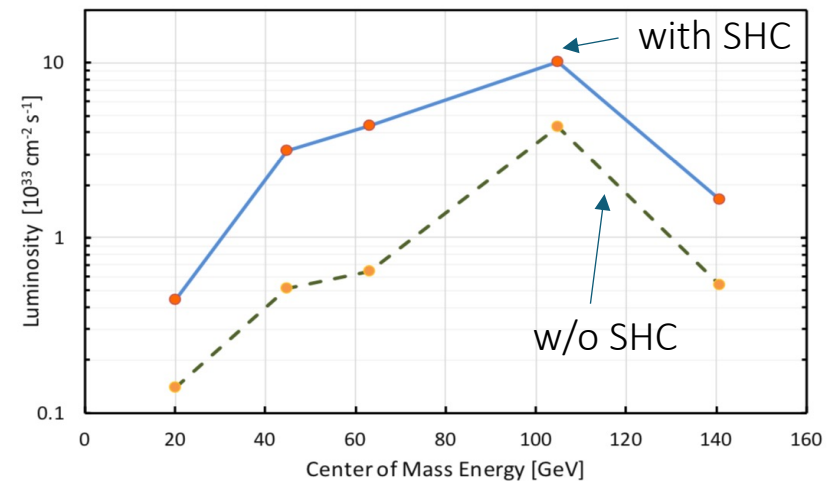
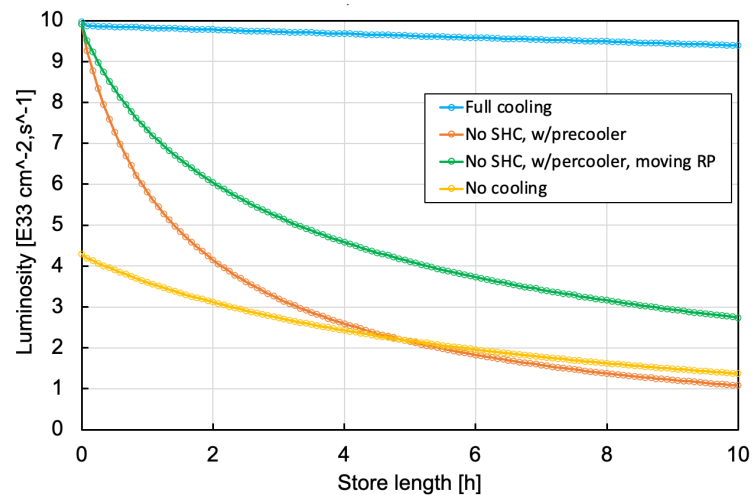
	Estimate parameters
Beam rms size	150 $\mu\text{m}$
Laser rms size	210 $\mu\text{m}$
Beam energy	150 MeV
Full bunch length	200 ps
Laser average power	1.1 kW

- Small energy spread caused saturation in the amplifier.
- At the end of Linac(150 MeV), propagate 98.5 MHz beam with laser in an undulator
- Increase in slice energy spread by 7.5 keV( $5e-5$ ).



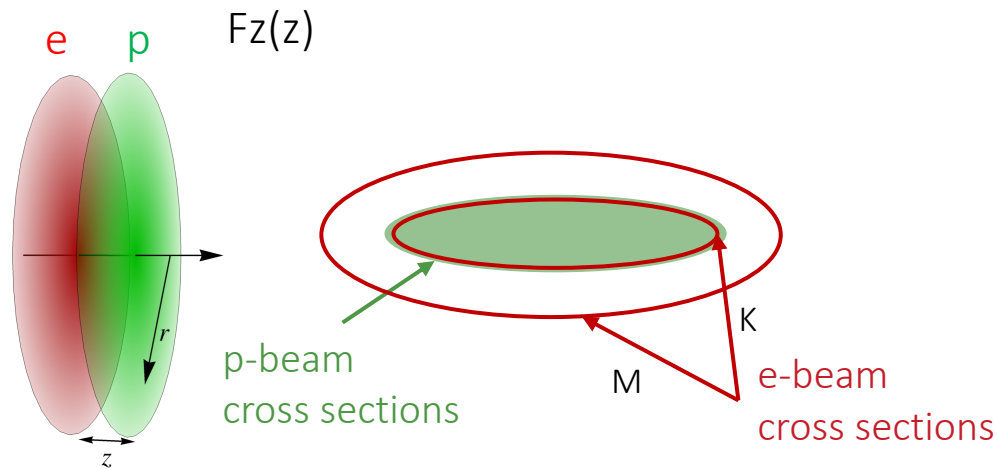
# EIC cooling requirements

- Luminosity of lepton-hadron colliders in the energy range of the EIC benefits strongly (factor  $\approx 3-10$ ) from cooling the transverse and longitudinal hadron beam emittance.
- Cool the proton beam at 275 GeV and 100 GeV ;
- **IBS** longitudinal and transverse(h) growth time is 2-3 hours. **Beam-beam** growth time(v) is  $> 5$  hours. The cooling time shall be equal to or less than the diffusion growth time from all sources.
- Must cool the hadron beam normalized rms vertical emittance at injection from 2.5  $\mu\text{m}$  to 0.3  $\mu\text{m}$  in 2 hours.

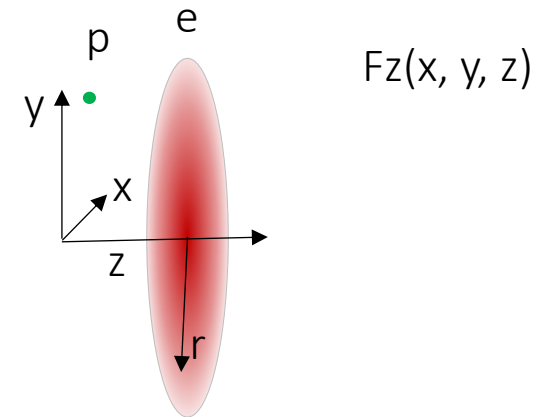


**Integrated luminosity with cooling is  $> 3$  x larger than without SHC.**

# Cooling code using hybrid model



At CD1, quasi-1D model(G.S and P.B) :  
A *quasi-1D* model was used to simplify analysis  
– p- and e-point charges are replaced by  
**elliptical disks with 2D Gaussian distribution**  
of charge over the surface of the slice.

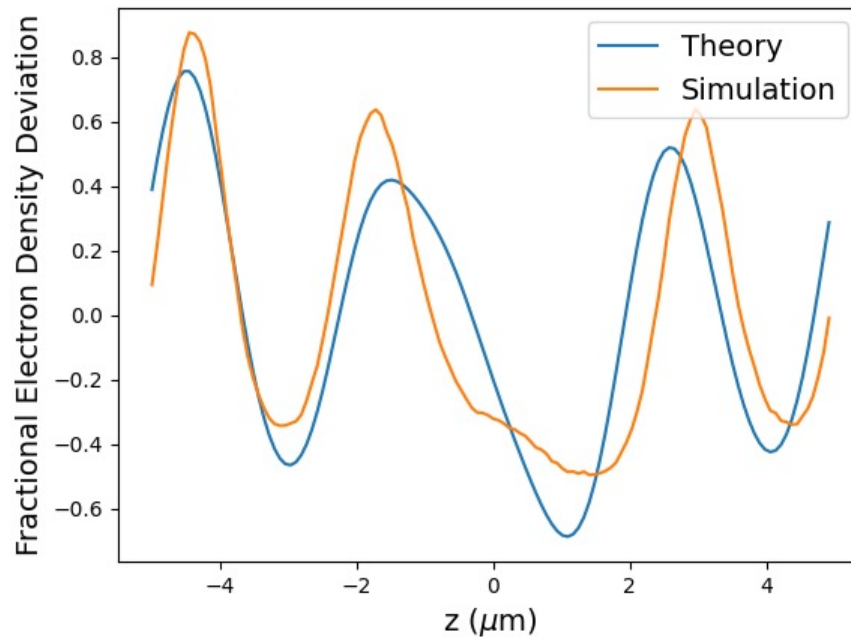


Now, hybrid model(W.B):  
Treat electrons as discs; Treat hadrons  
as **point charges** within modulator  
and kicker. Wake function  
 $W(x_m, y_m, x_k, y_k, dz)$

With new hybrid model, the **cooling time increased  $\sim x1.7$**

# Saturation

- Finite electron current
  - Cannot create arbitrarily large electron density fluctuations

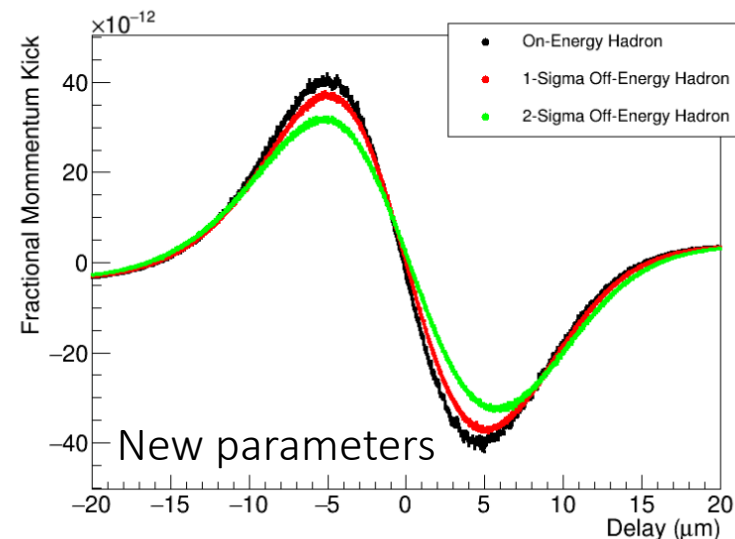
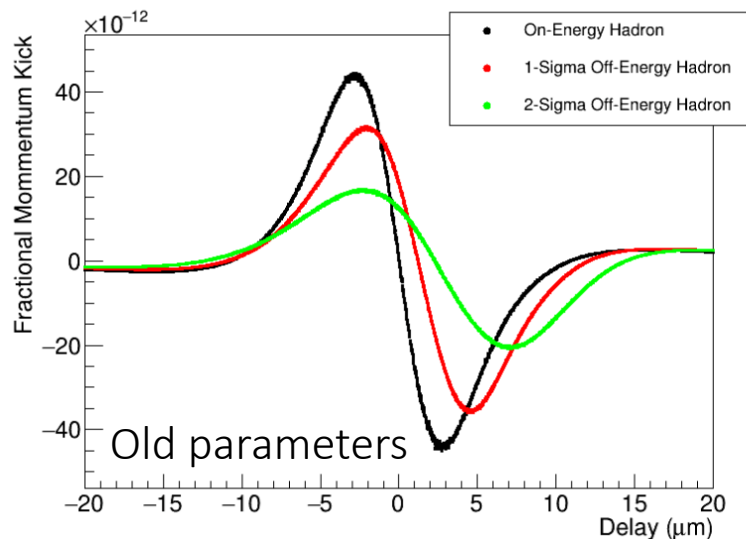


# Hadron energy Drift

Off-energy hadron drifts are relative to the electrons in the modulator and kicker

$$\Delta z = \frac{L}{\gamma^2} \delta$$

By *shortening the modulator and kicker length* and lengthening wake wavelength by *strengthening electron chicanes*, we can reduce the impact on the wake.





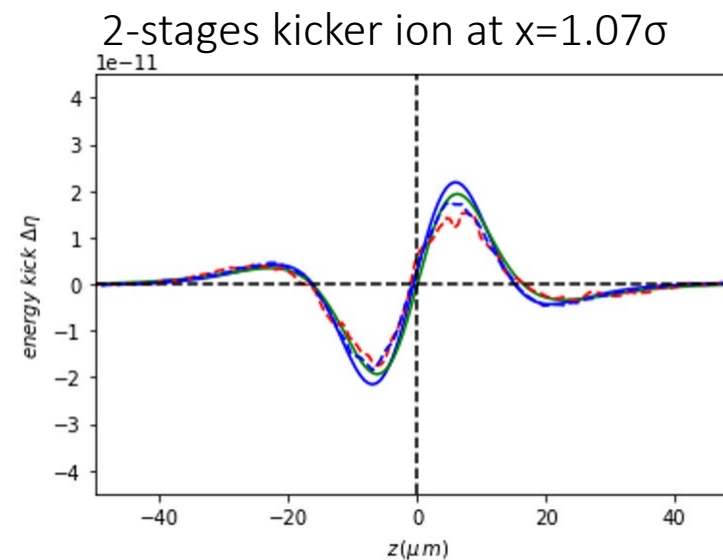
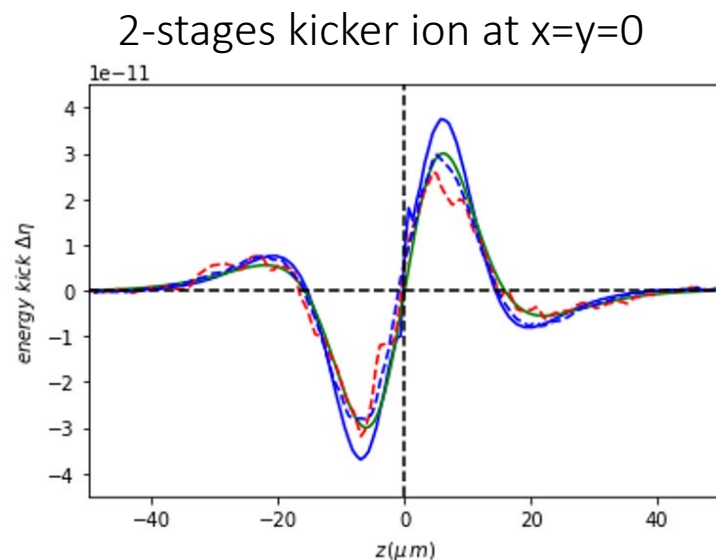
# 3D cooling codes development and comparison

3D theory (solid): a frequency-domain approach based on the linearized Vlasov equation

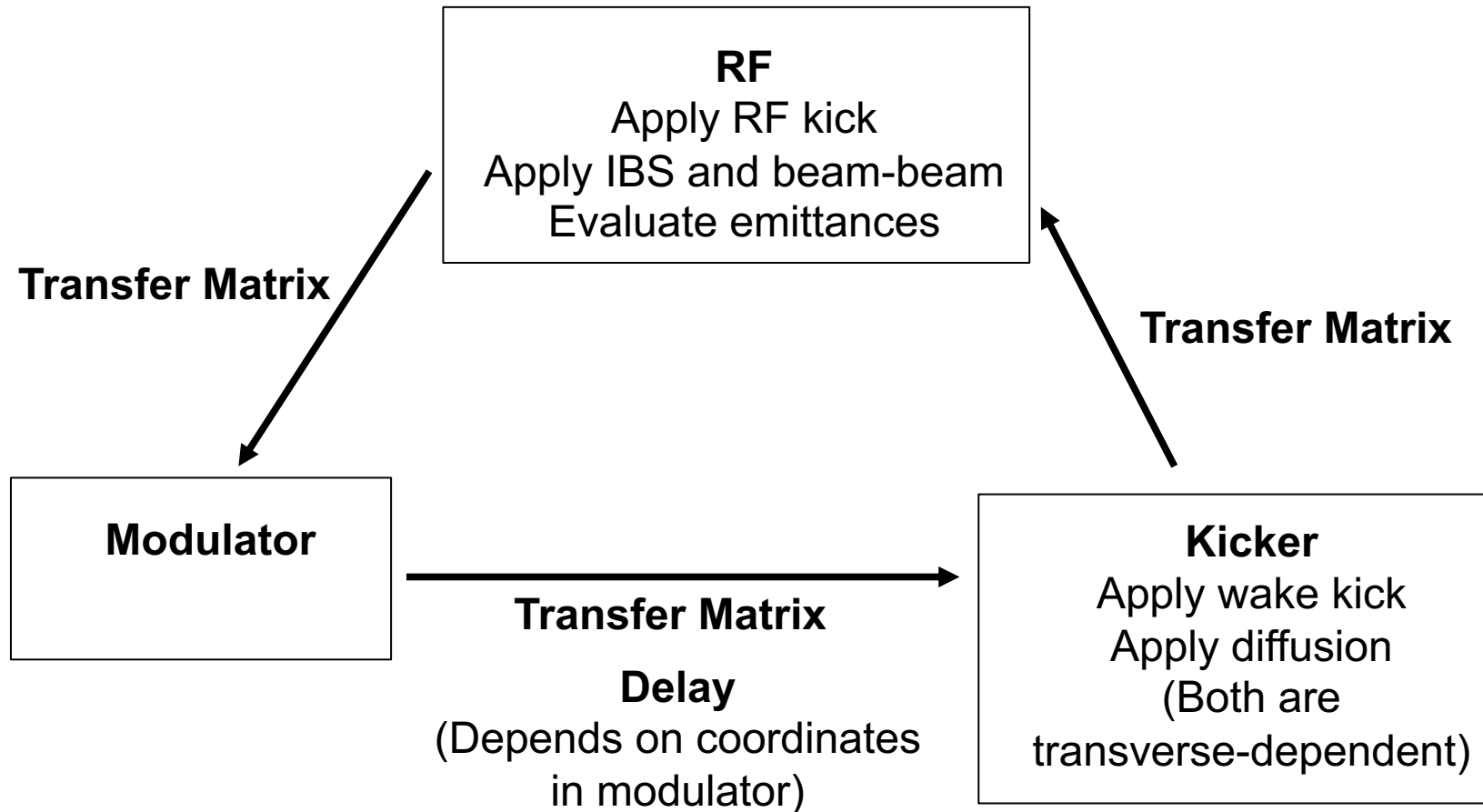
3D simulation(dash): macroparticles with space charge force.

3D SPACE simulation(dash): parallel, relativistic PIC (used in CeC-X design)

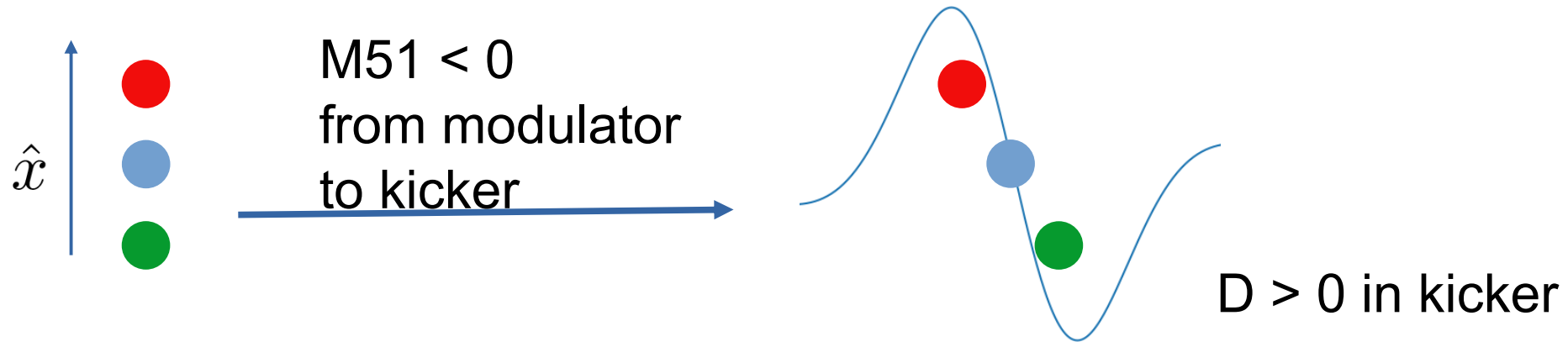
Hybrid model(solid)



# Multi-Turn Tracking



# Transverse Cooling ( $x' = D' = 0$ )



$$J = \frac{1}{2}\gamma(x - D\delta)^2 \quad \Delta J = -\gamma x D \Delta \delta$$

If  $x > 0$ , then  $\Delta z < 0$  and  $\Delta \delta > 0$ , so  $\Delta J < 0$ .

If  $x < 0$ , then  $\Delta z > 0$  and  $\Delta \delta < 0$ , so  $\Delta J < 0$ .

Either way, transverse action is reduced.

Details for more realistic case in PRAB 22, 081003 (2019).