

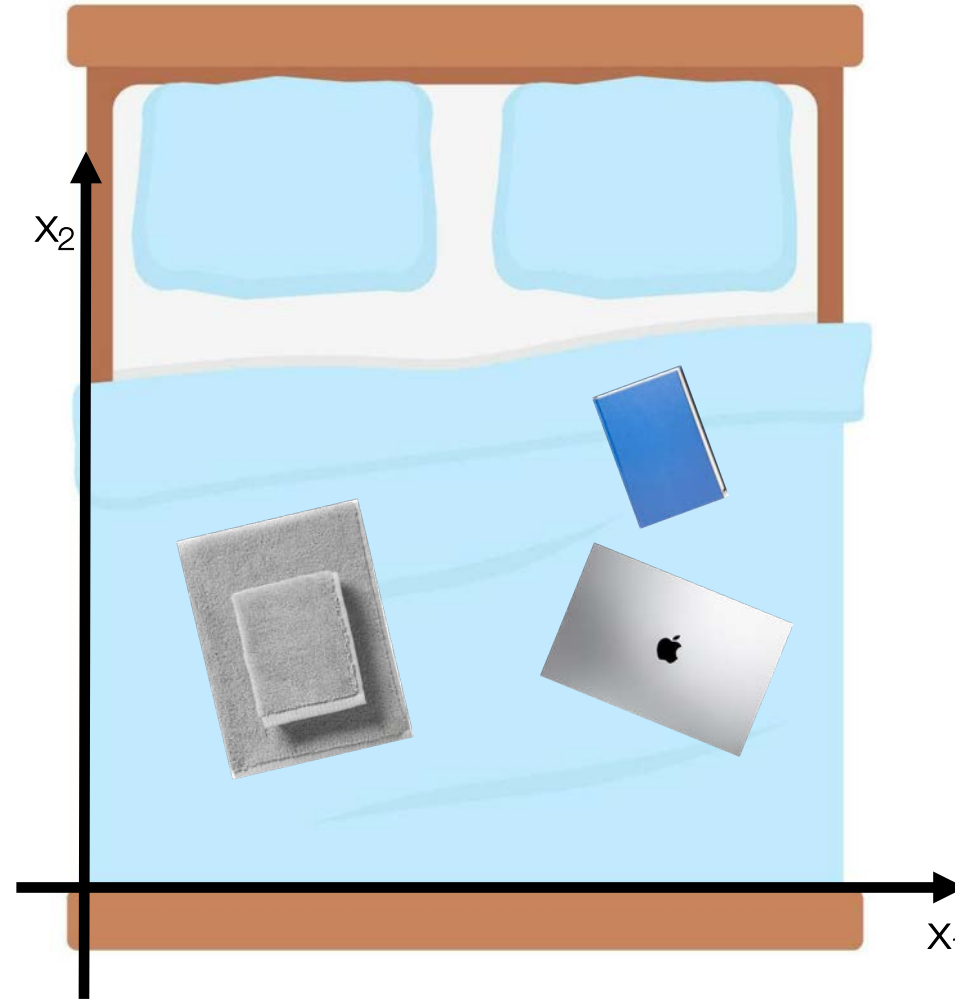
# Applying machine learning techniques to the operation and optimization of the VENUS ECR ion source

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Work from machine learning and ion source teams:  
Ezra Apple, Janilee Benitez, Heather Crawford, Alex Kireeff, Yue Shi Lai,  
Marco Salathe, Wenhan Sun, Victor Watson

Lawrence Berkeley National Laboratory  
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# An optimization problem



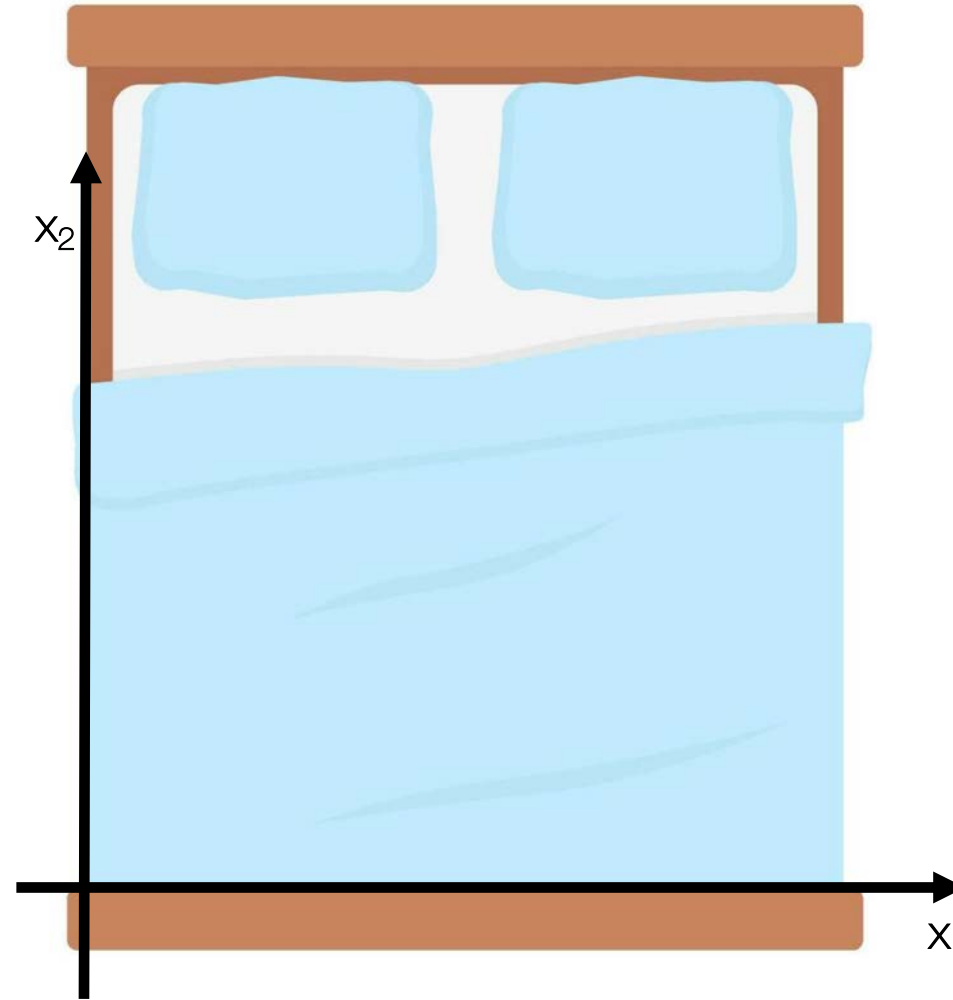
# An optimization problem

Choose coordinate in an occasionally variant system that will:

- Maximize comfort “y”  
(temperature, softness, ...)
- And (?) minimize disruption

ECR ion source optimization  
Choose operational space coordinate (10-20 dimensions) to:

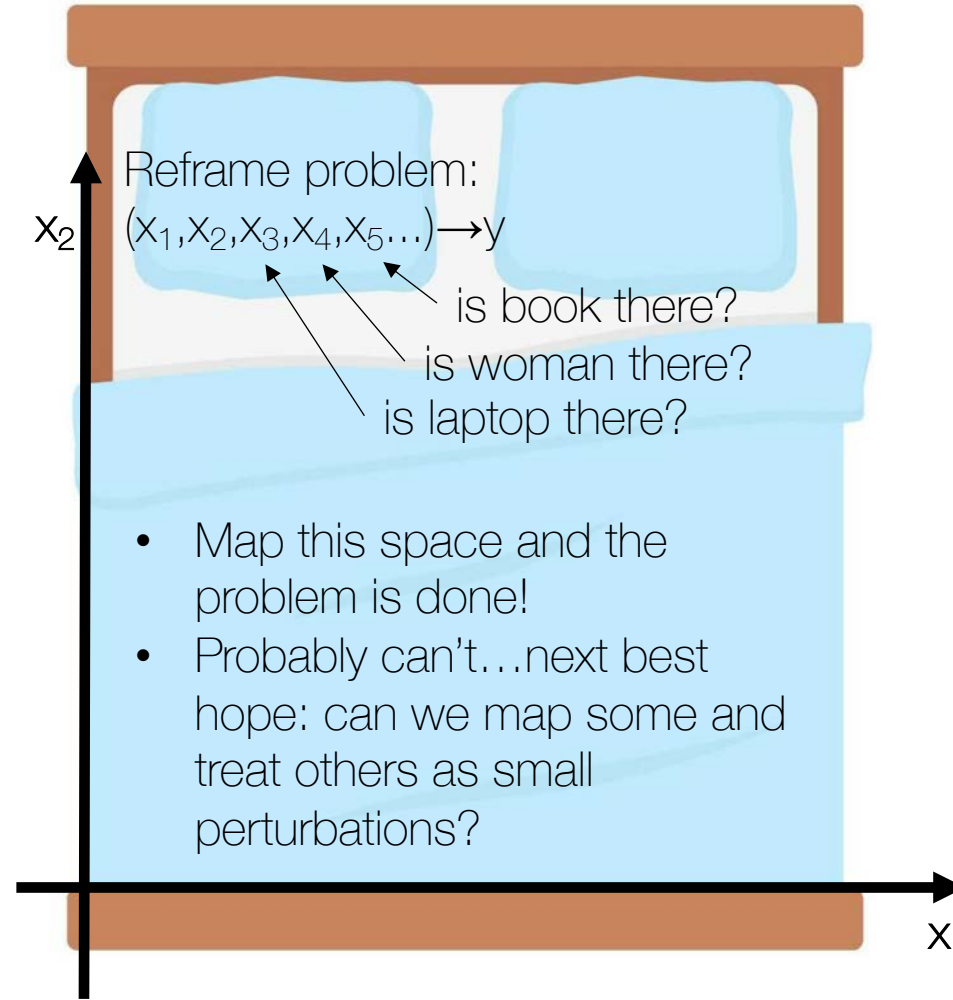
- Maximize beam current “y”
- And maintain stability



# An optimization problem

Cat optimization goal:

- Quickly assess conditions
- Predict “best”  $(x_1, x_2) \rightarrow y$
- Reoptimize as conditions change



# An optimization problem

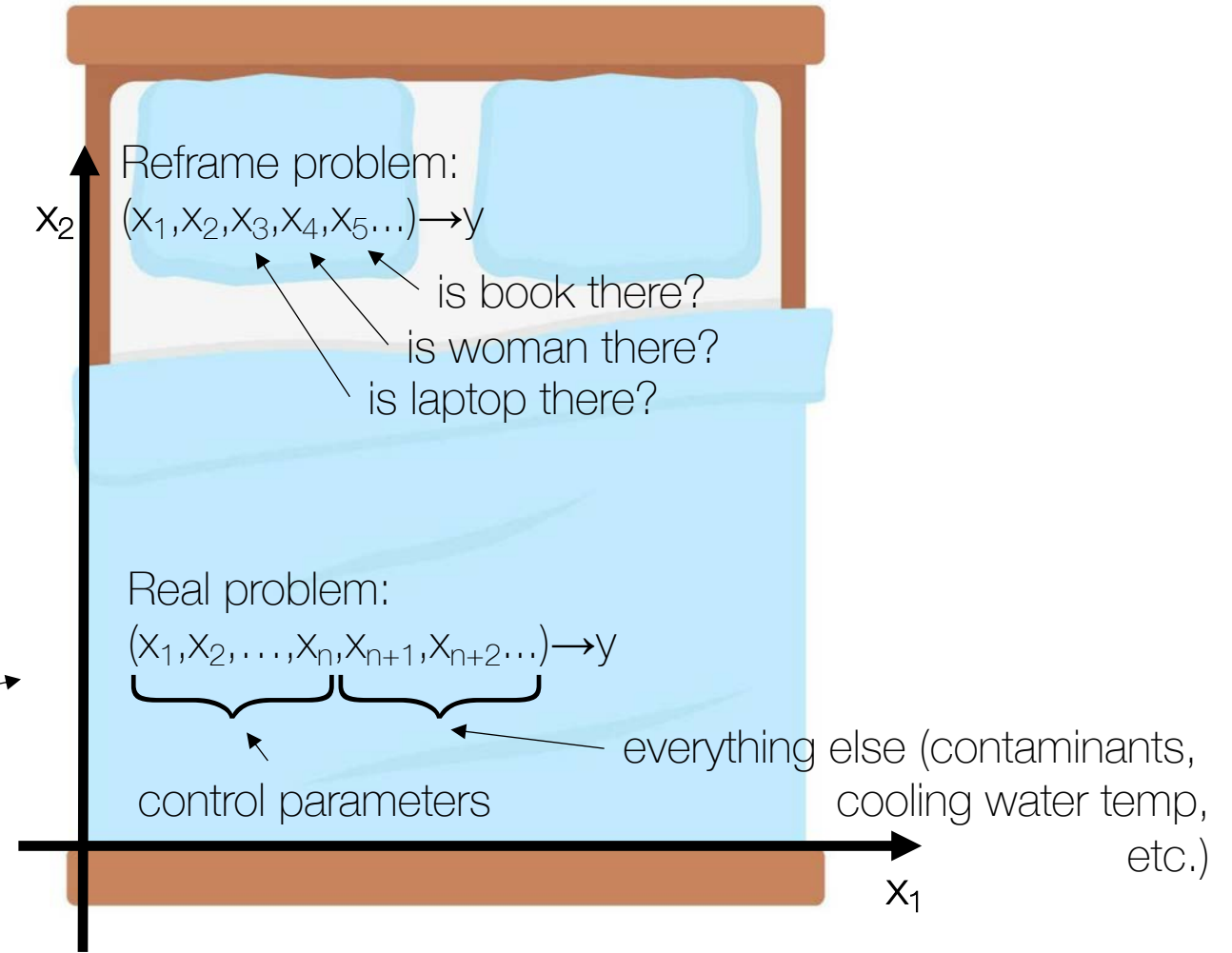
Cat optimization goal:

- Quickly assess conditions
- Predict "best"  $(x_1, x_2) \rightarrow y$
- Reoptimize as conditions change



ECR machine learning optimization goal:

- Quickly assess conditions
- Predict "good" control parameters:  $(x_1, x_2, \dots, x_n) \rightarrow y$
- Reoptimize as conditions change



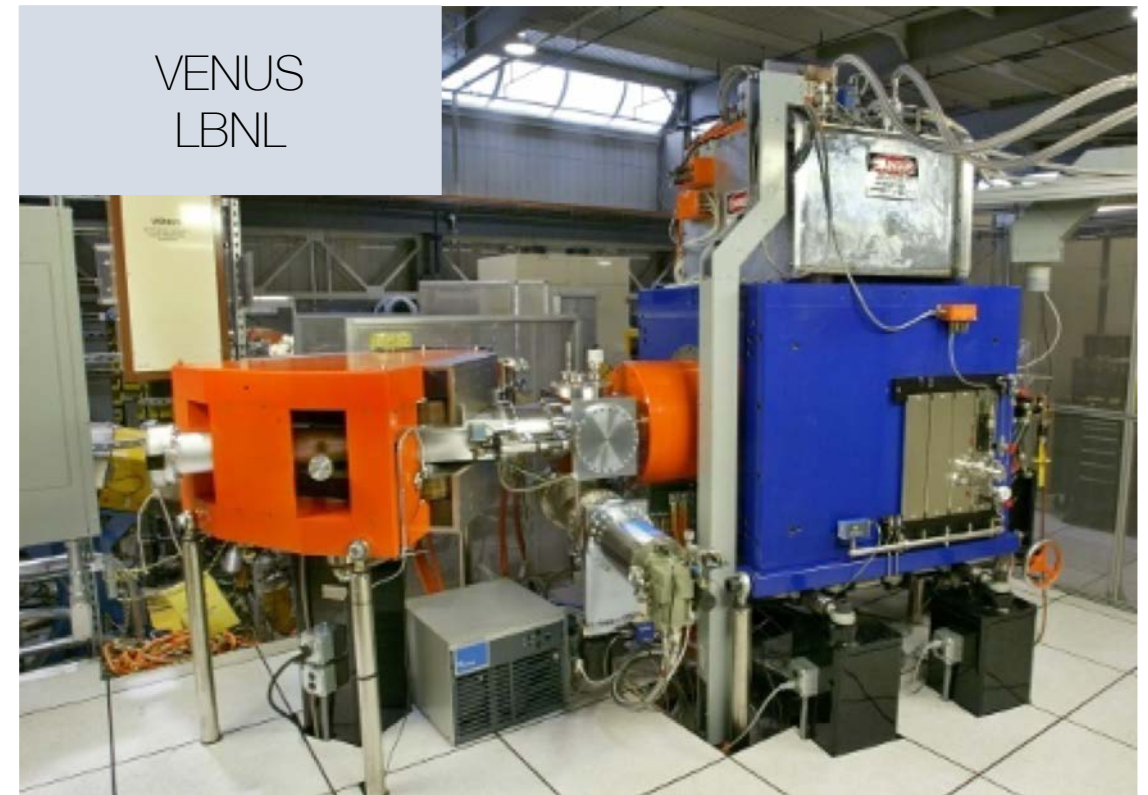
# We are attempting to go at this with VENUS

## VENUS:

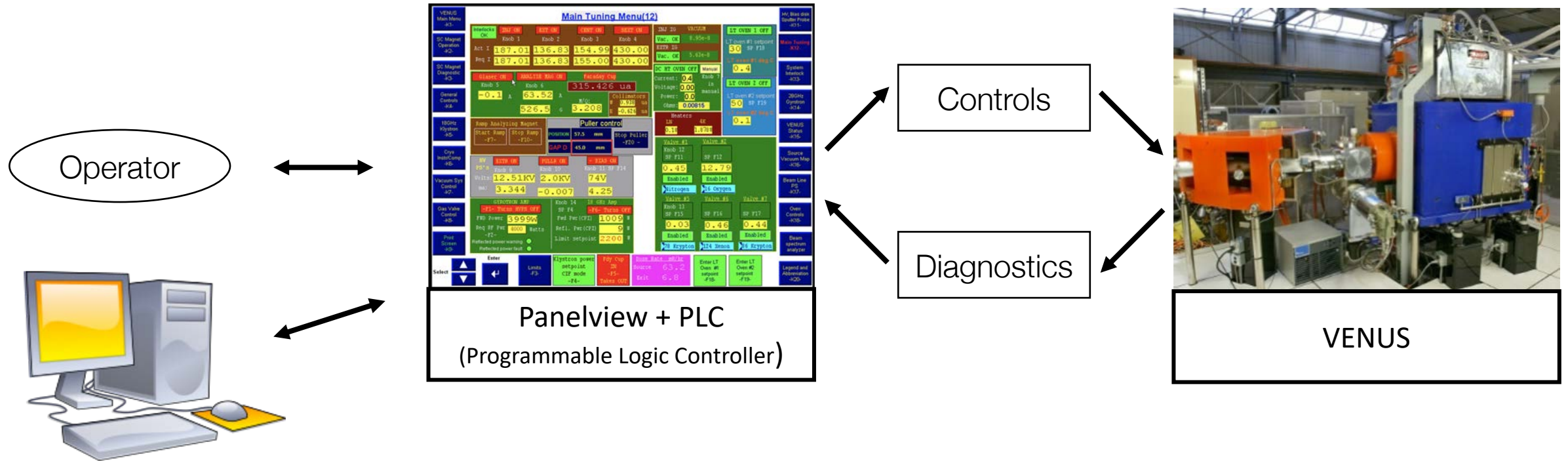
- World's first fully-superconducting ECR ion source designed for 28 GHz operation
- Injector for LBNL's 88" Cyclotron
- RF: 28+ 2x18 GHz (up to 10+2x2 kW)
- Maximum axial fields: 4 T

## Example beams:

- $> 4.7 \text{ mA O}^{6+}$ ,  $> 20 \text{ mA He}^+$  from source
- $^{197}\text{Au}^{61+}$  extracted from cyclotron
- $> 2 \text{ p}\mu\text{A } ^{48}\text{Ca}^{11+}$  and  $> 1.7 \text{ p}\mu\text{A } ^{50}\text{Ti}^{12+}$  5 MeV/u beams from Cyclotron for superheavy element research



# VENUS operation and data collection



Computer control through PLC:

Advantage:

Exploit 2 decades of safety logic in PLC

Disadvantage:

Slow ~3 Hz communication

# What we have done

- Collected over 4 years of all VENUS control and primary diagnostic data at 1 second intervals
- Performed thousands of hours of computer-only control and optimization of VENUS without human interaction

This work is supported by the U.S. Department of Energy, Office of Science, Nuclear Physics program under Award Numbers DE-FOA-0002490 and DE-FOA-0002875.



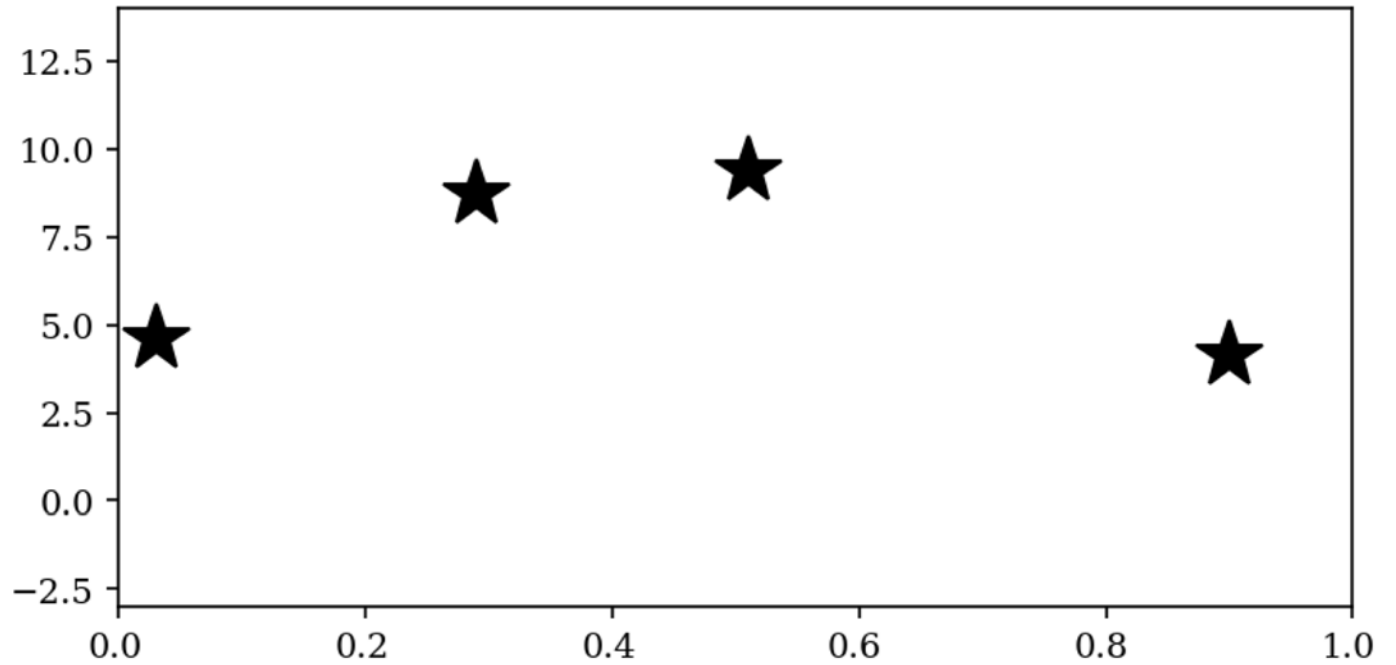
# Problem: find extremum of an unknown function when measurements are expensive

## Find maximum of unknown function:

- Measurements are expensive (time, \$, etc.)
- Four points have been measured
- Where to search next?

## Method:

- Fit curve between points
- Find maximum
- Repeat



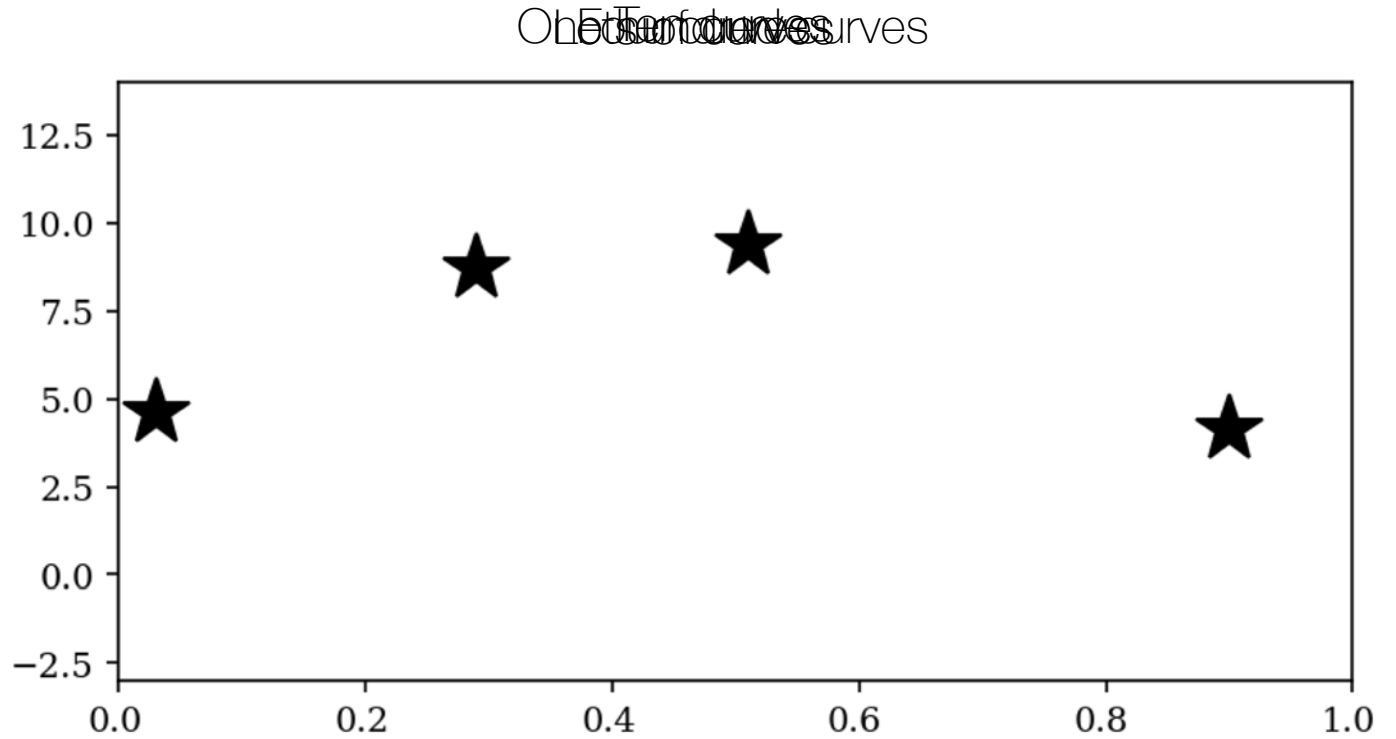
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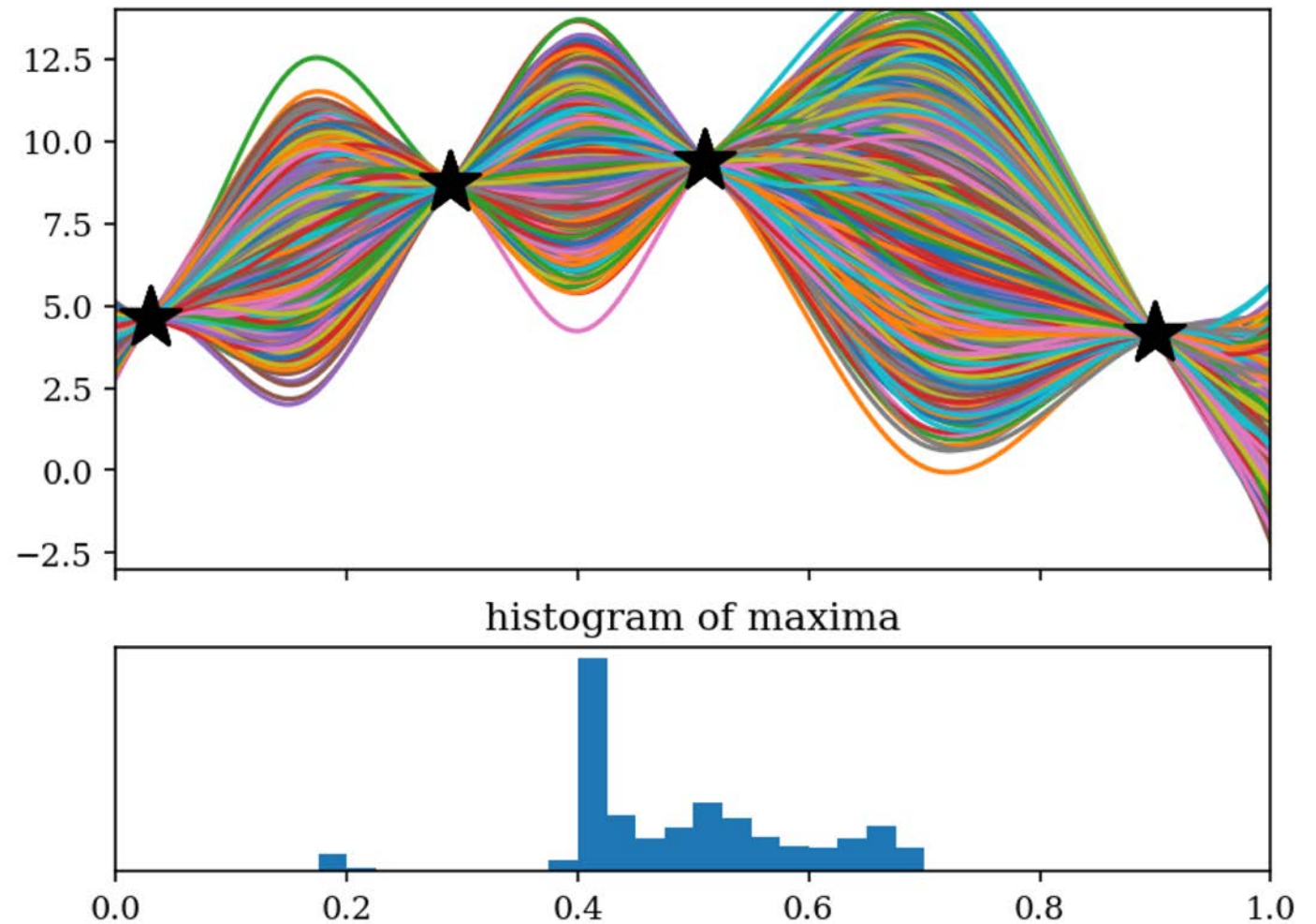
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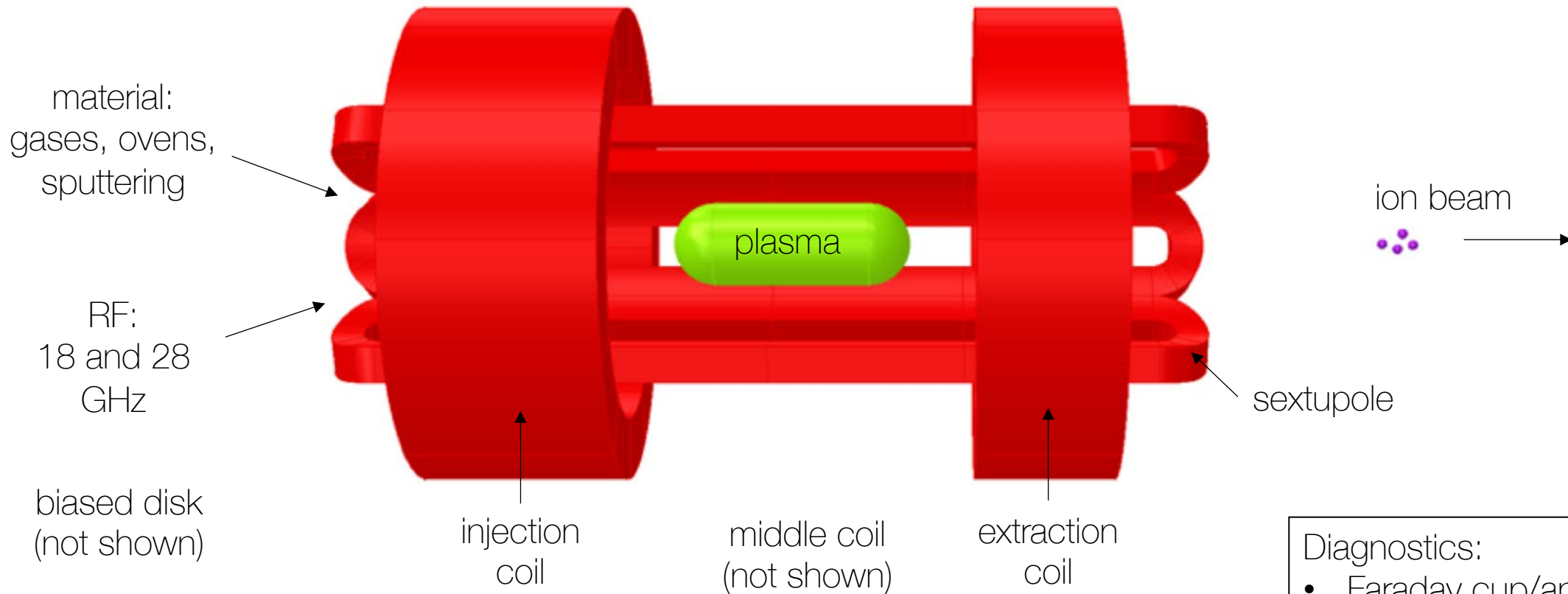
# Bayesian optimization basically follows a path like this

## Notes:

- Next search point has highest probability of improvement though other points may have curves with higher extrema



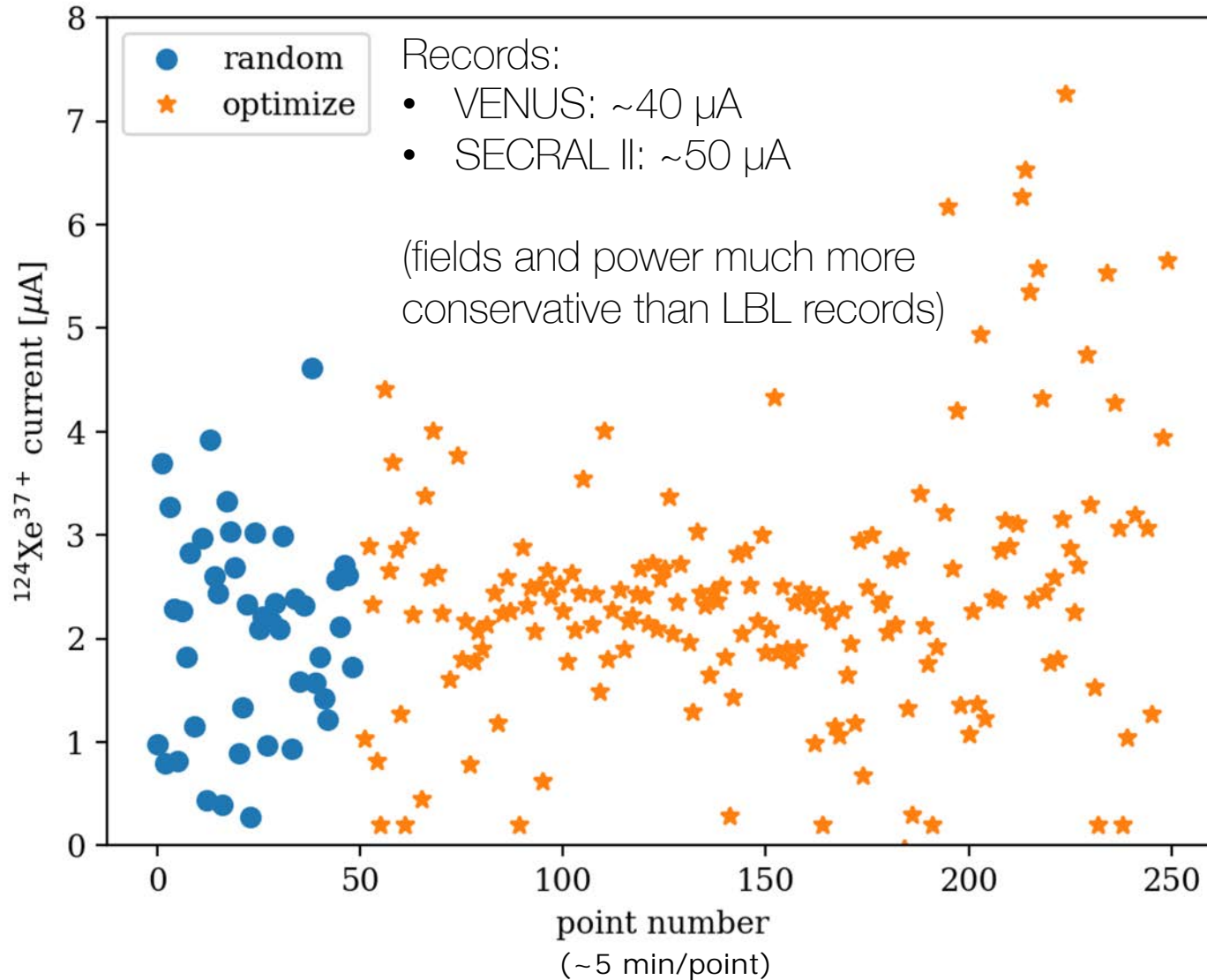
# VENUS primary control and diagnostic parameters



## Diagnostics:

- Faraday cup/analyzing magnet
- Emittance scanner
- Drain, bias currents
- Cryostat x-ray load
- Etc.

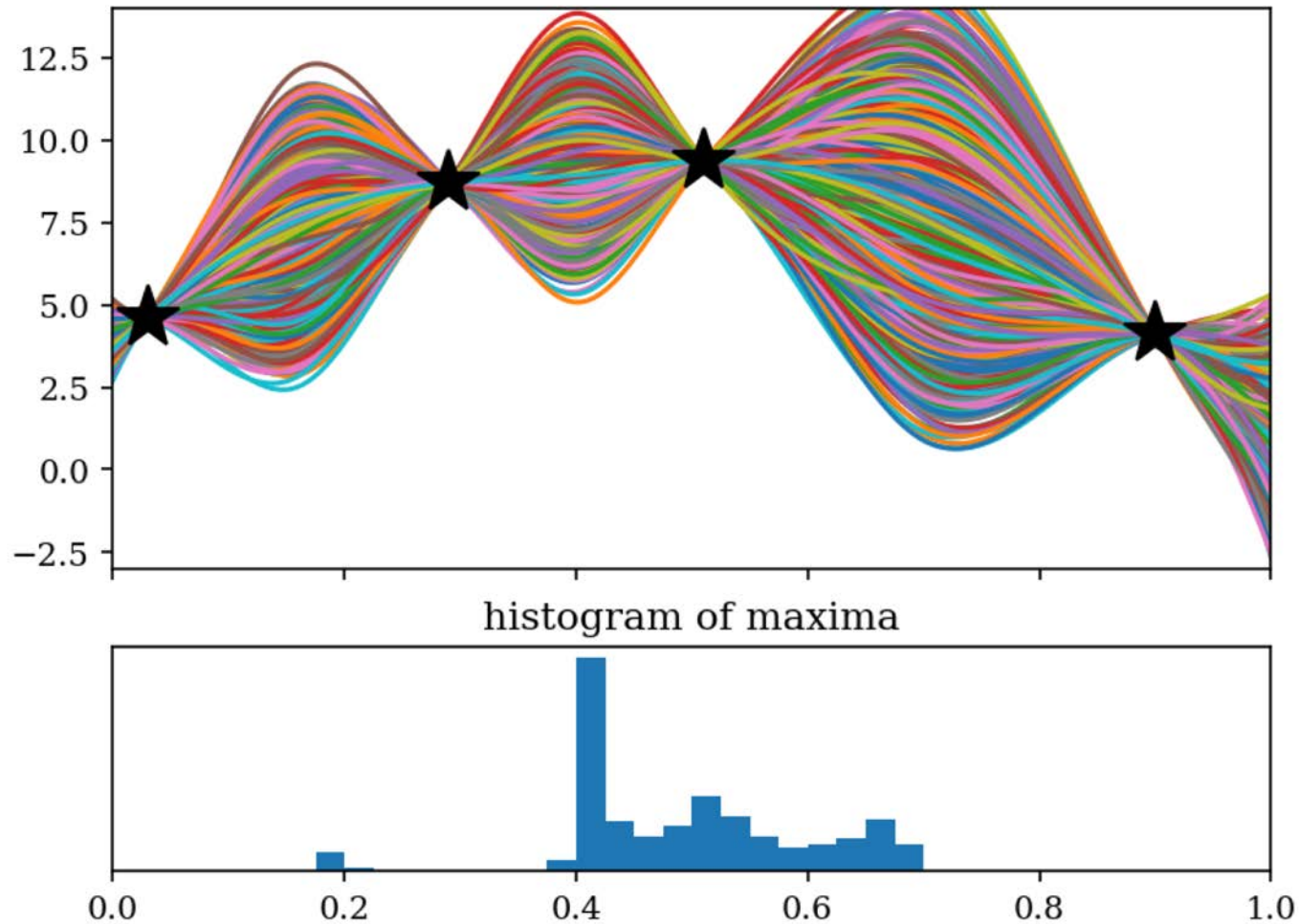
# Machine Learning: Full Bayesian Optimization of $^{124}\text{Xe}^{37+}$



Parameter	Min	Max
Bias voltage [V]	40	105
Oxygen valve	11.6	12.5
Xenon valve	8.0	13.0
Inj coil [A]	185.6	186.0
Ext coil [A]	136.6	136.8
Mid coil [A]	152.0	152.3
Sext coil [A]	430.3	430.5
18 GHz [kW]	1.4	1.8
28 GHz [kW]	5.2	6.0

- VENUS completely under computer control
- Computer “knows” nothing about VENUS

# Augmenting search based on cost



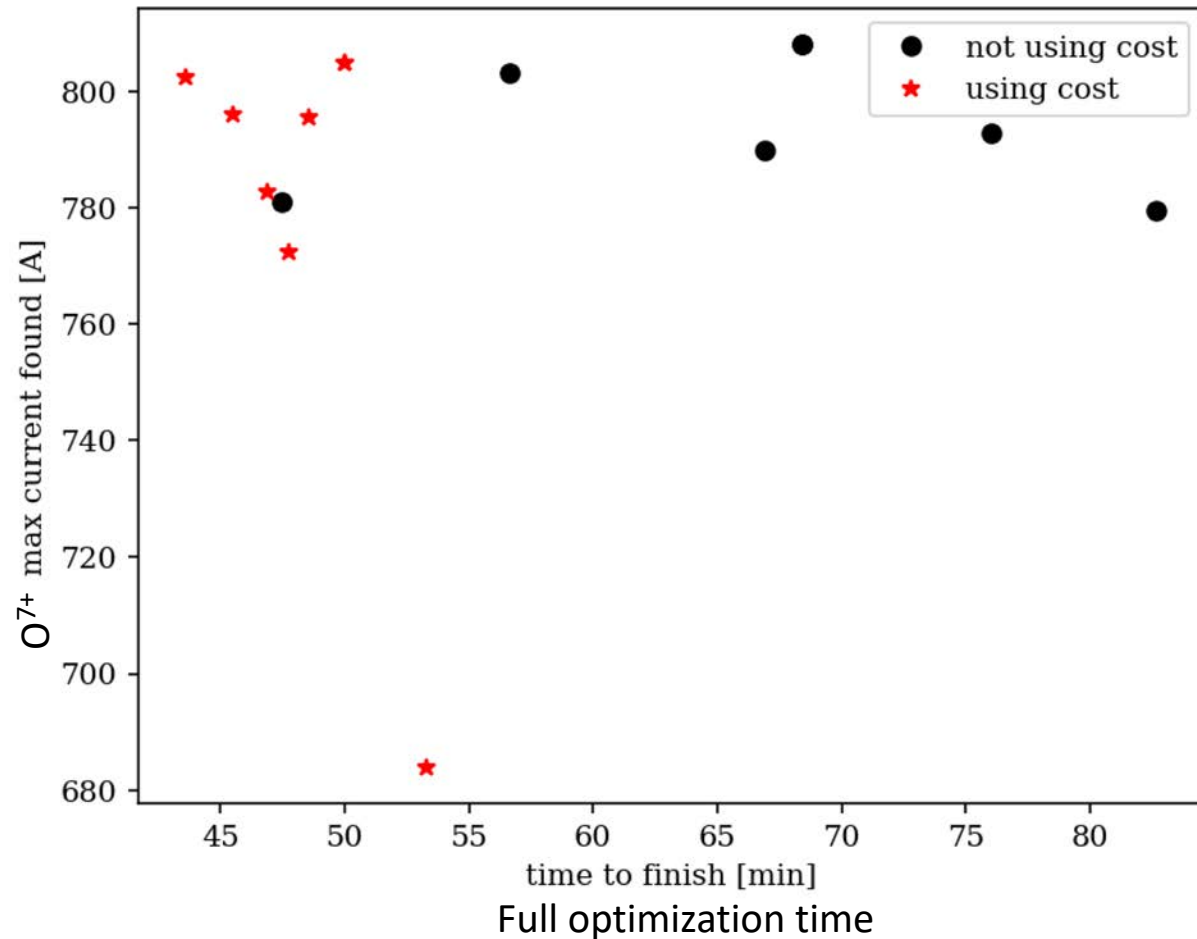
- Say cost of search increases with  $x$
- We could create a “cost function” where we only search a large  $x$  point if the likelihood of improvement is over some threshold

## For superconducting sources:

- Coils:  $\Delta t_{\text{change}} \rightarrow$  minutes
- Bias:  $\Delta t_{\text{change}} \rightarrow$  seconds

**We have employed cost functions to judiciously use coil changes**

# Using cost function in VENUS optimizations

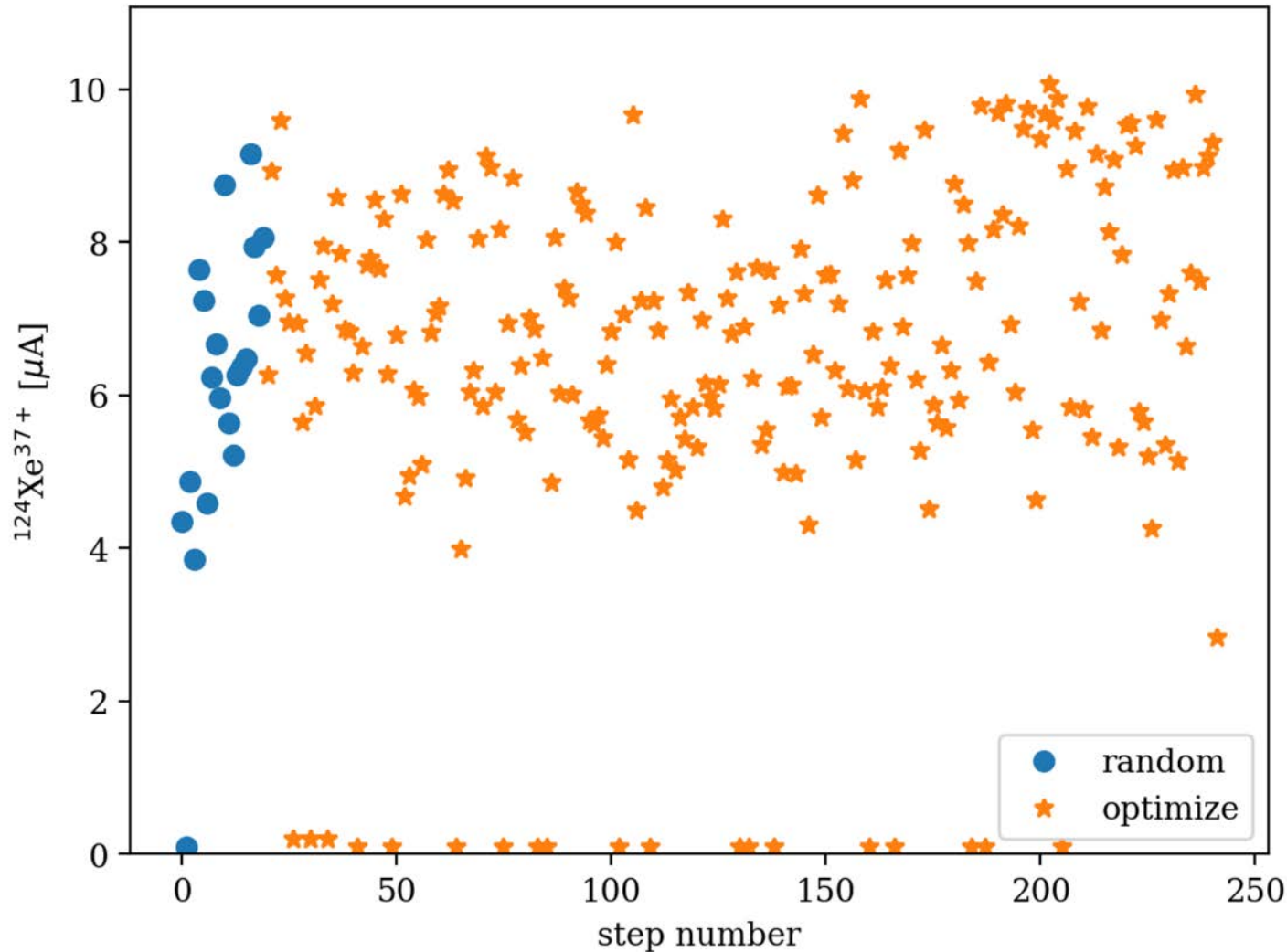


## Proof of principle:

- Three parameter optimization of O<sup>7+</sup> (biased disk, middle solenoid, and oxygen valve) can be tens of minutes faster using cost function
- Peak current found can be lower as it is a less-thorough search

**We will continue to explore this optimization technique**

# Optimizing a little more like a human



Parameter	Min	Max
Bias voltage [V]	25	65
Oxygen valve	11.5	12.0
Xenon valve	9.0	12.0
18 GHz [kW]	1.20	1.80

**Many human records are achieved by using a cost-function-like approach:**

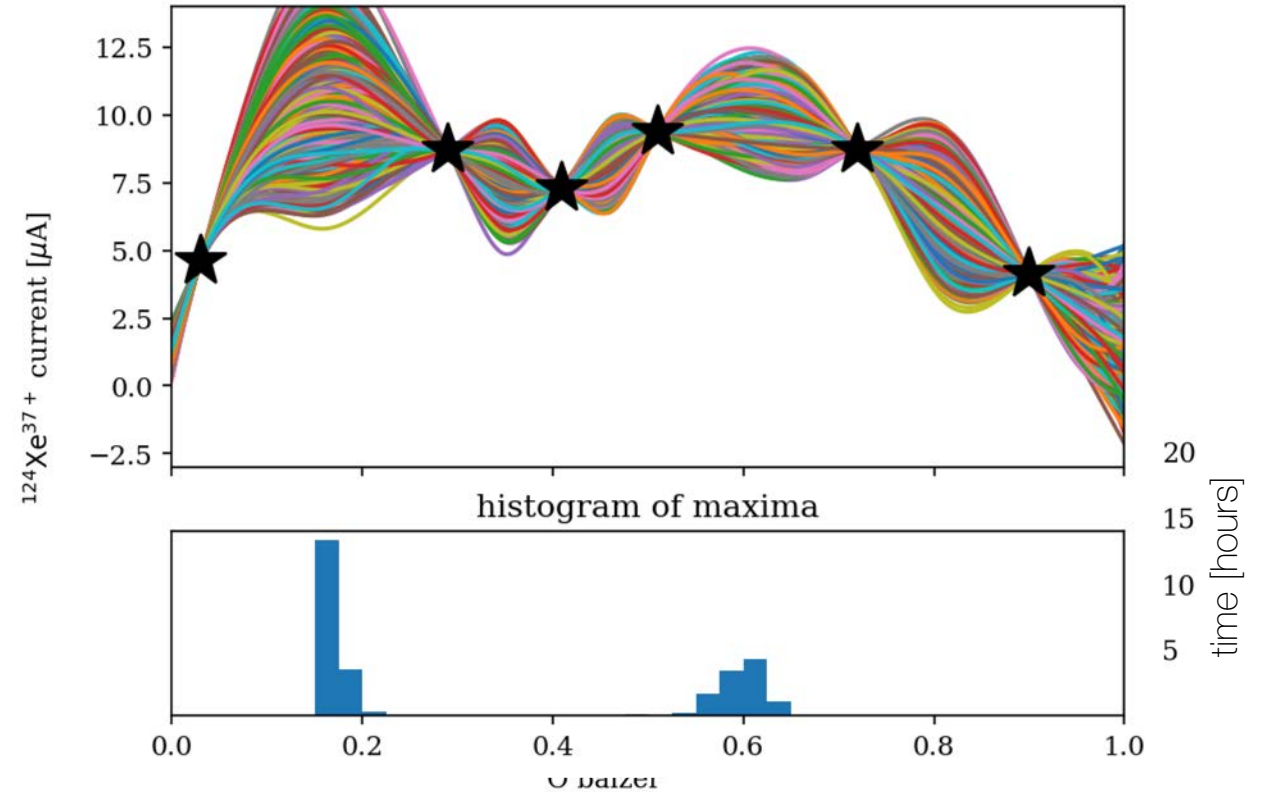
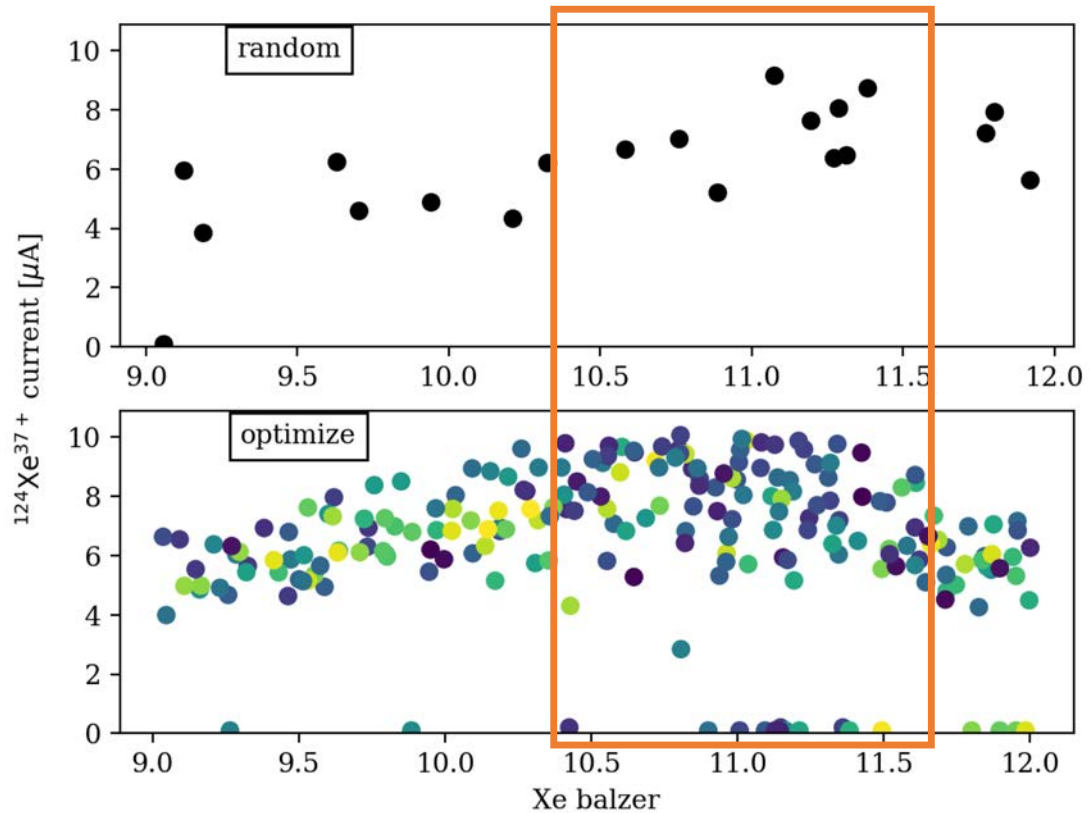
- Coils are slow, so find a pretty good solution and work from there

**Note:**

**When instabilities or too low pressures encountered, low current is recorded**

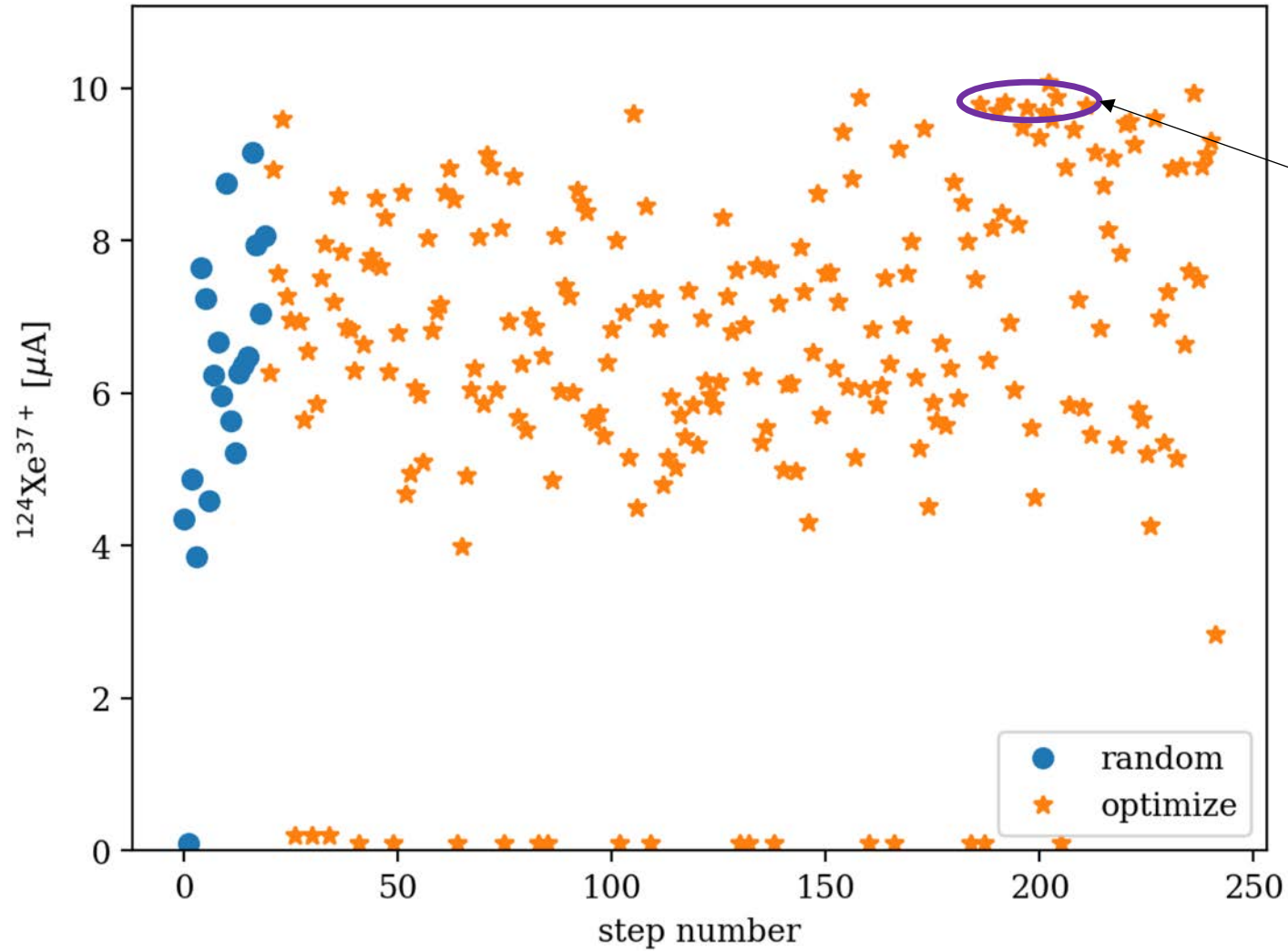


# Are there trends we can see?



- Code not being as smart as a person would be
- Can change this by adjusting "exploration" vs. "optimization"

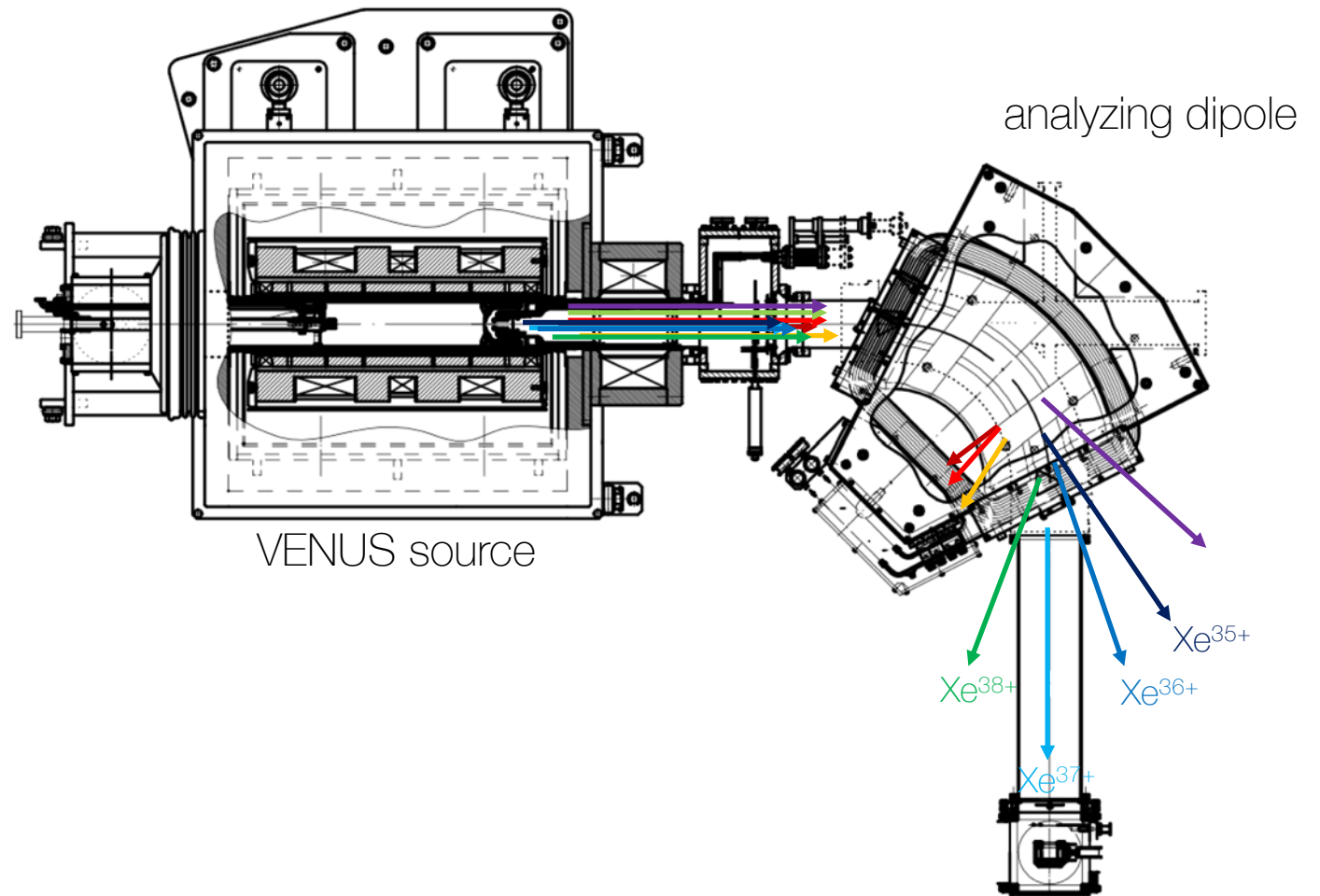
# A deeper dive into optimization



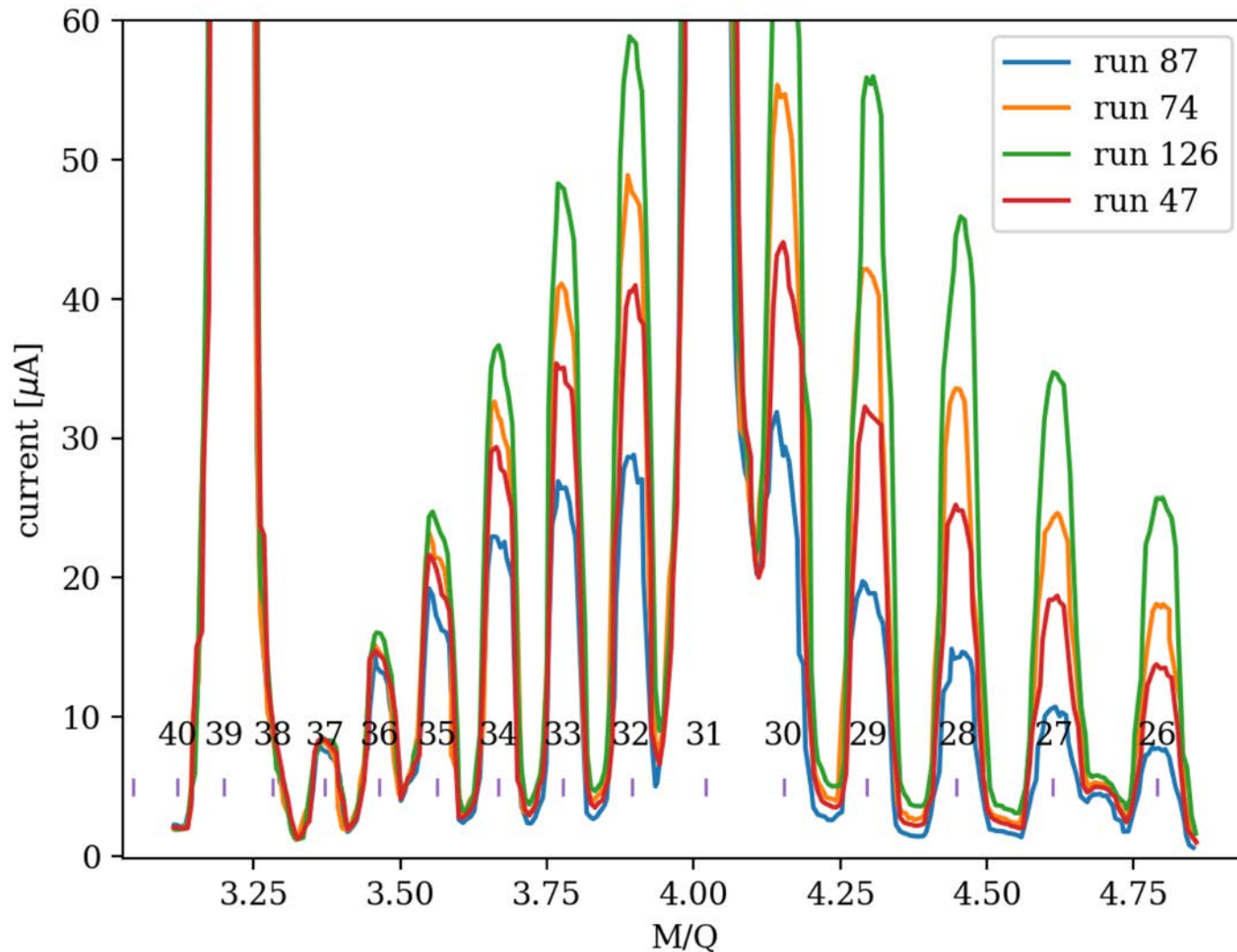
Investigate these more closely

# How were these measurements performed?

- Optimization code is looking at only one charge state (this is also what human operators spend most of their time doing!)
- Sweep dipole to get charge state distribution (CSD)



# Many ways to same result



CSDs show there there are many ways to optimize a specific charge state

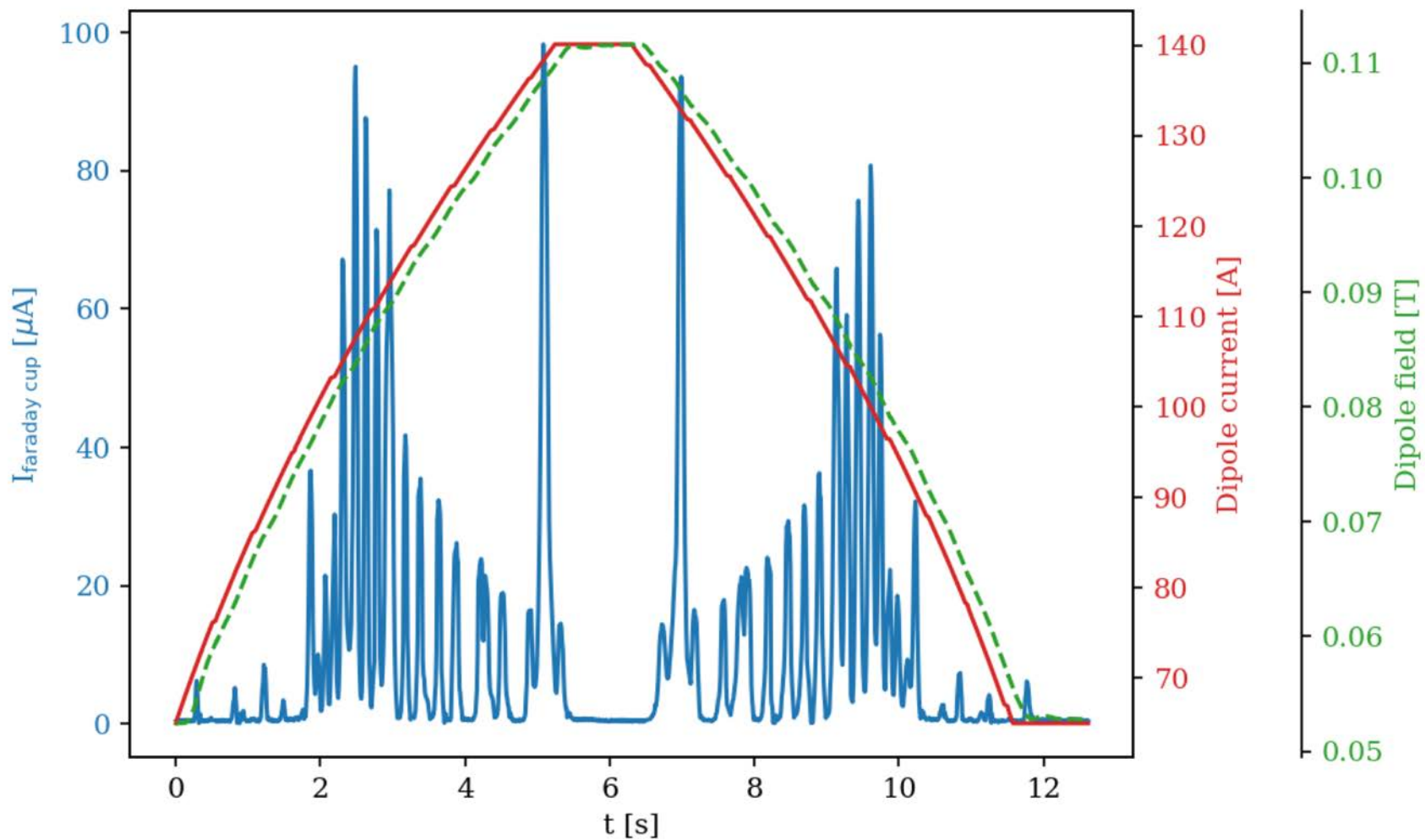
Takeaways:

- optimizing charge state's current without CSD knowledge is restricting
- CSD is slow: ~2-3 minutes each
- Even beam statistics are slow: ~3 Hz

Goals after initial optimizations (September 2023):

- Let computer try for record beam ✗
- Speed up data gathering ✓

# Faster beam measurements



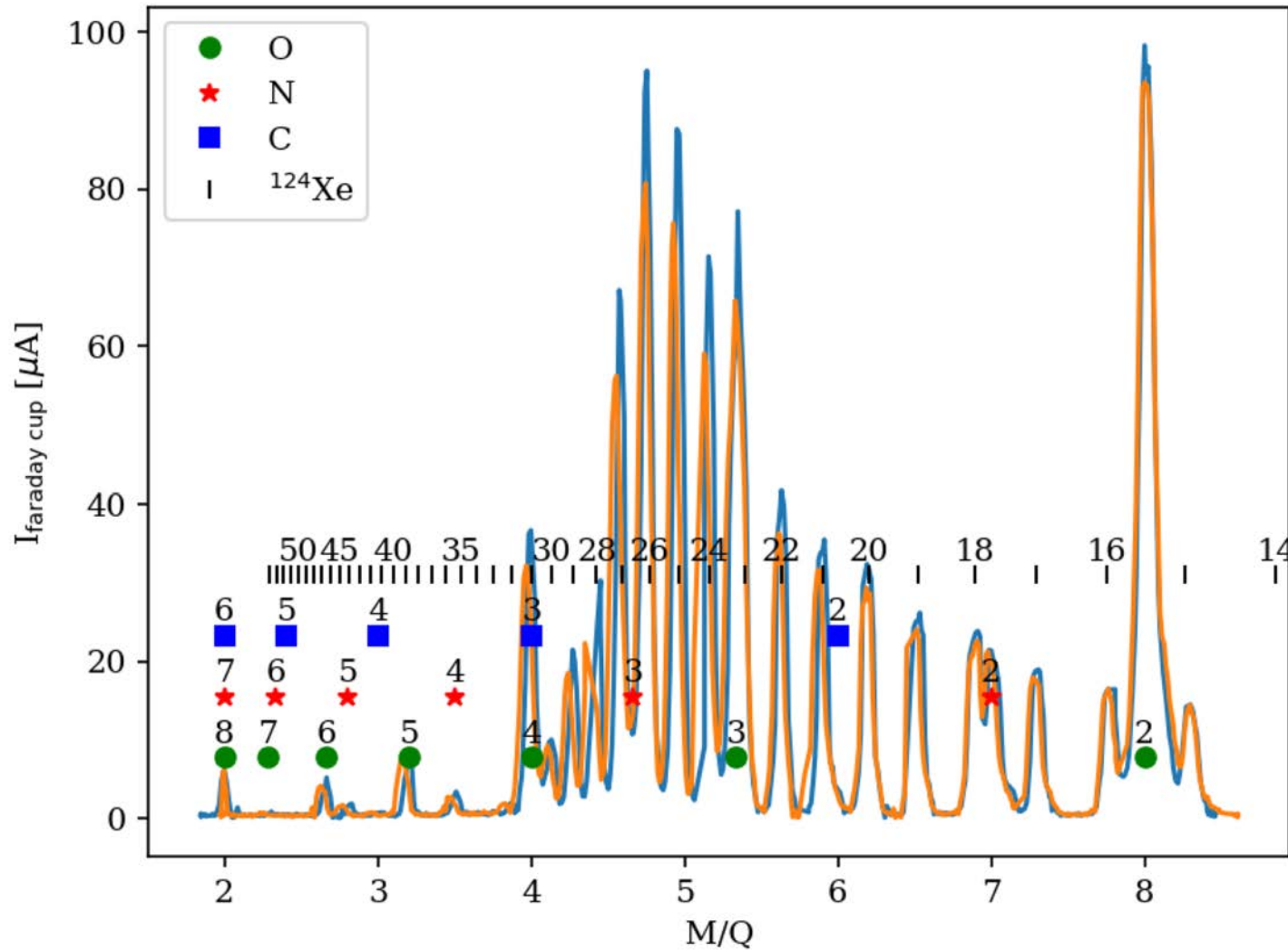
At 100 Hz:

- Set dipole current
- Read dipole's hall probe
- Read beam current

Faster CSDs

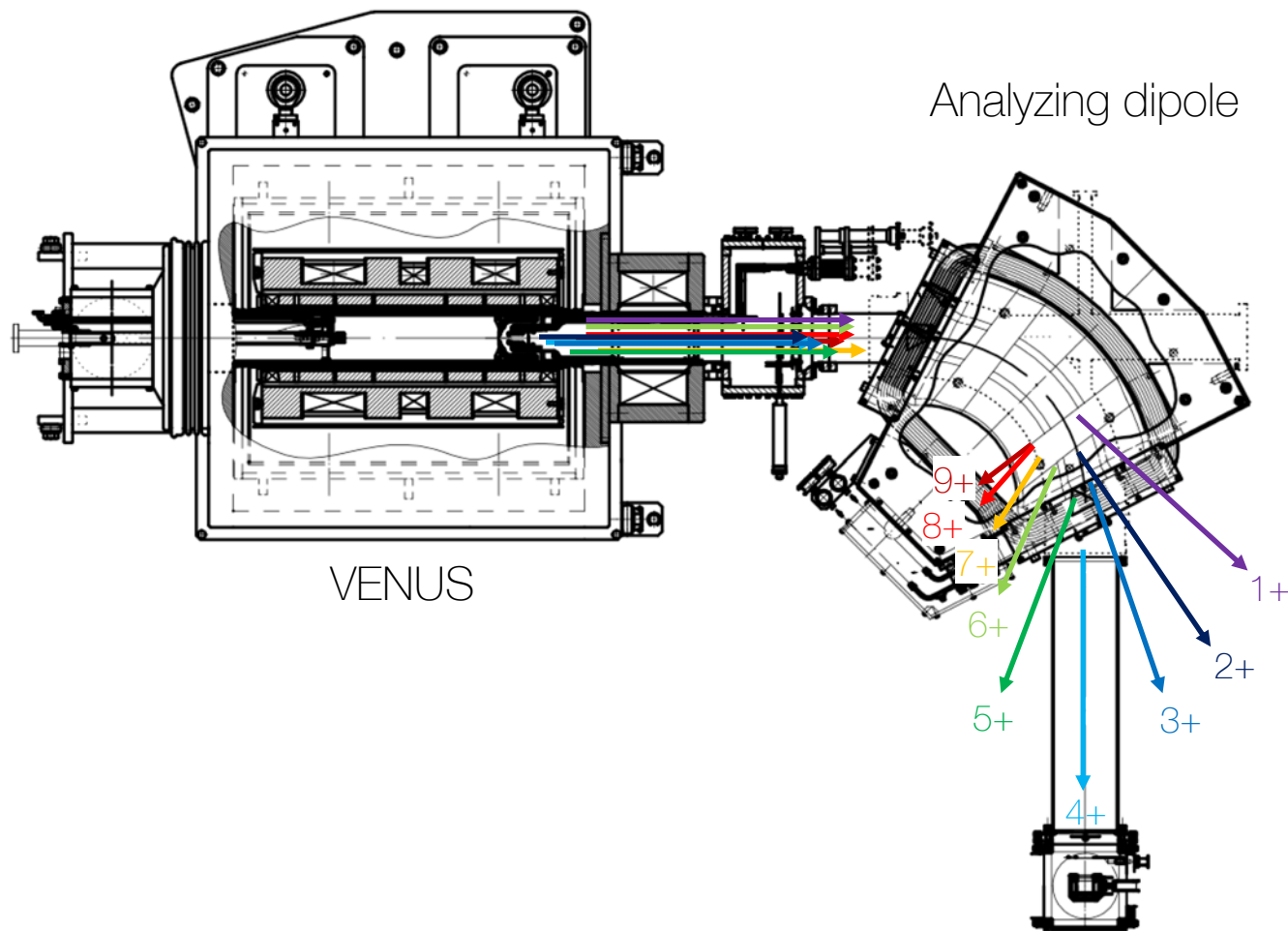
- agree well with slower ones

# Unwrapping fast CSDs

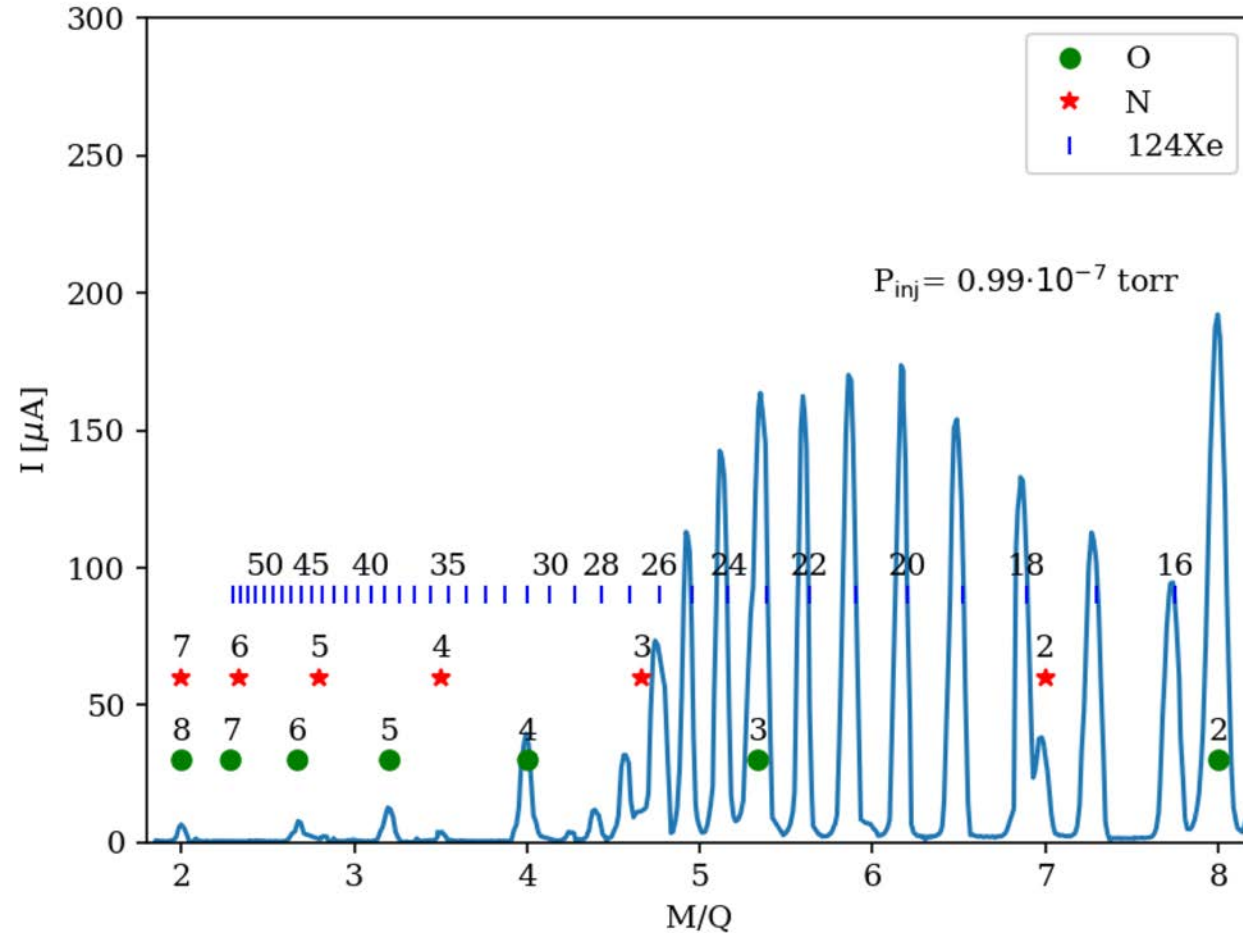


- Reduction in measured current on return, especially for high charge states
- Reduction recovers by the next CSD sweep
- Use only “increasing current” CSDs for comparison

# Overbent ions are tightly spaced on beam pipe: heating



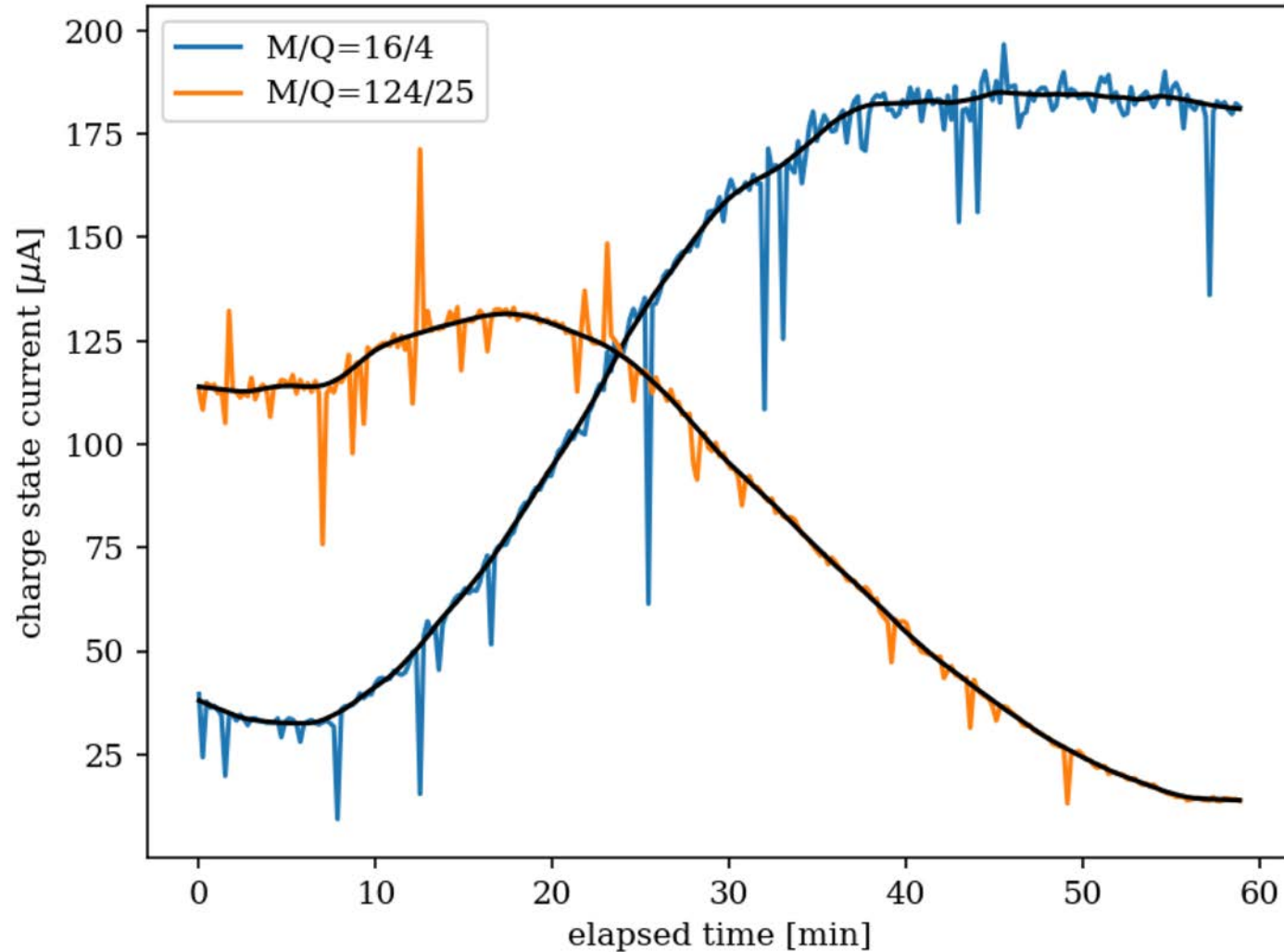
# Dynamic charge state distribution: Xe off



- Gradually close Xe valve
- CSD every 12 s
- Peaks occasionally dramatically reduced (instability?)



# Smoothing CSD data for each species

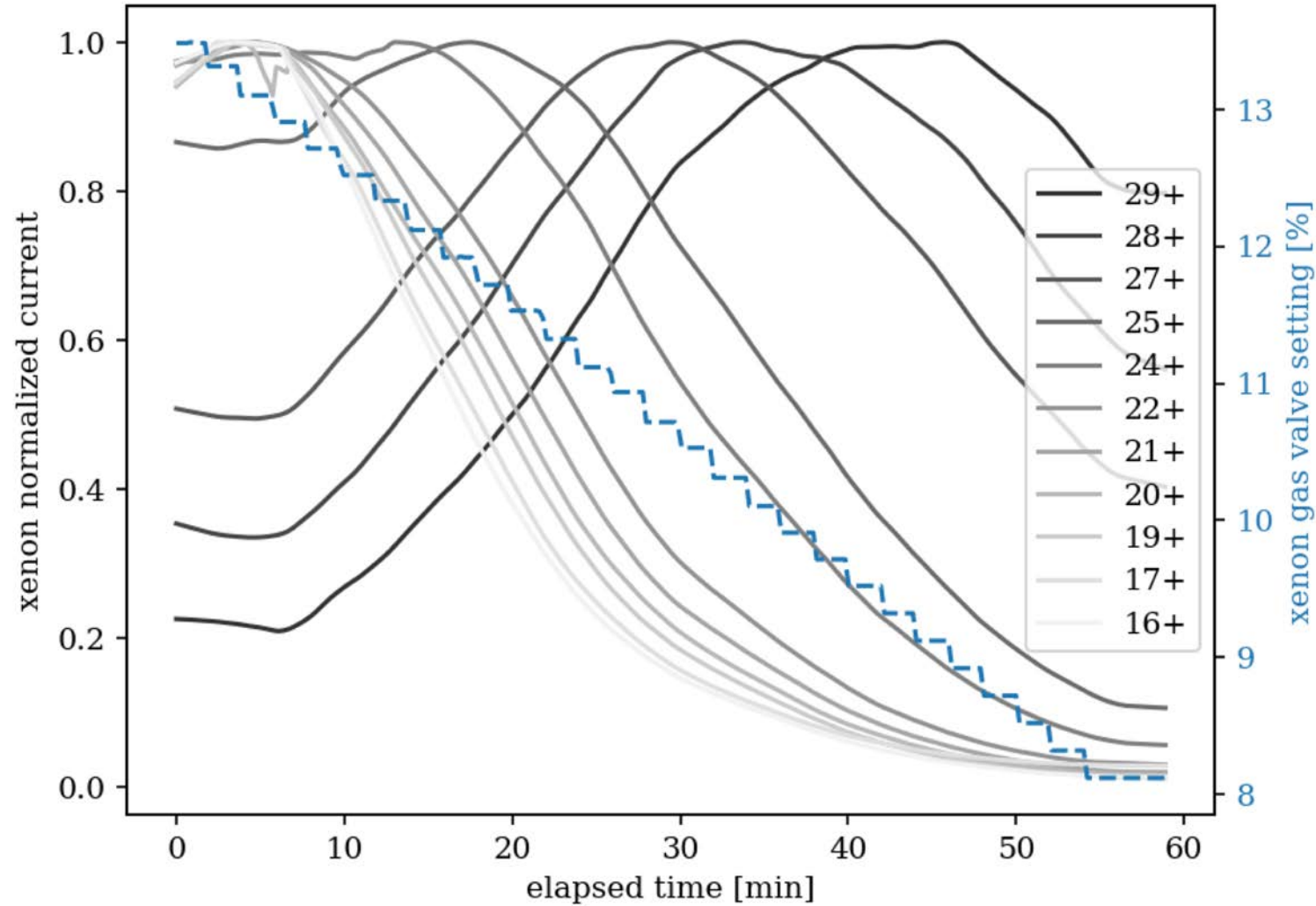


Find peaks for all species in a given CSD

Repeat for all CSDs

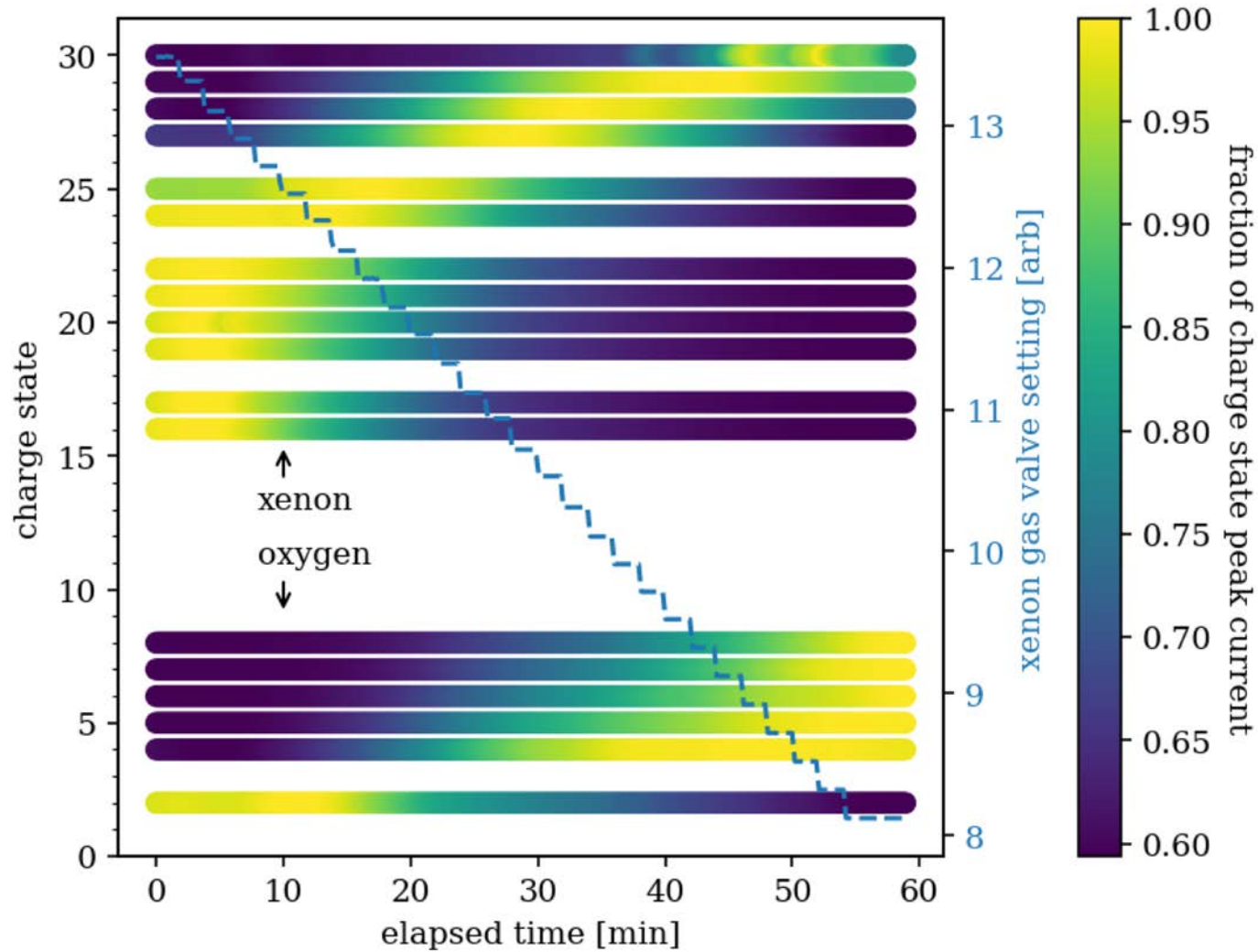
Smooth species data over sets by applying local regressive filter: LOWESS (locally weighted scatterplot smoothing)

# Visualizing dynamic CSD information for humans



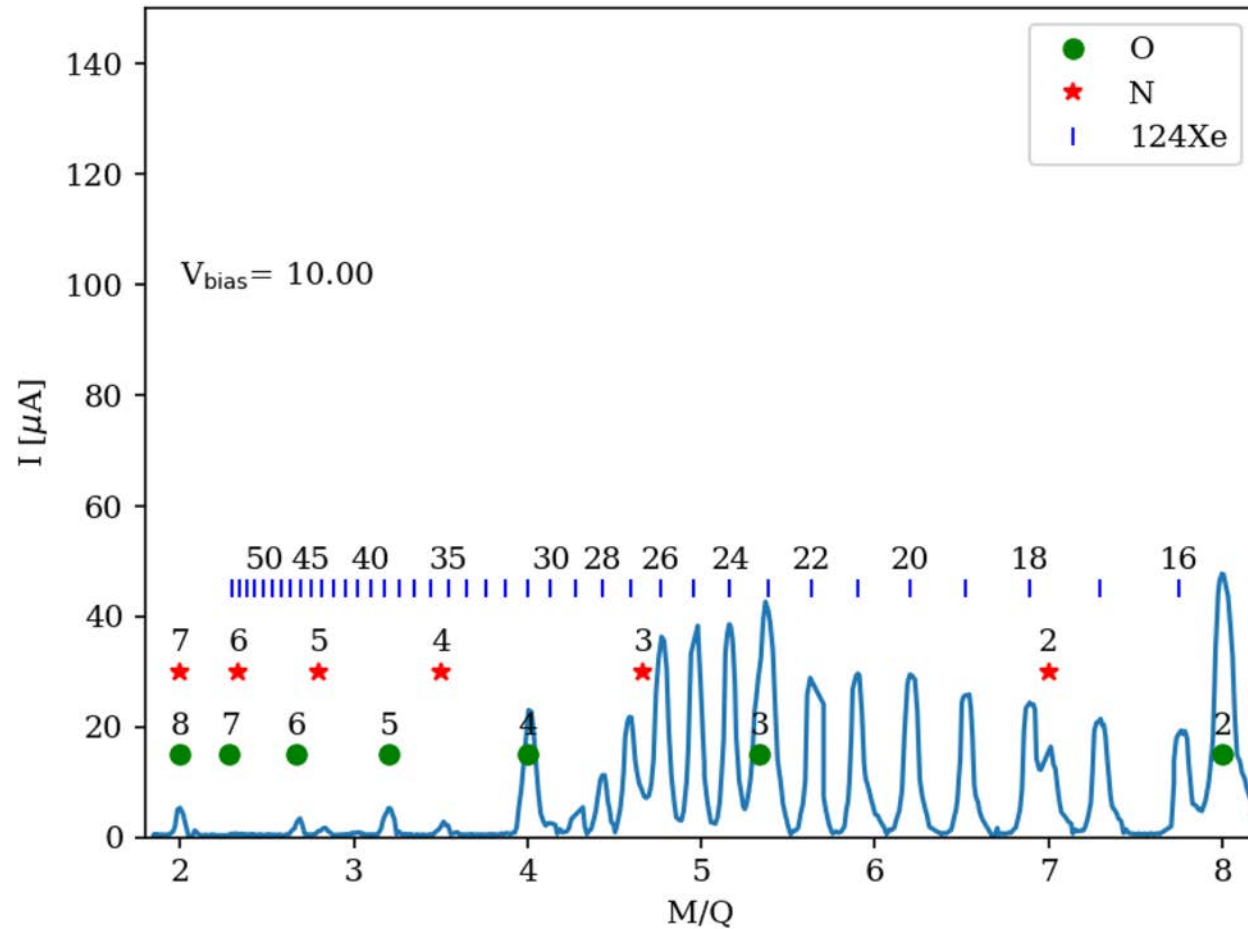
Increased charge state for  $^{124}\text{Xe}$  plotted in darker gray

# Visualizing dynamic CSD information for humans, attempt 2



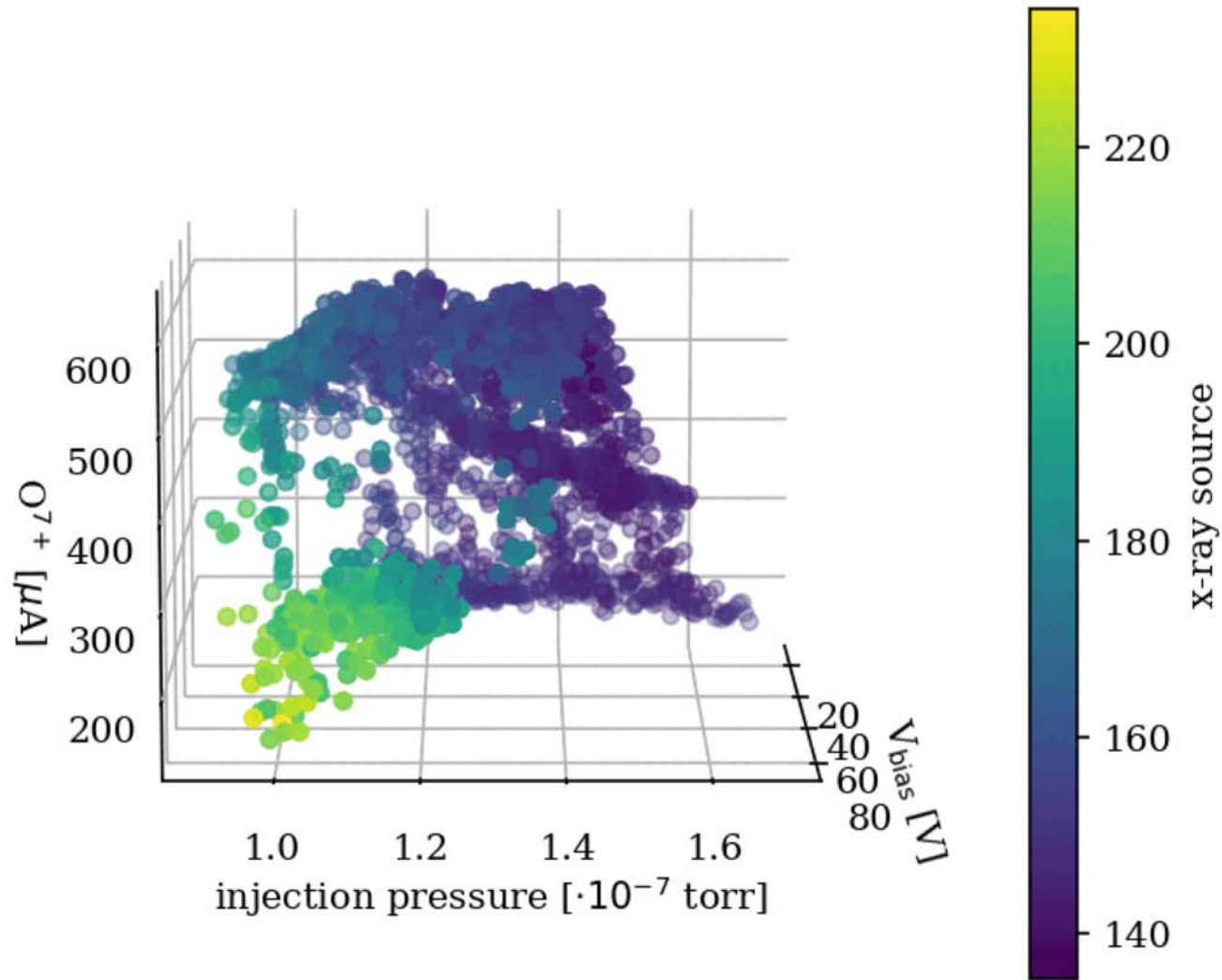
Note: this visualization problem is ours only. Machine learning can deal with multiple dimensional arrays, etc.

# Increasing biased disk voltage



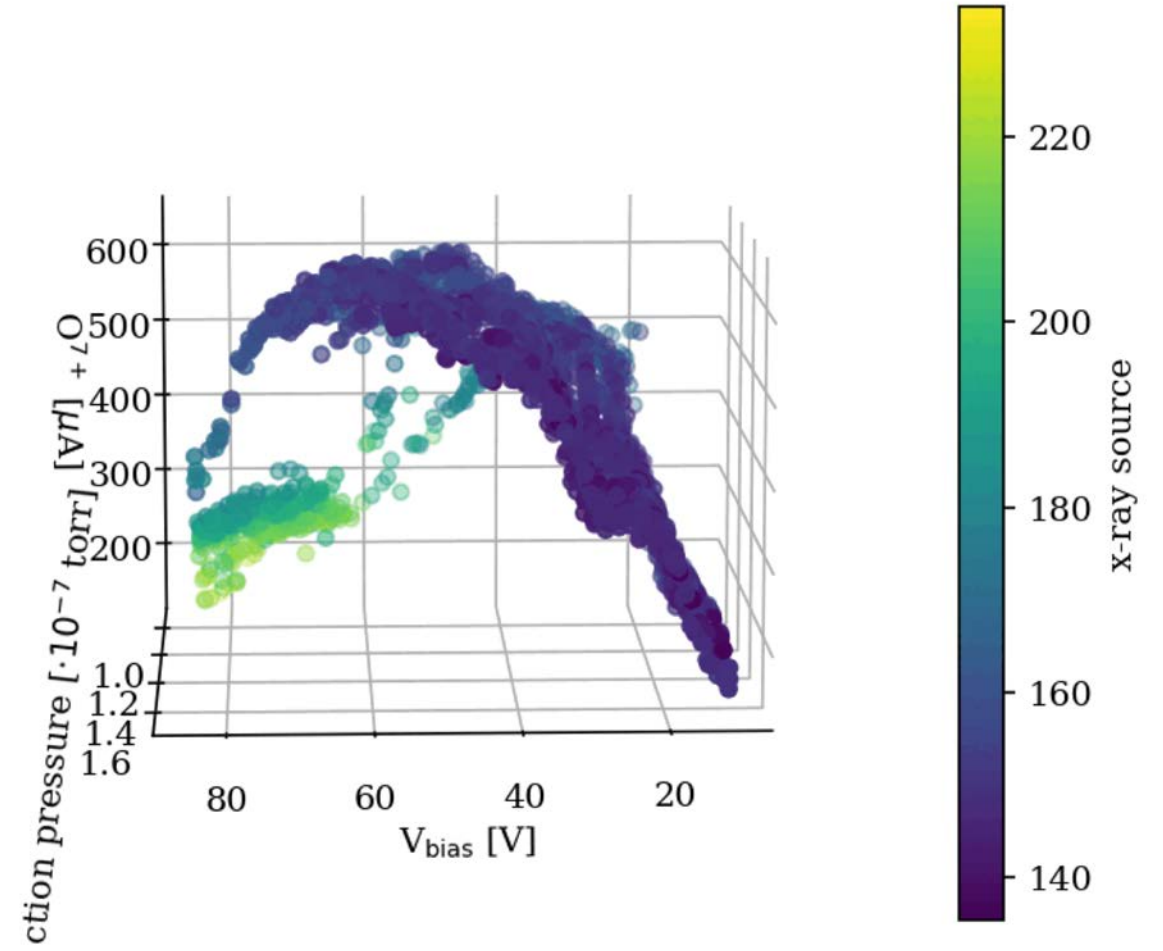
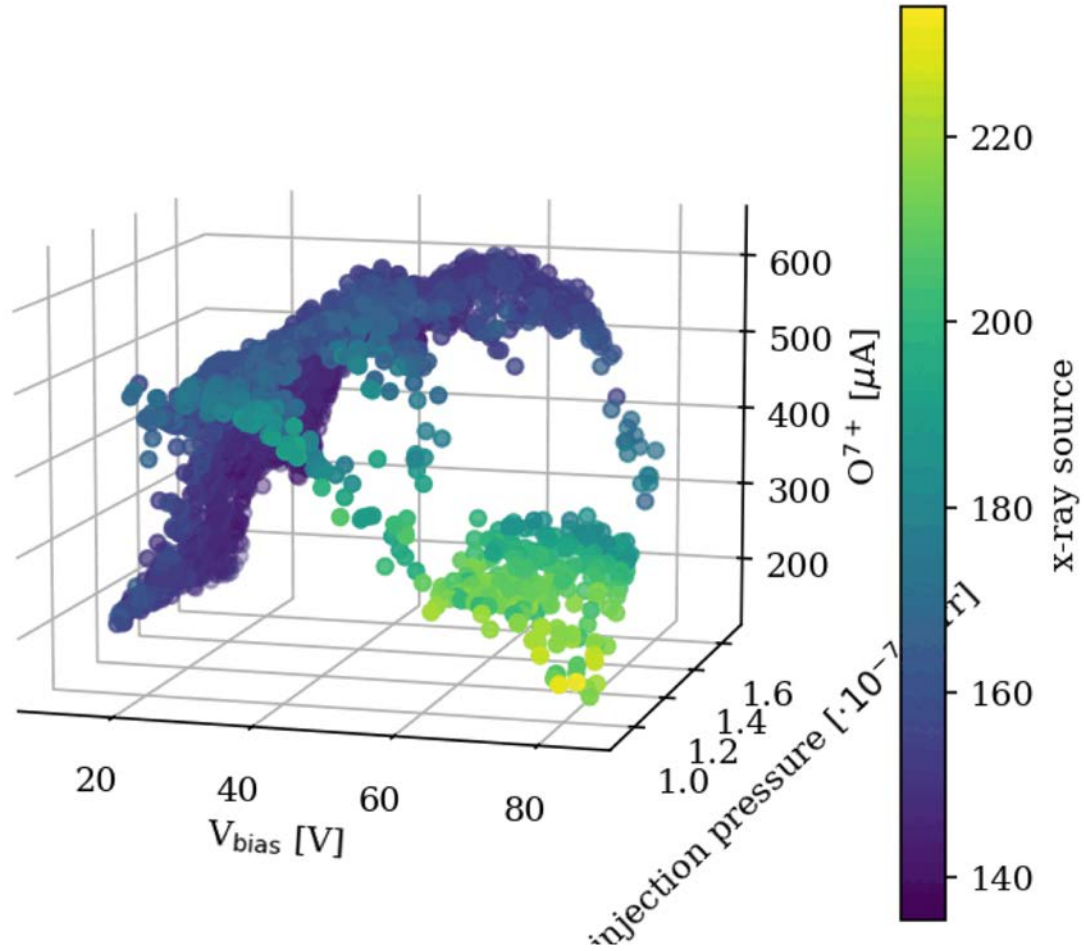
- Xe + O plasma
- Biased disk voltage is swept from 10 V to 80 V in 0.2 V steps. CSD at each step

# Visualizing unstable regions

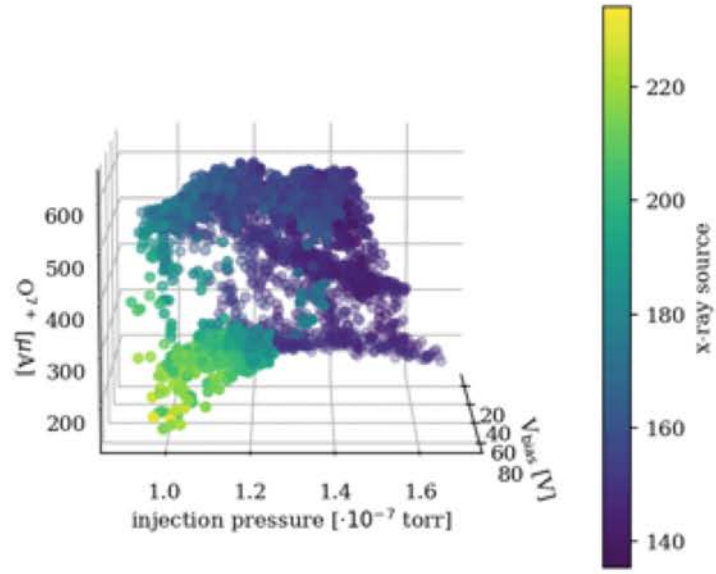


- Oxygen plasma
- Controlled Parameters:
  - biased disk voltage
  - oxygen valve
- Only plotting points where standard deviation is  $< 5\%$  of beam current
- 28/18 GHz = 4300/1400 W

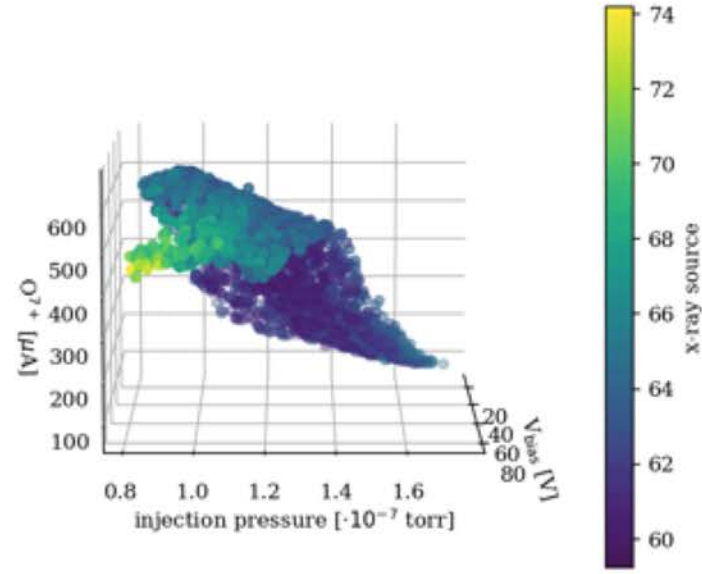
# Instability region in source pressure/biased disk voltage operation space



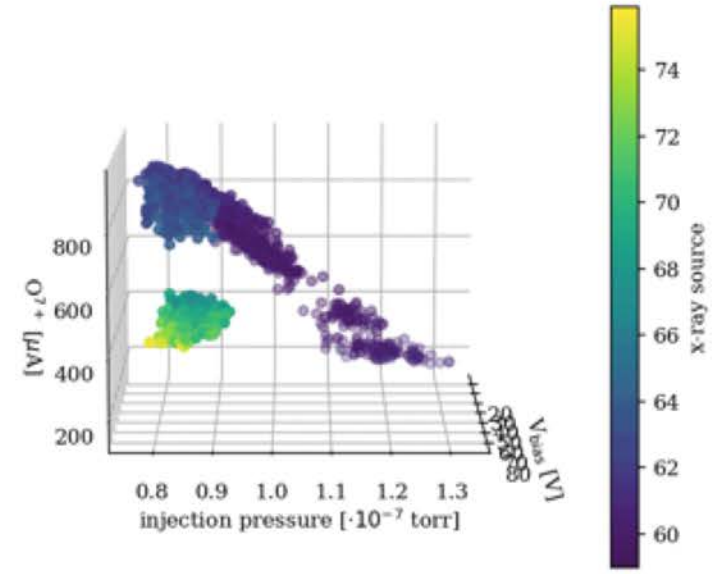
# Weekend-to-weekend differences



02 Sept. 2022

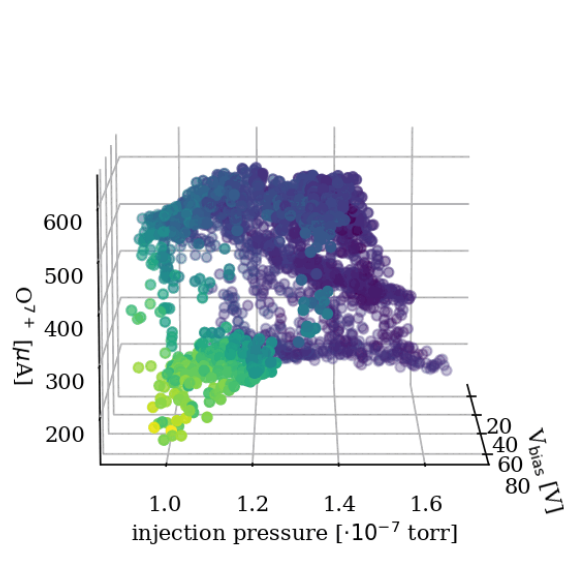


15 Jan. 2023

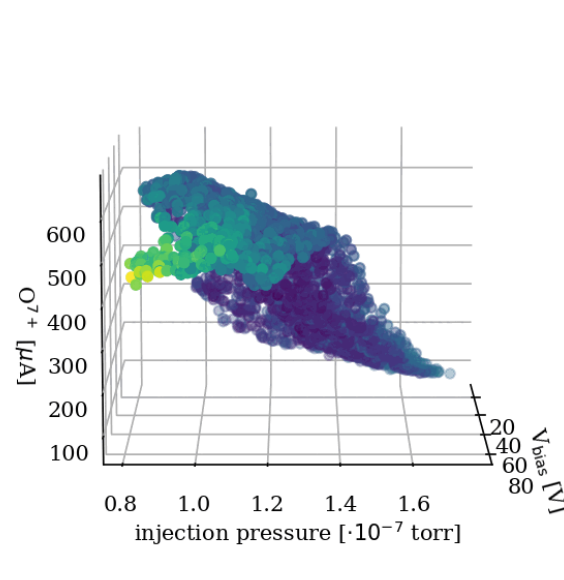


22 July 2023

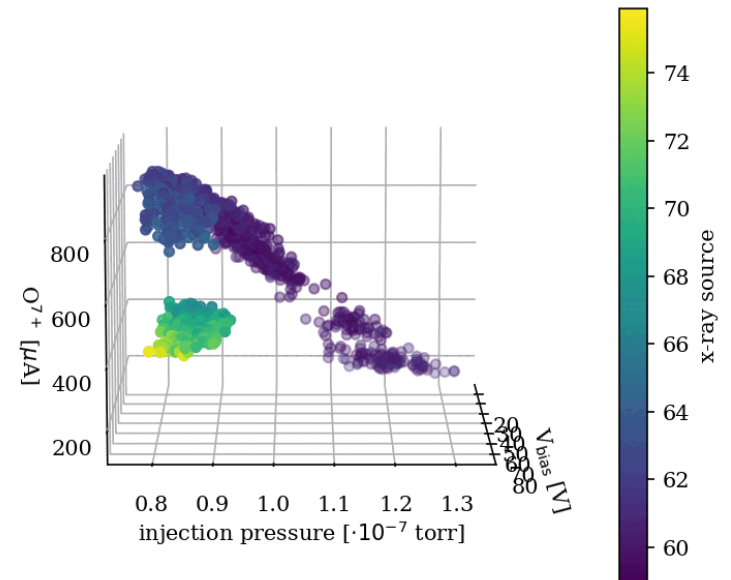
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02 Sept. 2022



15 Jan. 2023

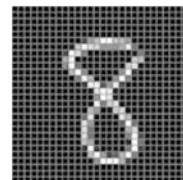


22 July 2023

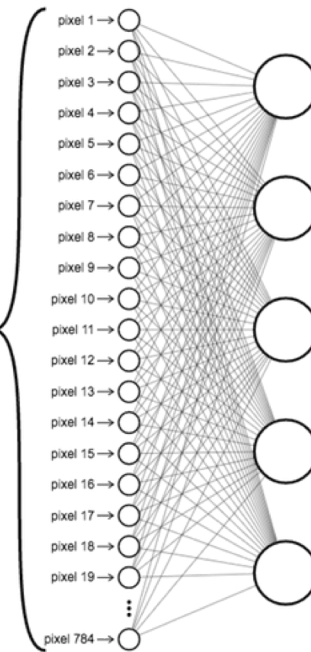
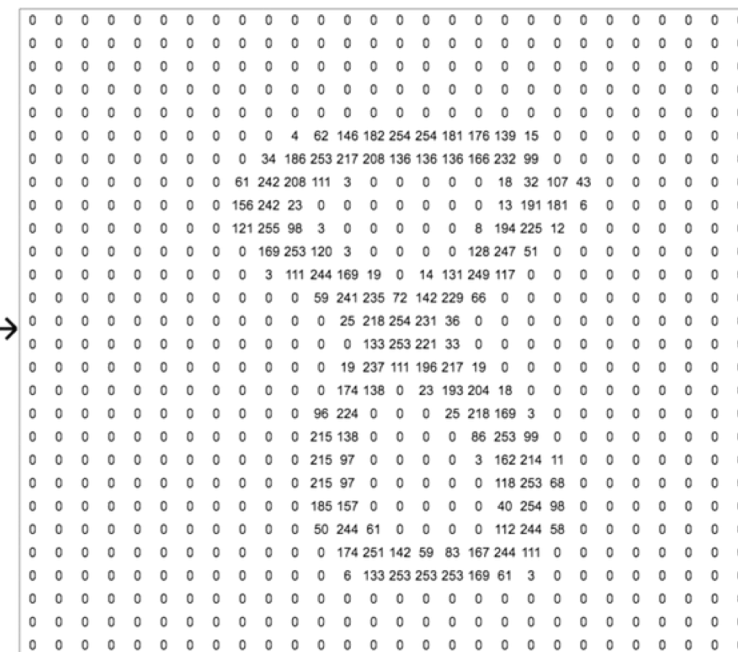
Even though different, can we use information from one weekend to tell us something about another



# Neural Networks



28 x 28  
784 pixels



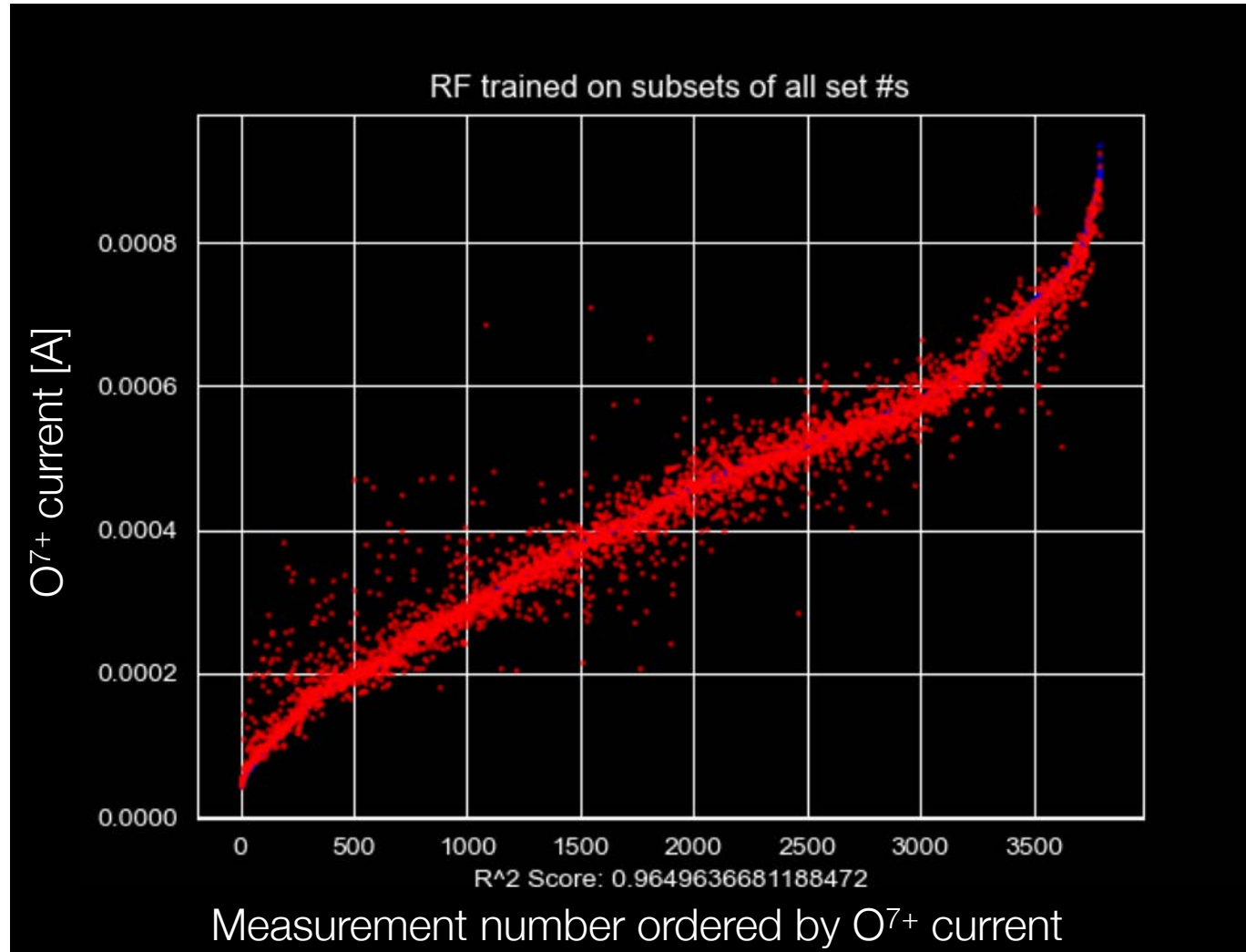
Goal: train network on some subset of number images and predict identity of new, unseen numbers

Try to apply this to VENUS:

- For given settings (coil currents, valve positions, pressures, etc.) tell me the beam current

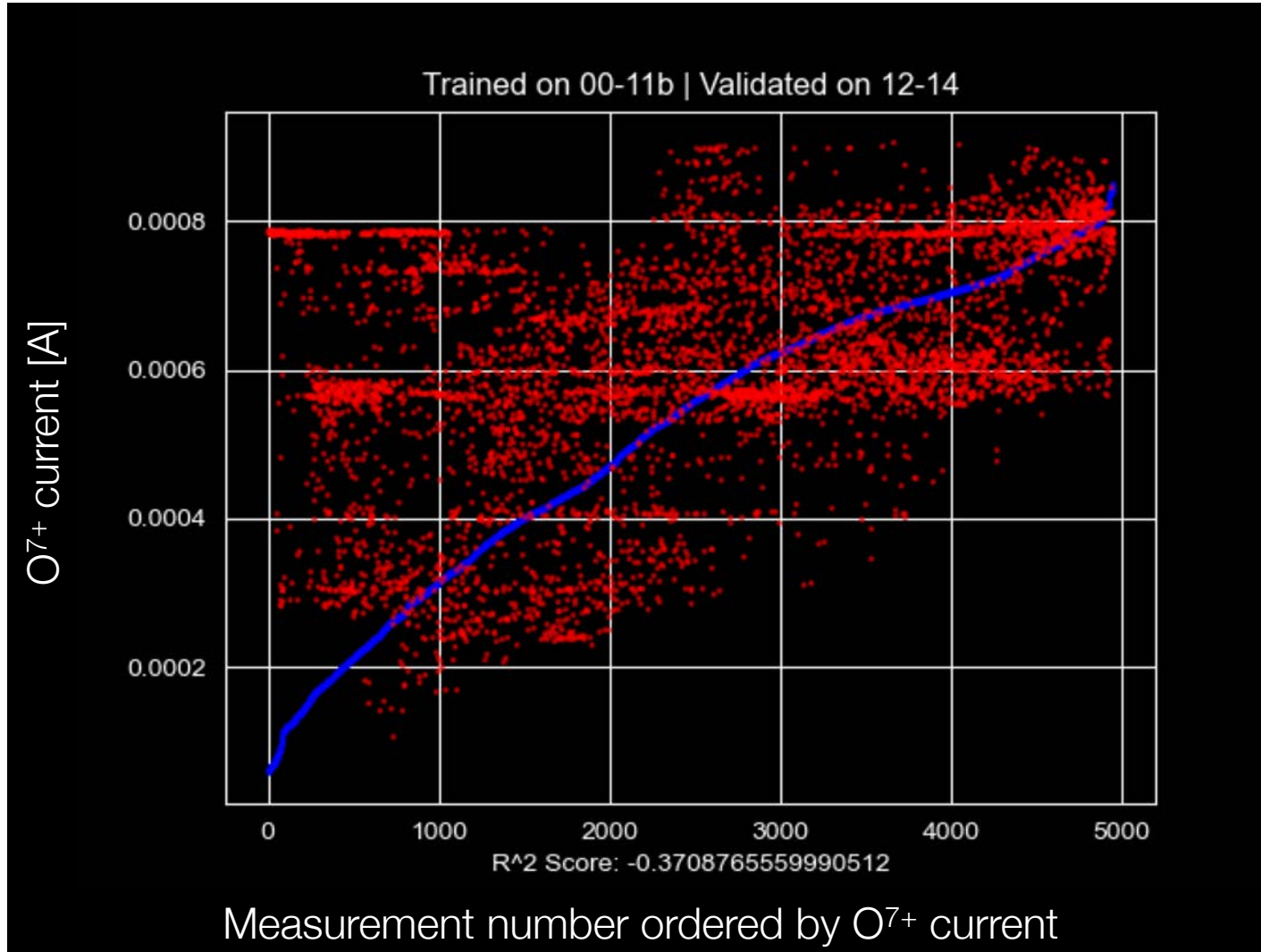
<https://medium.com/algorithms-for-life/numbre-a-number-recognizer-neural-network>

# Neural Network research by undergraduate Ezra Apple



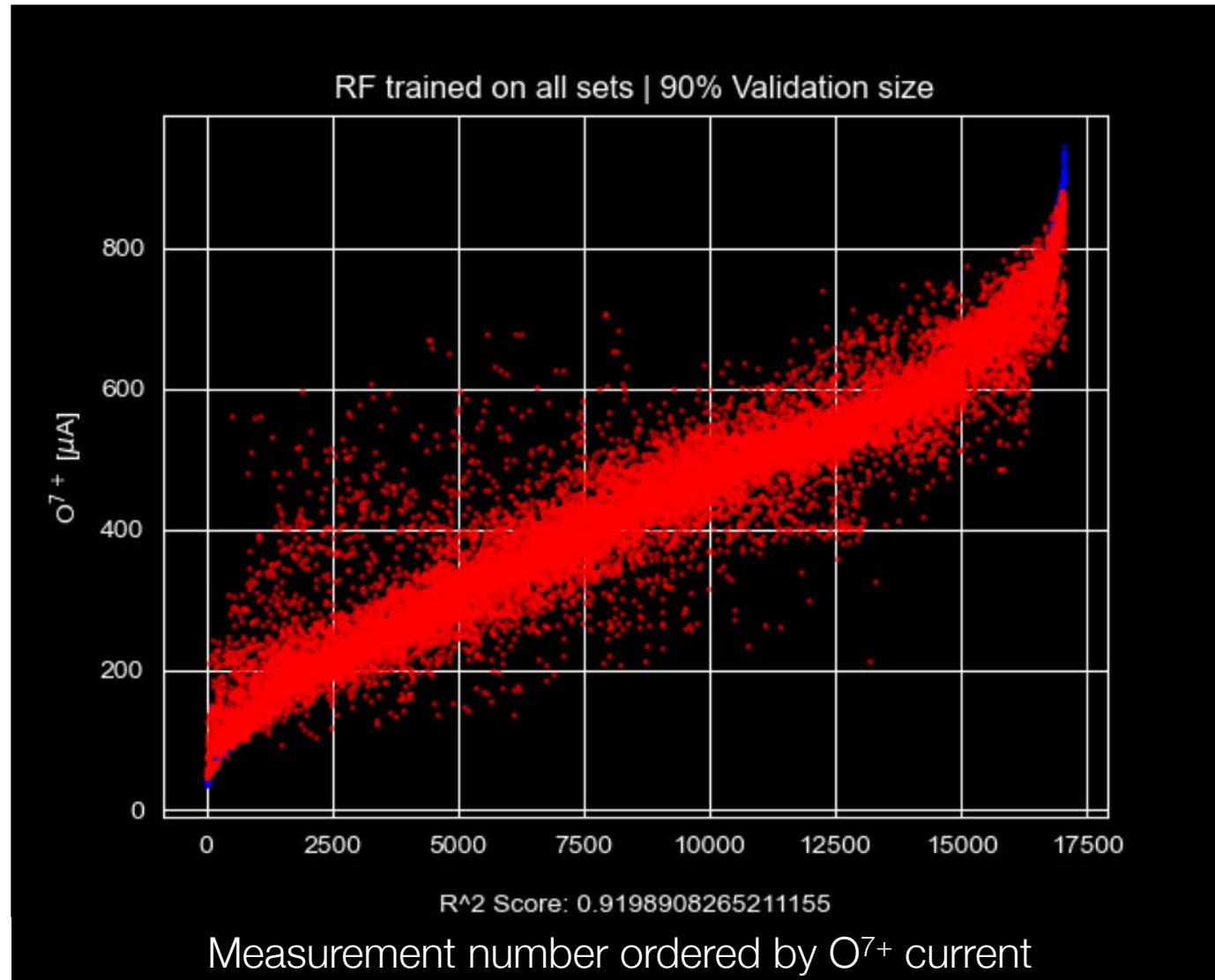
- Like number identification, train on subset of collected data
- Test ability to predict on unseen remainder of data

# Neural Network does not do well with predicting in new weekend



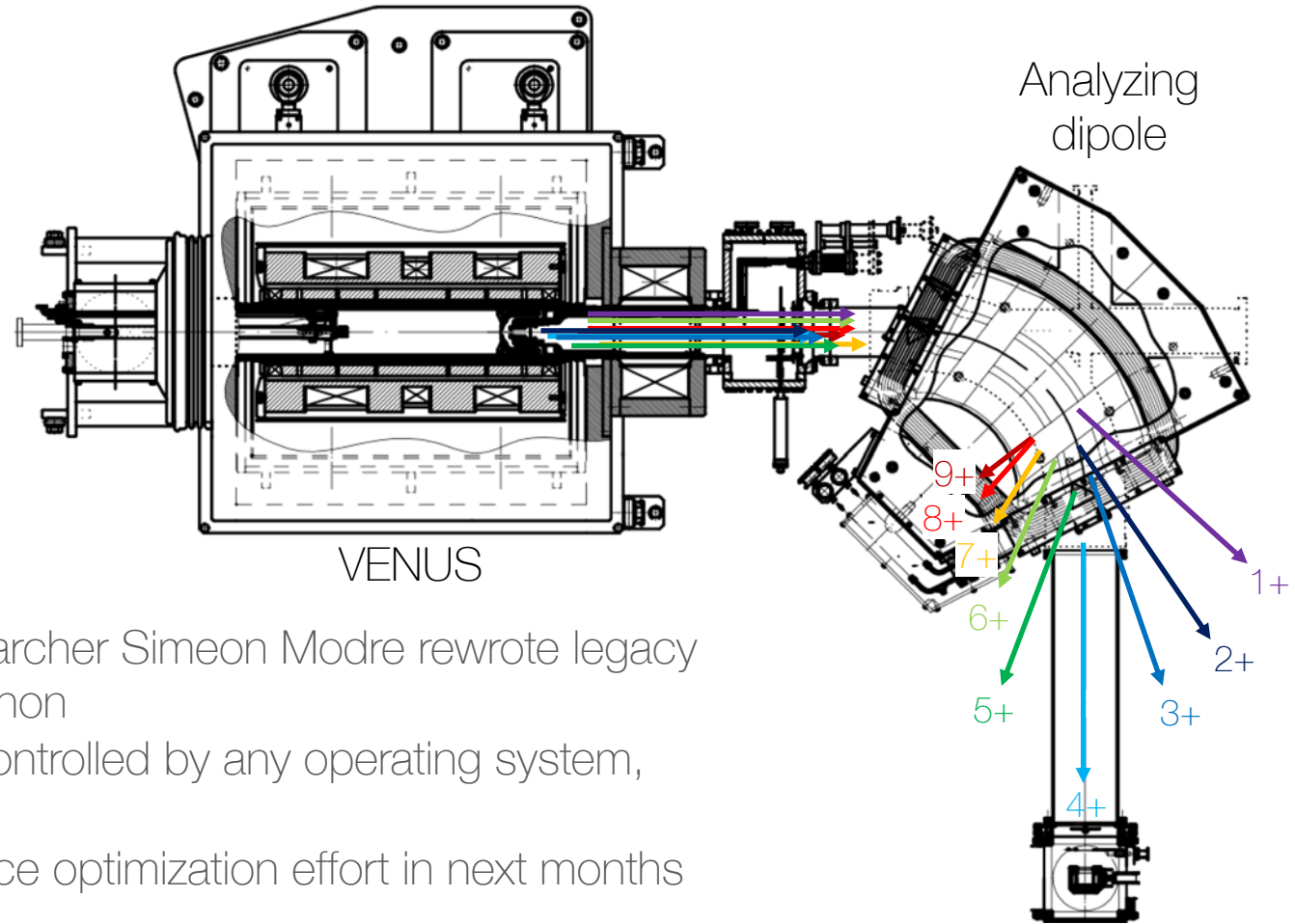
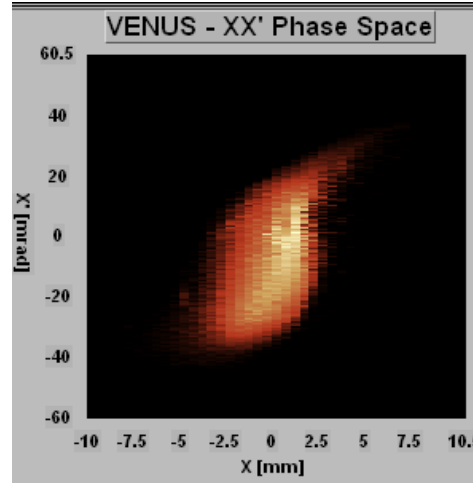
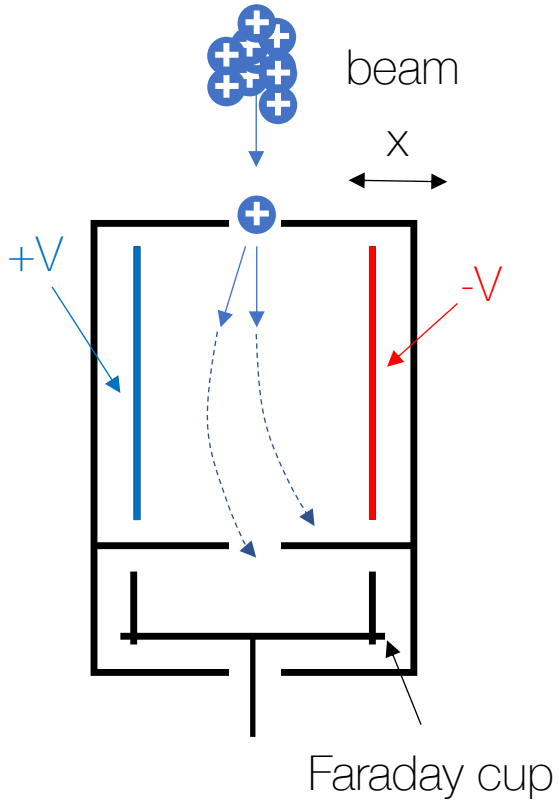
- Weekends differ enough that training on previous doesn't help with new weekend

# Some hope: seeing 10% of data sets is enough to predict remainder



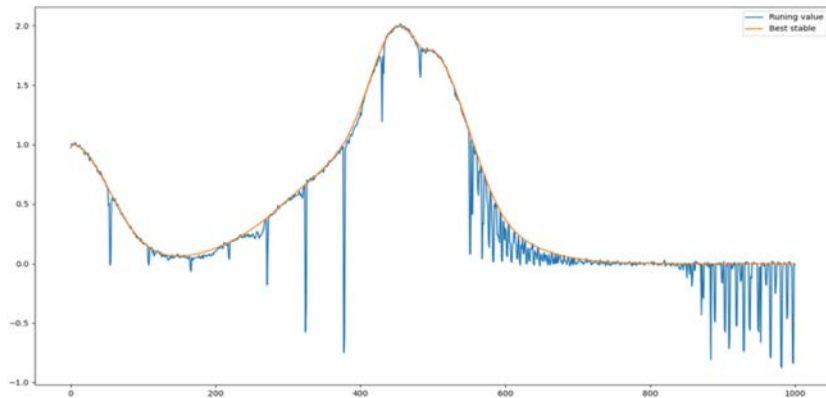
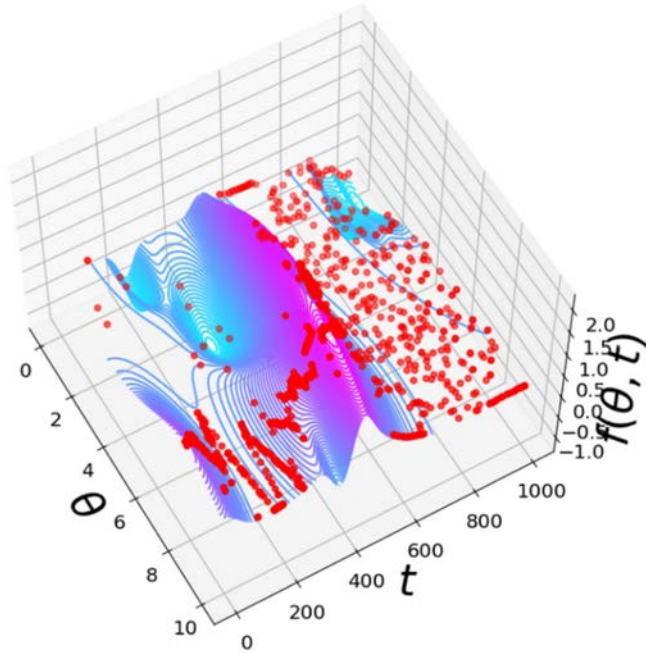
- Training using 10% of every weekend's data
- Validation on remainder of data is pretty accurate

# Preparing emittance scanners for ML optimization



- Visiting student researcher Simeon Modre rewrote legacy LabView code in Python
- New code can be controlled by any operating system, including ML
- Will start ML emittance optimization effort in next months

# Bayesian optimization in dynamic systems



- Work done by post-doctoral researcher Victor Watson
- $\theta$ : control variable,  $t$ : time.
- Maximize current ( $f$ ) and keep instability below a threshold in a dynamic system.
- Theoretical work done, and will implement with VENUS
- Goal: do this non-destructively

# Reinforcement learning

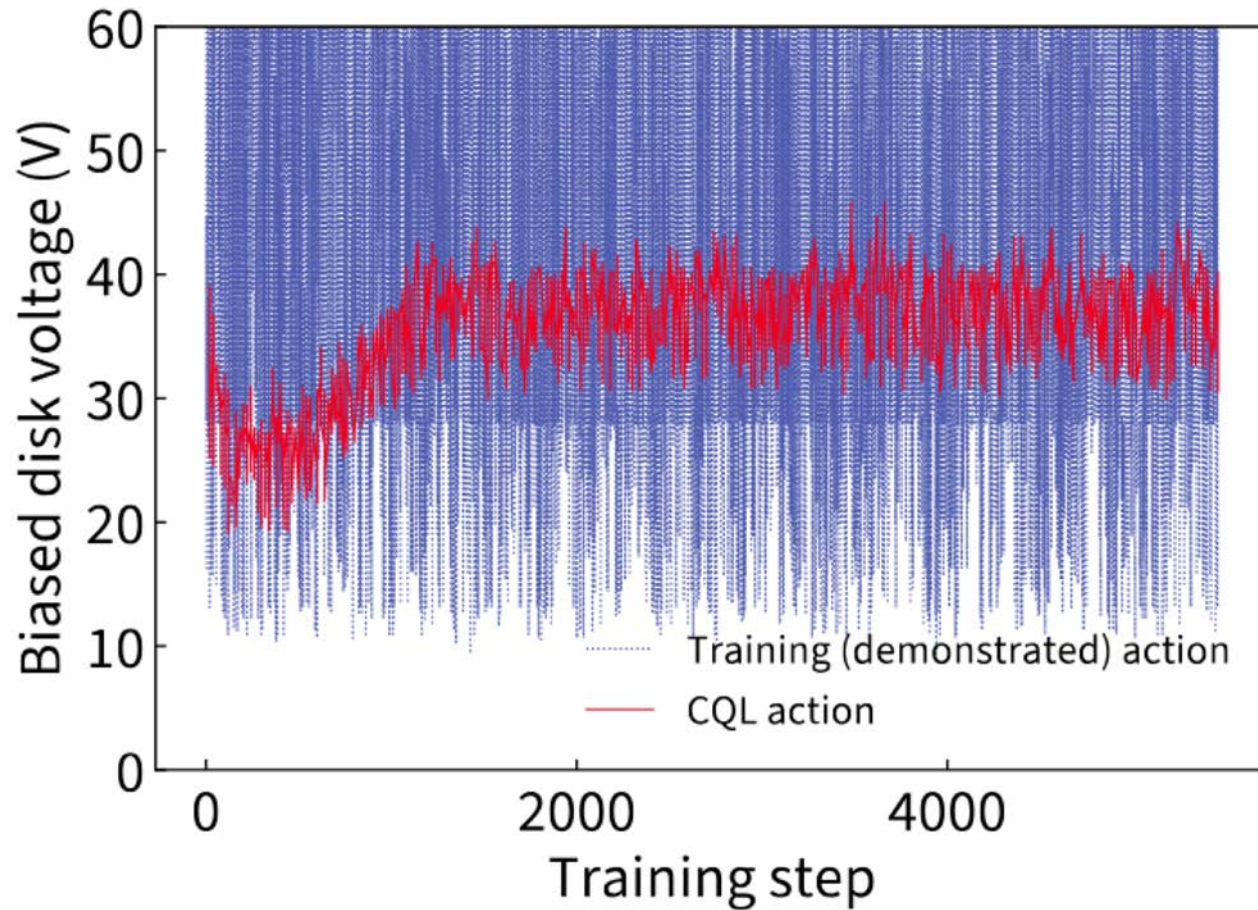


- Have robots take action
- Score result and reward or penalize robot
- Robot takes next action based on score with goal of improving



<https://research.google/blog/multi-task-robotic-reinforcement-learning-at-scale/>

# Using reinforcement learning to predict optimal bias voltage



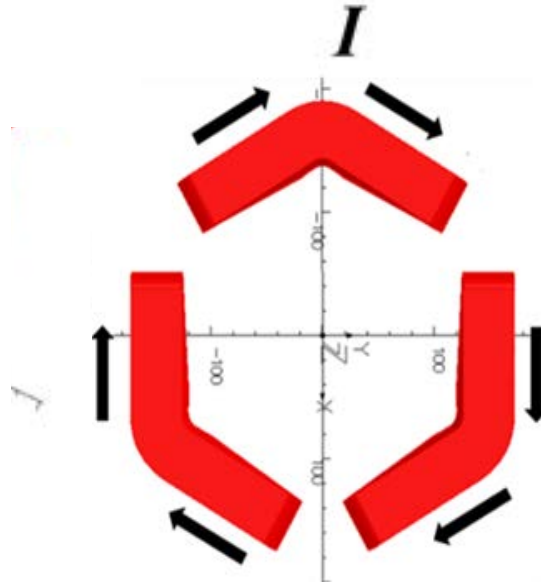
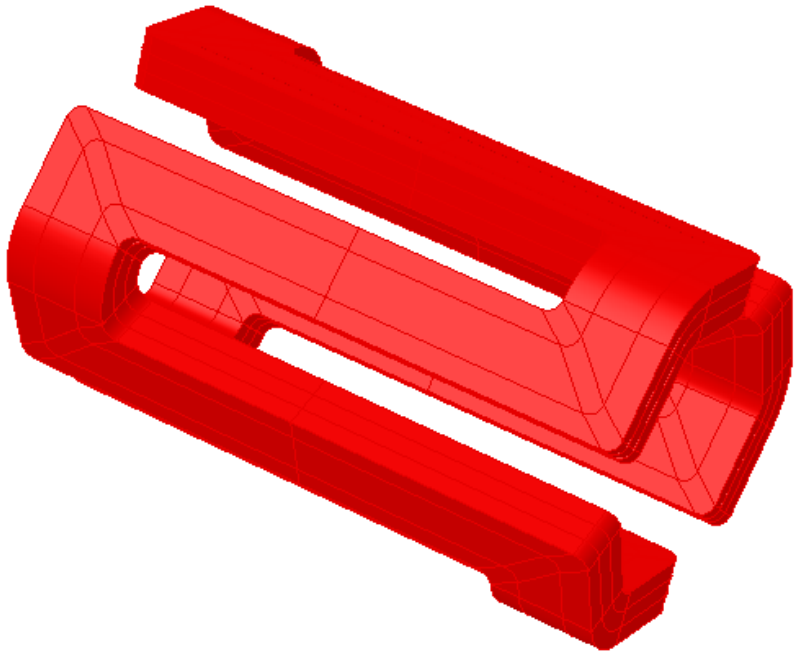
Physicist Yue Shi Lai applying Reinforcement Learning to VENUS:

Conservative Q-Learning (CQL)

- Uses offline training to pre-learn



# Fast diagnostics will be designed into MARS control system



15 of 24 64-turn layers complete

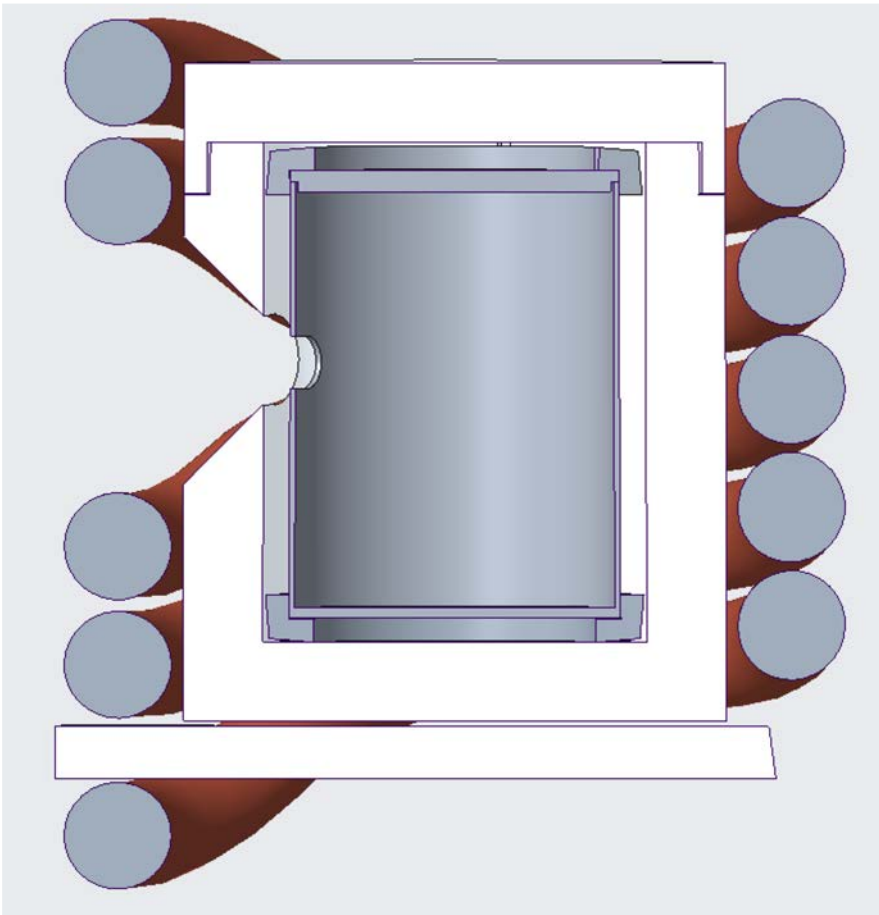
- Currently winding closed loop coil for 45 GHz MARS-D ECRIS
- Think ahead: faster diagnostics (drain current, biased disk current, etc.) for MARS vs. VENUS 3 Hz. Computer control (and ML?) not going away!

# Apply machine learning to metal beam optimization for $^{50}\text{Ti}$ beams



- LBNL's superheavy element research program required  $>1$   $\mu\text{A}$ ,  $\sim 5$  MeV/u  $^{50}\text{Ti}$  in preparation for element 120 search
- Tasked with applying ML for optimization of this difficult beam
- Used "boat" ovens which sprayed Ti everywhere and beams were very unstable
- Attempted ( $\sim 1$  day) to use computer control

# Use machine learning to optimize $^{50}\text{Ti}$ from this oven instead?



- Inductive oven aims output at plasma
- Extremely stable operation (days without adjustment)
- Produced element 116!
- Machine learning hasn't been necessary!

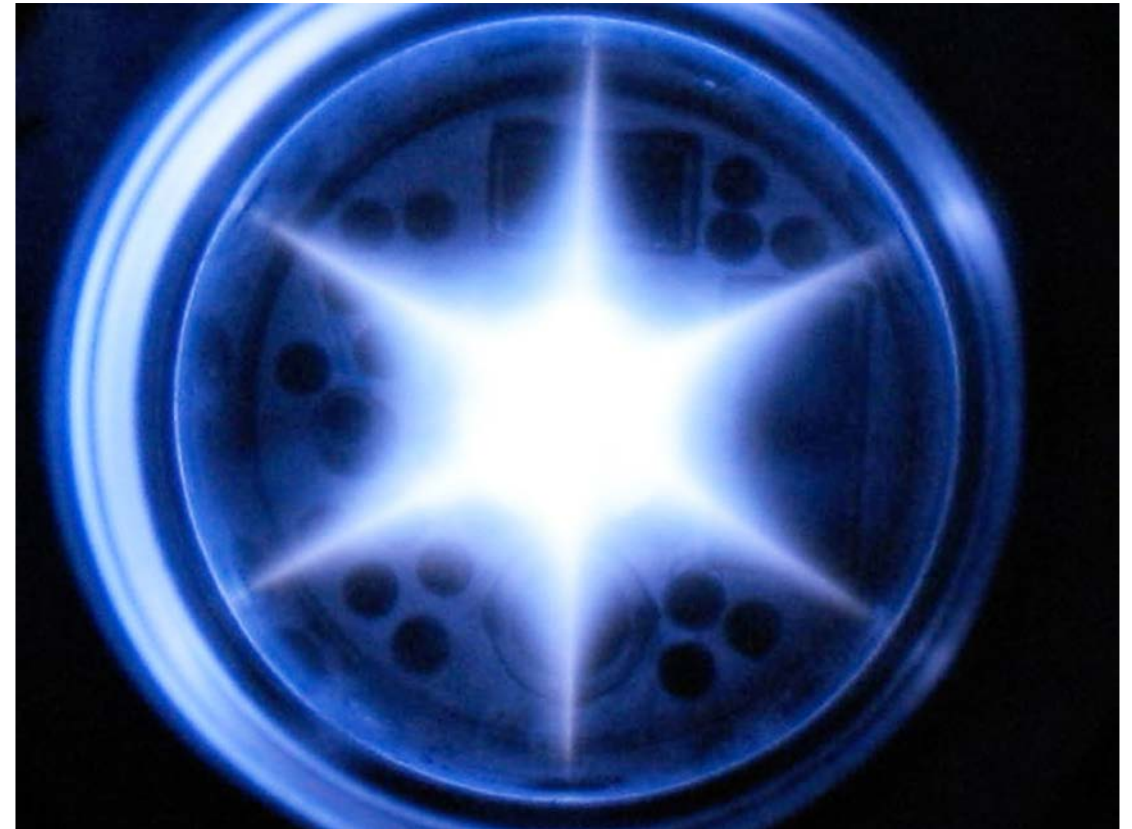
# Machine learning effort provided non-ML operational improvements

**Blessing/curse of ECRIS:** ion beams produced from any materials reaching plasma that don't destroy the plasma

**Adsorbed particles later desorbed:**

- Residues from previous work or handling of inner surfaces
- Water, salts, etc. on all surfaces after atmosphere exposure
- Prior beam materials (e.g., metals)

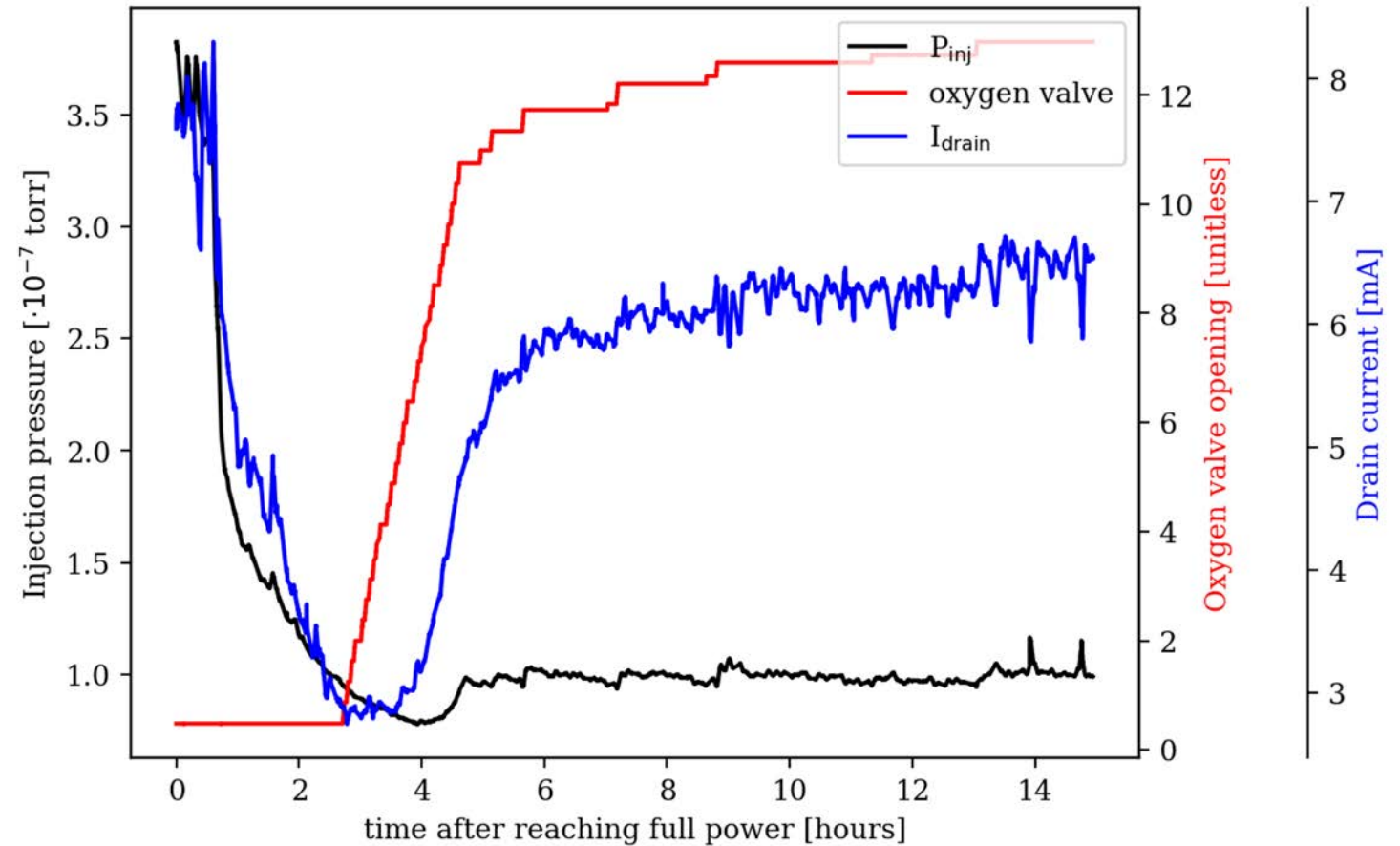
Plasma chamber desorption can be sped up using plasma as heating element



# Computer-driven baking

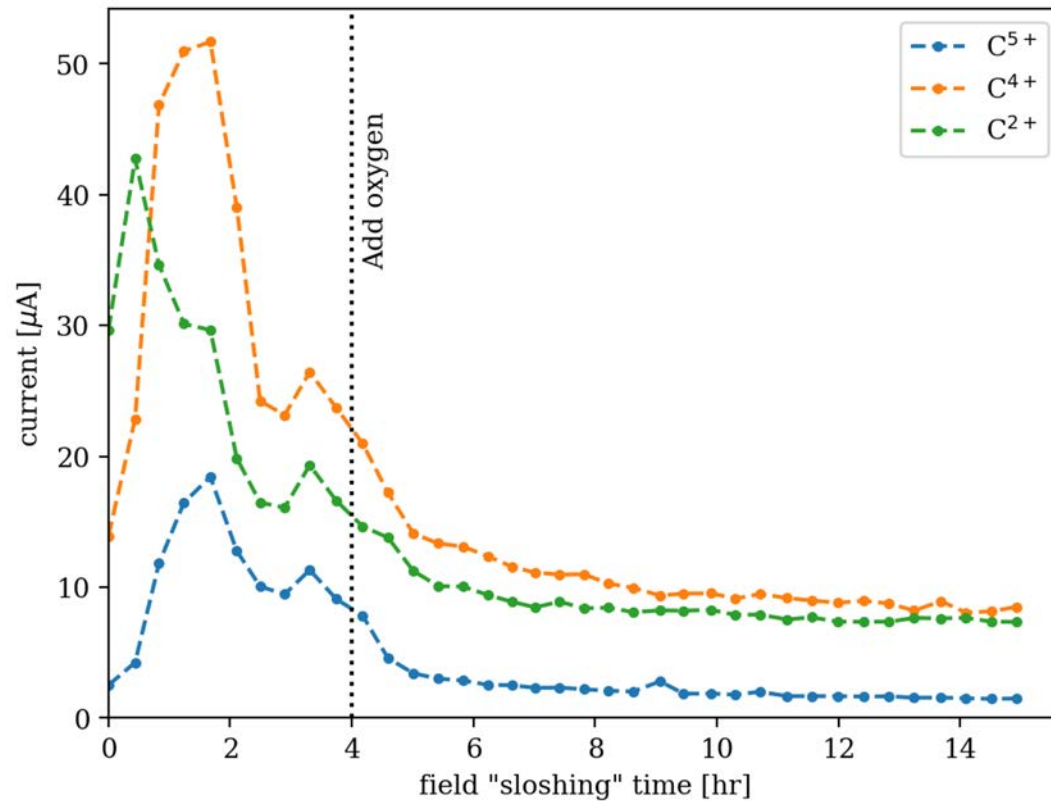
## Two-step process:

- Source to full RF power
  - Monitor pressure, currents, etc. and raise safely
  - Time significantly reduced as the computer is persistent
- “Slosh” plasma around to bake plasma chamber
  - Change confining fields and add gas when desorption rate drops
- Every 6<sup>th</sup> change return to “base” fields and take charge state distribution to monitor

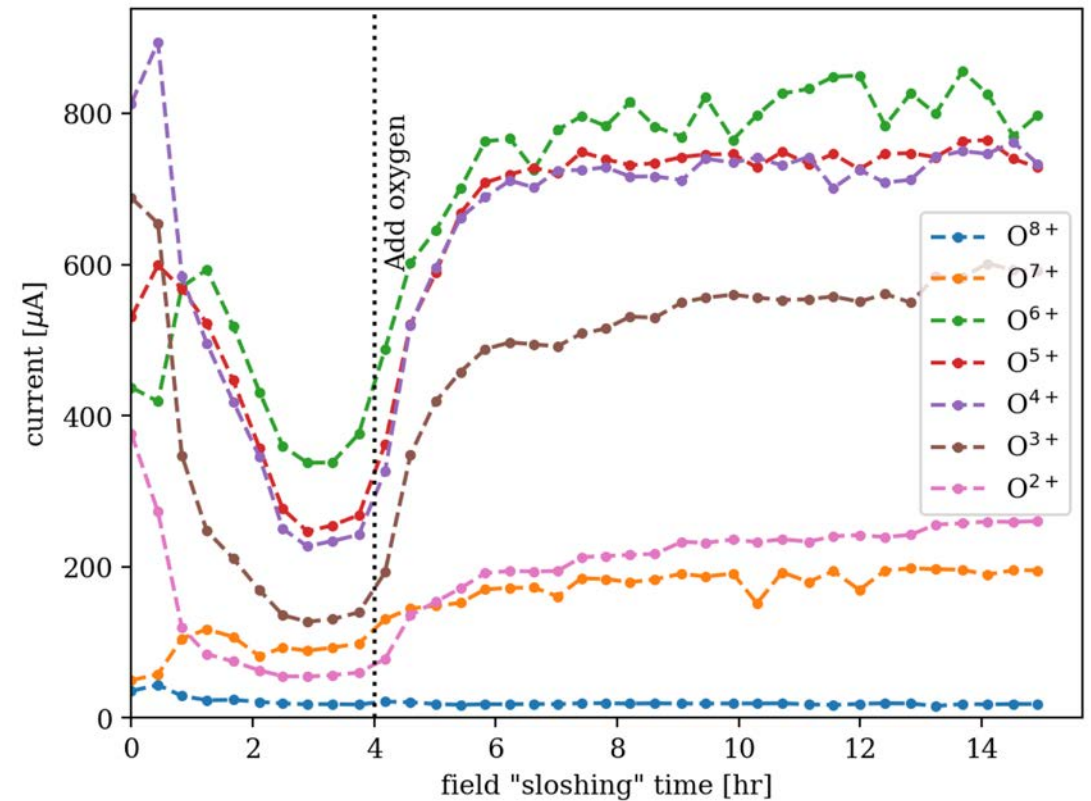


# Charge state distributions (CSDs) give feedback on progress

## Carbon



## Oxygen



- Last ~6 bakes have been fully automatic
- Not machine learning, but data will be used to produce more efficient baking technique (ML!)

# Summary and looking forward

- The addition of fast CSDs has provided hope for machine learning with ECR ion sources
  - Can be completed in less than 30 seconds
  - Performing CSDs will become part of routine running even when delivering beam to cyclotron
- Bayesian optimization in place for maximizing current with no human interaction:
  - spare chamber delivered Fall 2024, and will set ML loose for record attempt this year
- We have thousands of hours of full-computer-control of VENUS for baking and beam optimization (limited by VENUS availability---we need more time and data!)
- Next steps:
  - Optimize on emittance
  - Add ML-usable diagnostics (stability, etc.)

**Thank you for your attention!**