

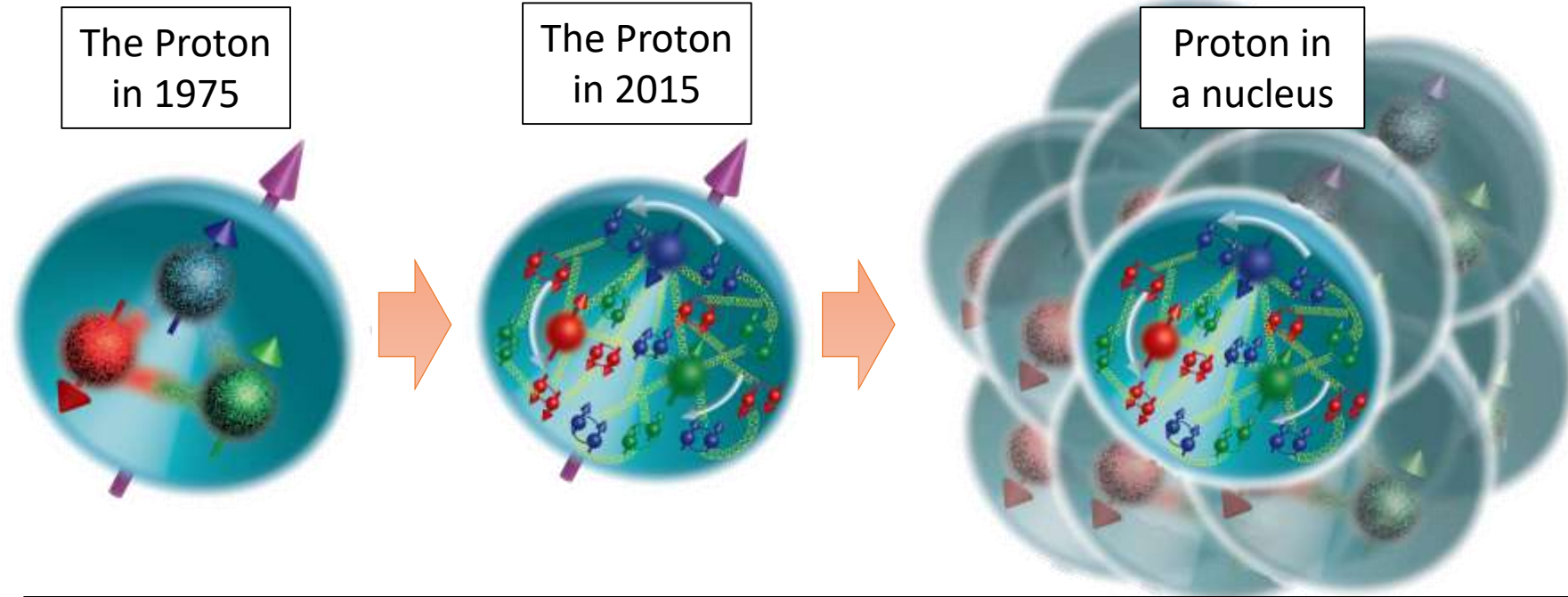


# Overview of the Electron-Ion Collider (EIC)

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FRIB ADS-APES Seminar  
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Electron-Ion Collider

# Modern view of the nucleus



Fundamental questions:

- How does the proton get its mass?
- How does the proton get its spin?
- How do protons and neutrons form nuclei?

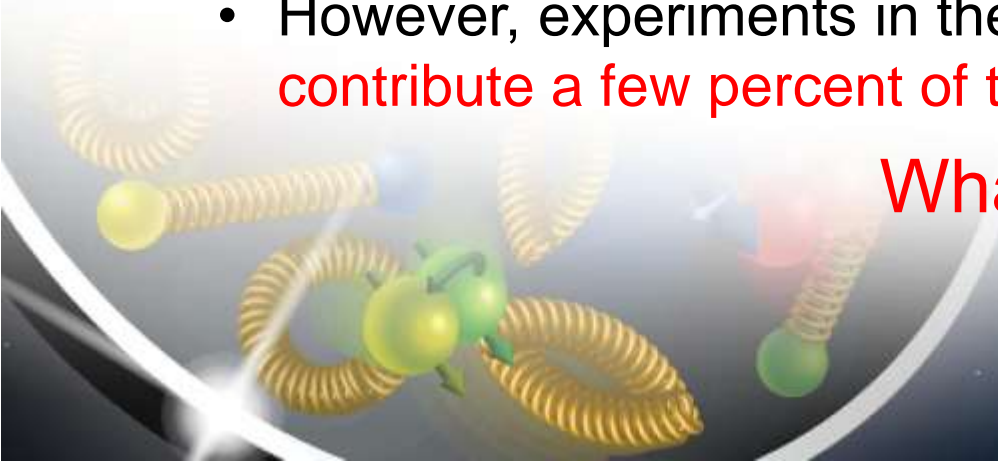
# The Mass of the Proton

- Proton is composed of three “valence” quarks (two “up”, one “down” quark)
- Each of these three **quarks** has a mass of  $\sim 4.5 \text{ MeV}/c$
- But the **proton** has a mass of  $938 \text{ MeV}/c$  – almost two orders of magnitude more!

# The Spin of the Proton

- Proton is composed of three “valence quarks”
- Each **quark carries spin  $\frac{1}{2}$**
- **Proton carries spin  $\frac{1}{2}$**  - two quarks with spin “up” and one with spin “down” would yield a net “up” spin of  $\frac{1}{2}$
- However, experiments in the 1980’s revealed that the **three valence quarks only contribute a few percent of the proton spin!**

**What’s going on???**

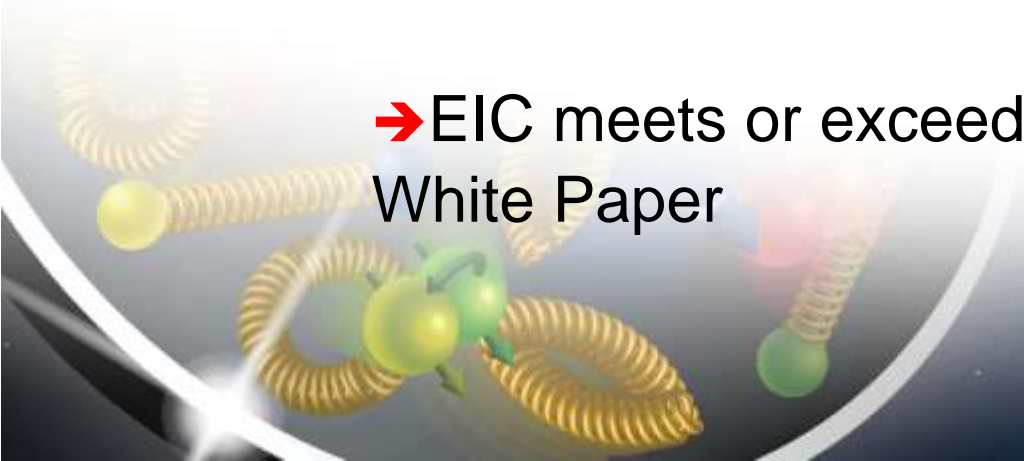


# Let's build a super-microscope – the EIC – and find out!

Requirements for an Electron-Ion Collider are defined in the White Paper:

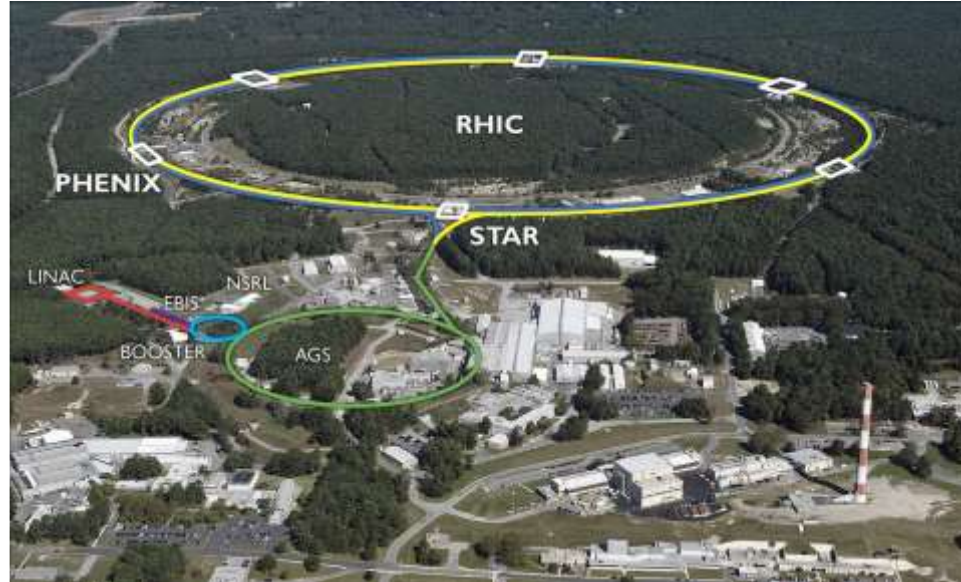
- **High luminosity**:  $L = 10^{33}$  to  $10^{34}$   $\text{cm}^{-2}\text{sec}^{-1}$  - factor 100 to 1000 beyond HERA
- Large range of center-of-mass **energies**  $E_{\text{cm}} = 29$  to 140 GeV
- **Polarized beams** with flexible spin patterns
- Favorable condition for **detector acceptance** such as  $p_{\text{T}} = 200$  MeV
- Large range of **hadron species**: protons ....Uranium
- Collisions of electrons with **polarized protons and light ions** ( $\uparrow^3\text{He}$ ,  $\uparrow\text{d}$ ,...)

→ EIC meets or exceeds the requirements formulated in the White Paper



# Relativistic Heavy Ion Collider (RHIC)

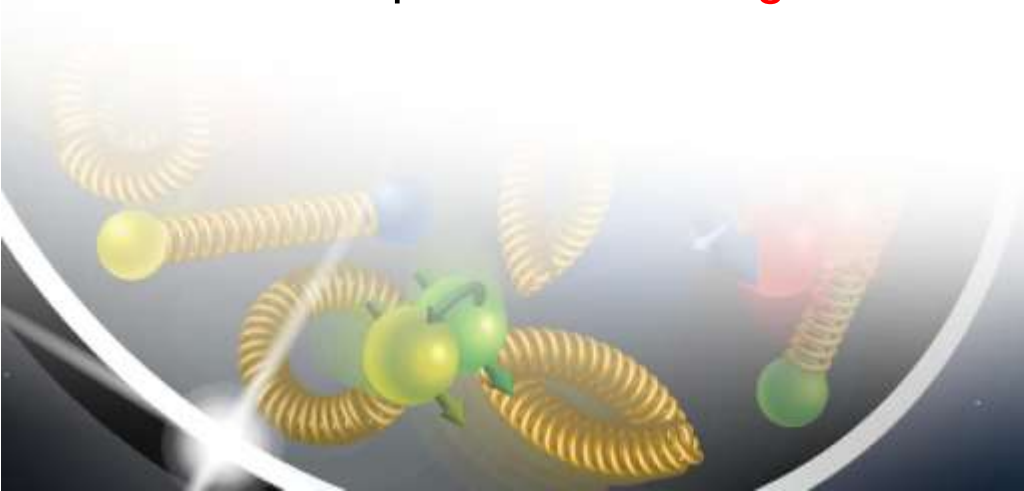
- Two superconducting storage rings
- 3.8km circumference
- Energy up to 255GeV protons, or 100GeV/n gold
- 110 bunches/beam
- Ion species from protons to uranium
- 60% proton polarization – world's only polarized proton collider
- Exceeded design luminosity by factor 44 - unprecedented
- 6 interaction regions, 2 detectors
- In operation since 2001



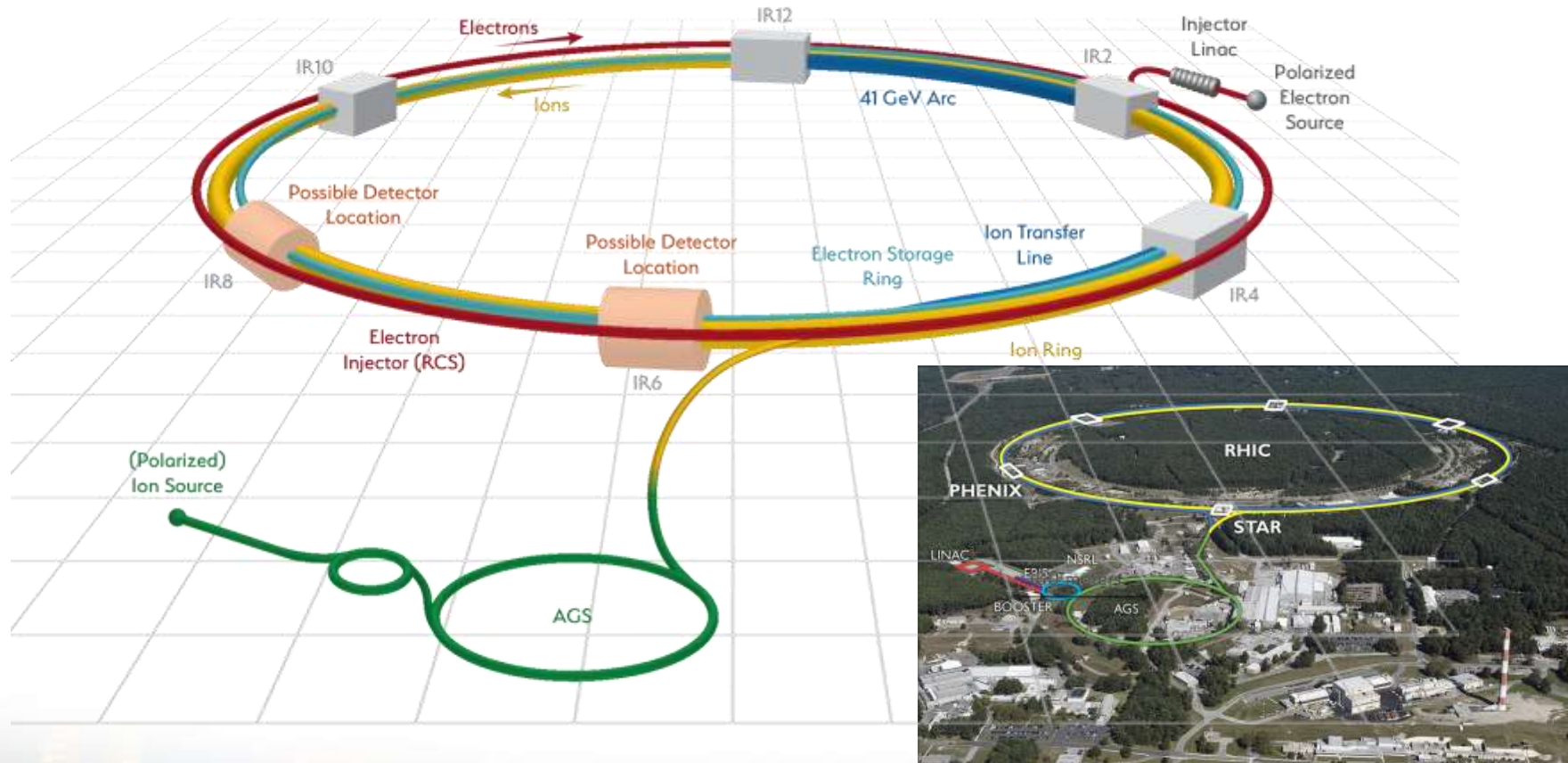
**EIC is based on existing RHIC facility**

# EIC Design Concept

- EIC is **based on the RHIC complex**: Hadron Storage Ring (HSR), injectors, ion sources, infrastructure; needs only **relatively few modifications and upgrades**
- **Today's RHIC beam parameters are close** to what is required for EIC (except number of bunches, 3 times higher beam current, and vertical emittance)
- Add a **5 to 18 GeV electron storage ring** & its injector complex to the RHIC facility →  $E_{\text{cm}} = 29\text{-}141 \text{ GeV}$
- Design and build a suitable **interaction region**
- EIC design aims to meet the goals formulated in the EIC WHITE PAPER, in particular the **high luminosity of  $L = 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$**  and **high polarization**



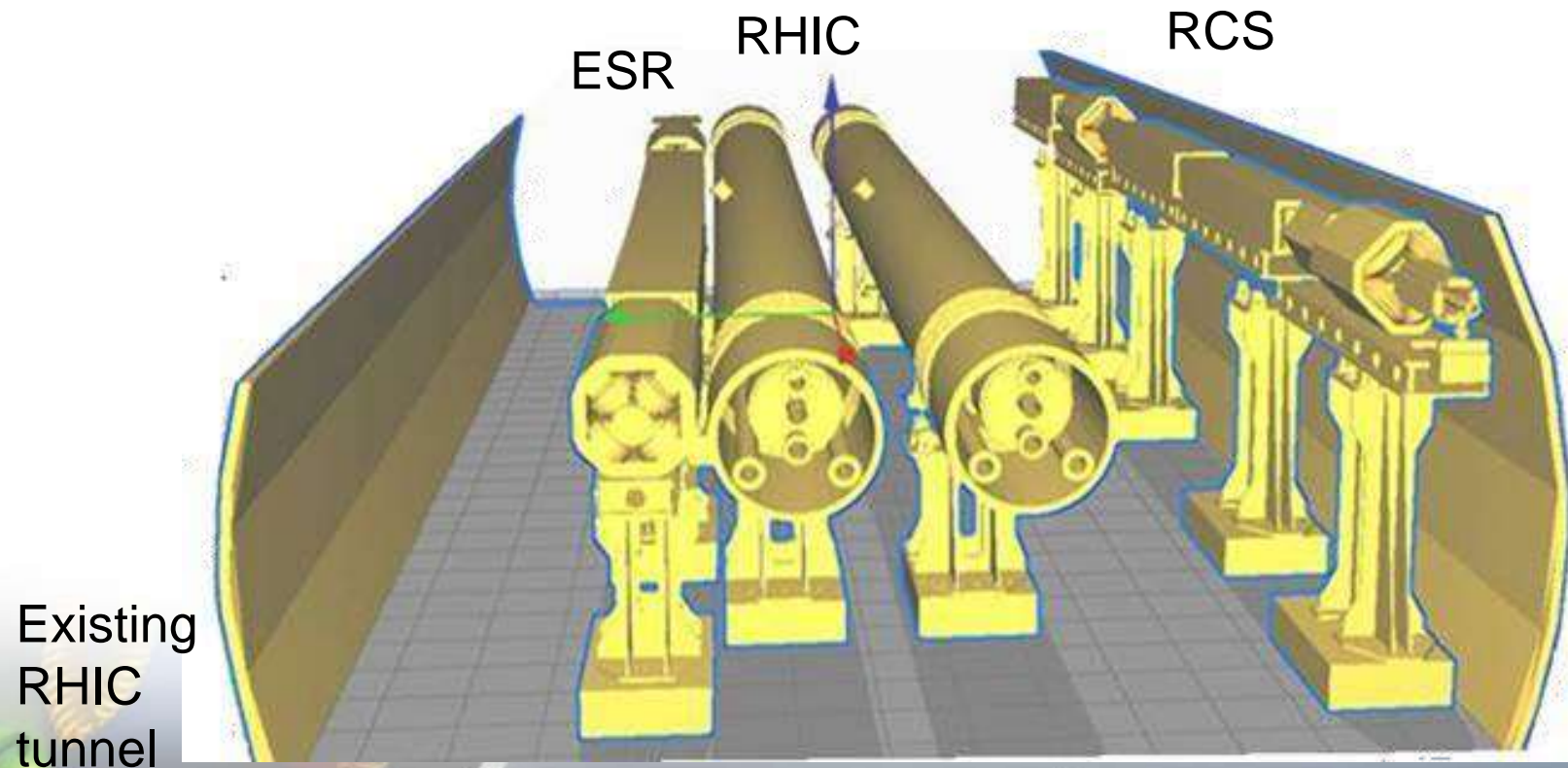
# Facility layout



Electron complex to be installed in existing RHIC tunnel – cost effective

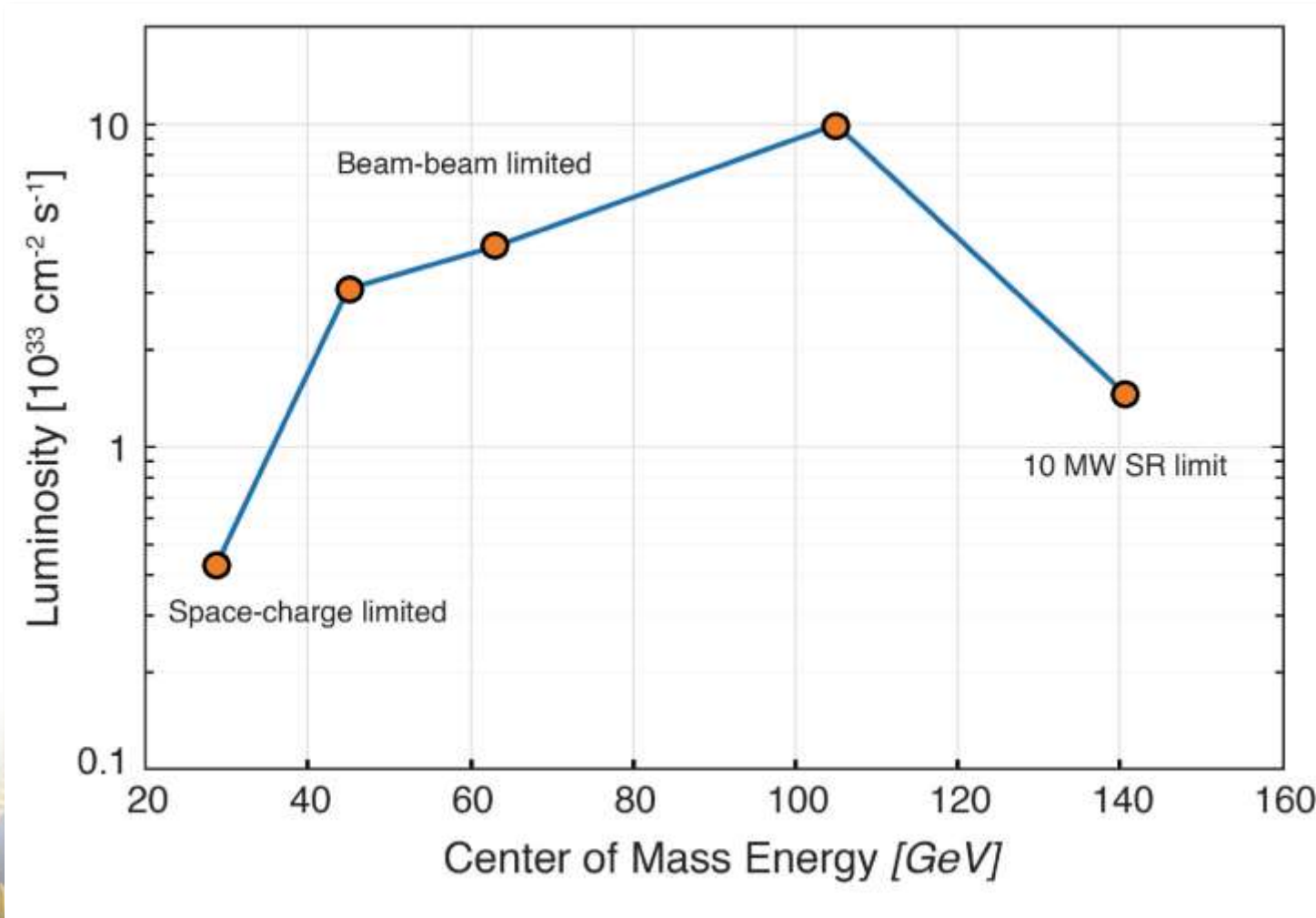
# Tunnel Cross Section

All accelerators fit into the existing tunnel





# Luminosity versus Center-of-Mass Energy



# Parameters for Highest Luminosity

	proton	electron
no. of bunches		1160
energy [GeV]	275	10
bunch intensity [ $10^{10}$ ]	6.9	17.2
beam current [A]	1.0	2.5
$\epsilon_{\text{RMS}}$ hor./vert. [nm]	9.6/1.5	20.0/1.2
$\beta_{x,y}^*$ [cm]	90/4	43/5
b.-b. param. hor./vert.	0.014/0.007	0.073/0.100
$\sigma_s$ [cm]	6	2
$\sigma_{dp/p}$ [ $10^{-4}$ ]	6.8	5.8
$\tau_{\text{IBS}}$ long./transv. [h]	3.4/2.0	N/A
$L$ [ $10^{33} \text{ cm}^{-2} \text{ sec}^{-1}$ ]		10.05

- **Hadron** beam parameters **similar to present RHIC**, but **smaller vertical emittance** and **many more bunches**
- **2 hour IBS growth time** requires **strong hadron cooling**
- **Electron** beam parameters resemble a **B-Factory**

Parameters optimized for high luminosity at high energy

Alternative optimizations are possible, for example for high luminosity at low energy

# Hadron Storage Ring Modifications

- EIC Hadron Storage Ring (HSR) to be **composed of existing arcs** of the two RHIC rings
- **Insert sleeves** coated with copper and amorphous carbon into superconducting magnet beam pipes to improve conductivity and reduce secondary electron yield (-> electron cloud)
- Add strong **hadron cooling** to counteract intra-beam scattering

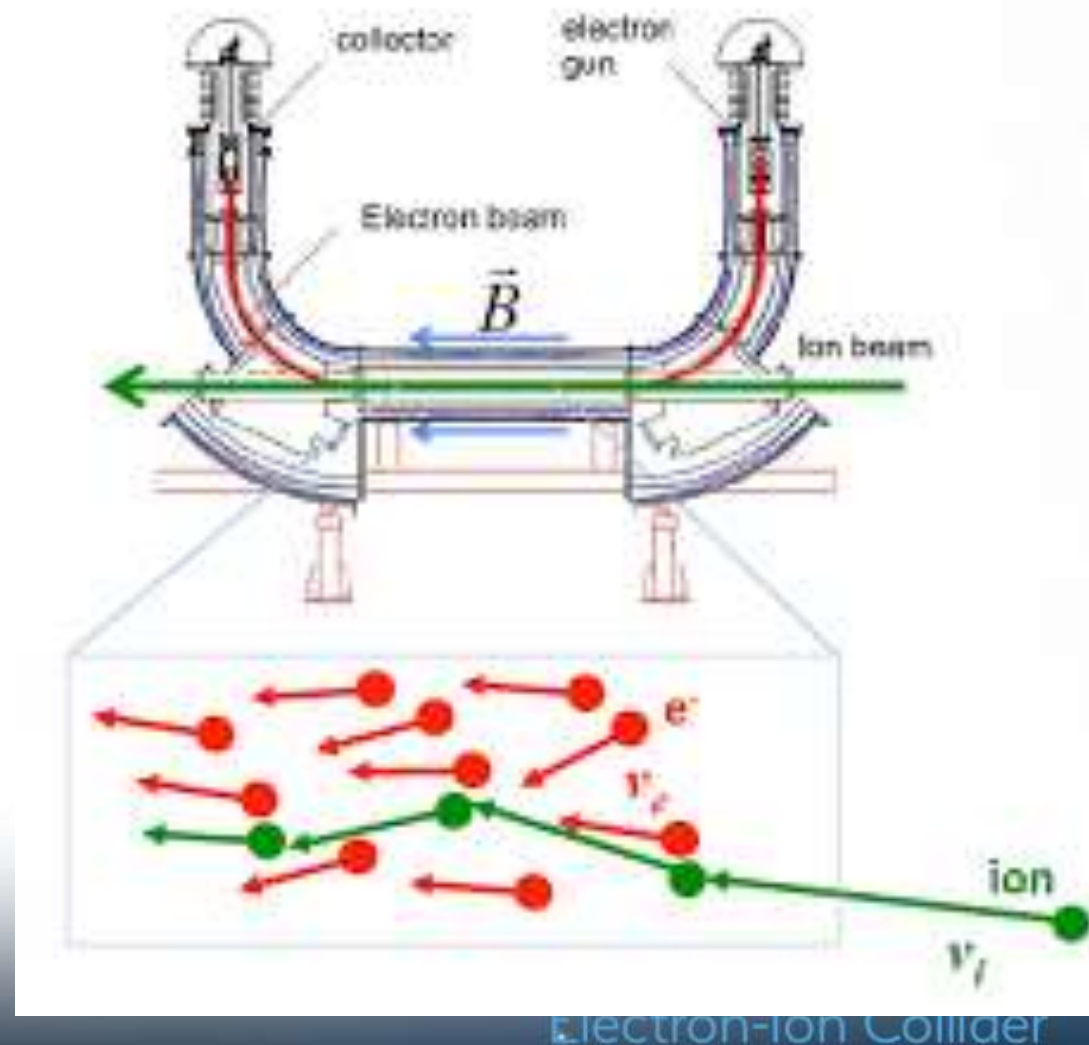


# Intra-beam Scattering (IBS)

- Individual particles in the beam are focused by the magnets
- As a result, individual particles are constantly moving within the bunch
- As **particles** pass each other, **they scatter off each other**
- This multiple scattering **results in emittance growth** – the denser the bunch, the faster the growth
- If not counteracted, **emittance growth results in luminosity degradation**
- Electron beams have synchrotron radiation damping to counteract (IBS), but hadron beams **need cooling**

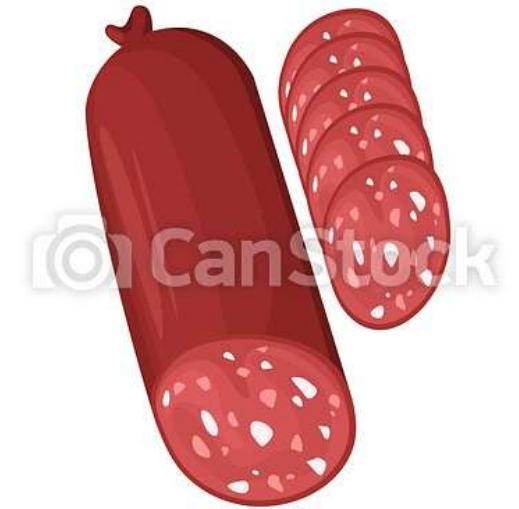
# Hadron Beam Cooling

- Conventional electron cooling
  - **Energy exchange** between stored hadrons and an intense, “cold” (= low emittance) electron beam
  - Transverse cooling times scale as  $\gamma^5$  - very challenging (but not impossible) above a few GeV

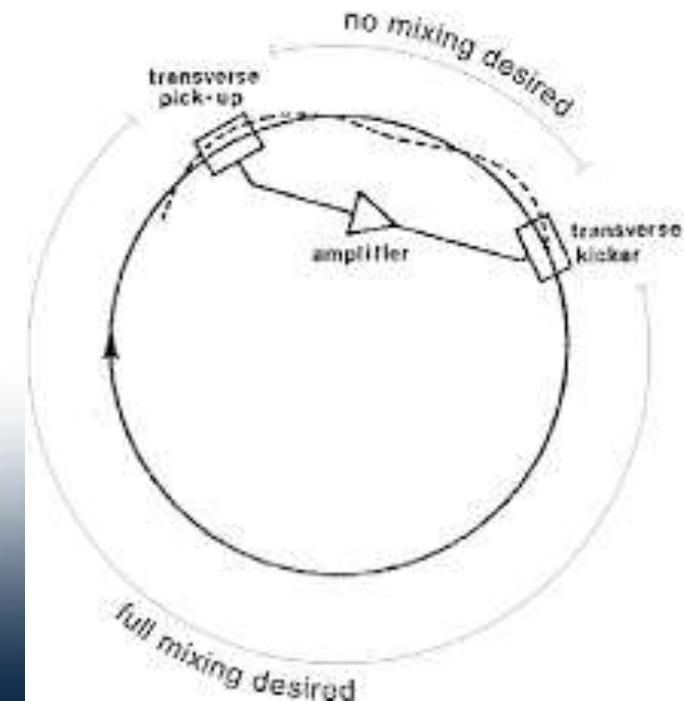


# Hadron Beam Cooling

- Stochastic cooling
  - **Measure** the 3D **offsets** of small subsets of the particles in a bunch, **and correct** them a short distance downstream
  - Synchrotron motion in the rest of the ring leads to **mixing** of particles, such that each time these **subsets consist of different particles** with non-zero net offsets
  - Cooling time proportional to (system bandwidth)/(number of particles per bunch). Few GHz bandwidth leads to ~30 minutes cooling time for  $10^9$  particles. **Suitable for heavy ions in EIC, but not for protons due to factor 100 higher bunch population**

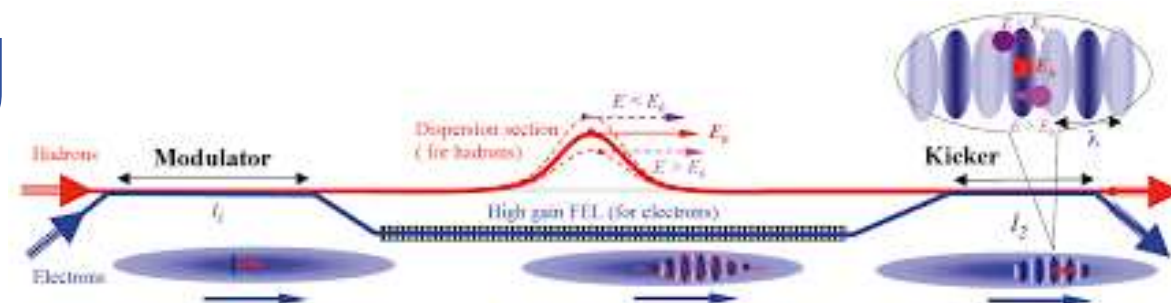


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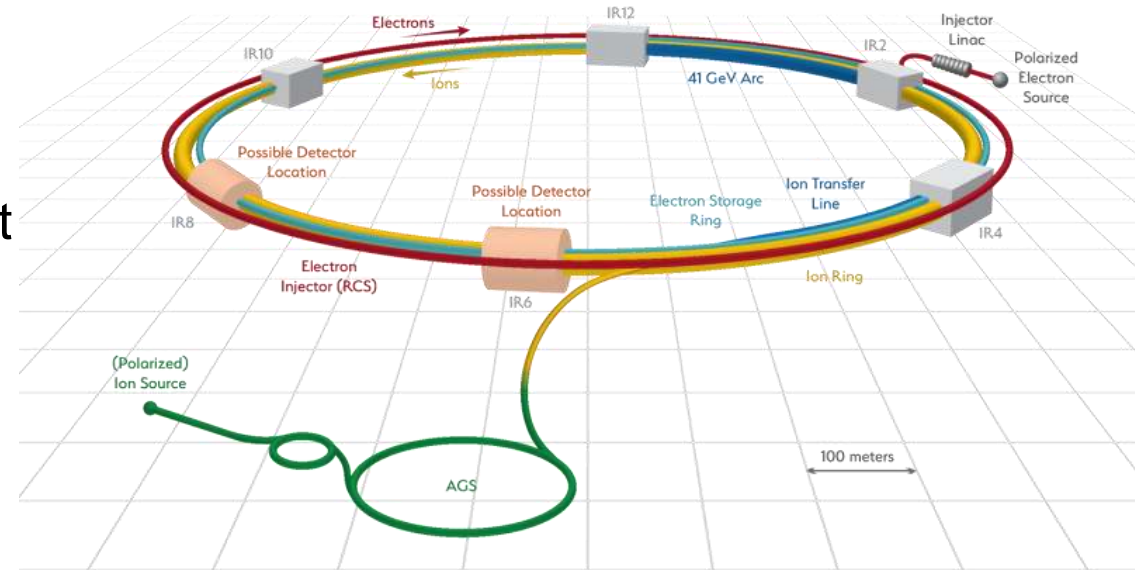
# Hadron Beam Cooling

- Coherent electron cooling
  - Essentially, an **ultra-high bandwidth stochastic cooling** system
  - Instead of conventional pick-up, amplifier and kicker, and **electron beam serves as pick-up and kicker**
  - Hadron beam distribution is imprinted on electron beam
  - This imprinted signal is amplified, for example in a free-electron laser (FEL)
  - Electron beam is then merged again with the hadron beam (with the correct phase) to serve as a kicker and “correct” the hadron beam distribution
  - **Bandwidth: tens of THz**



# Collision Synchronization

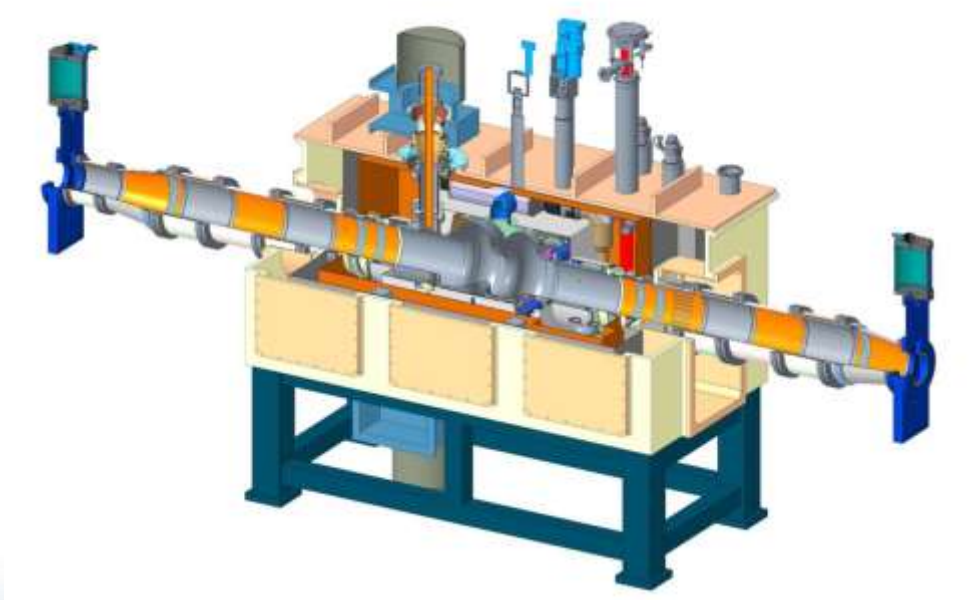
- HSR needs to operate over a **wide energy range**
- Changing the beam energy in the HSR causes a **significant velocity change**
- To **keep the two beams in collision**, they have to be synchronized so bunches arrive at the detector(s) at the same time
- Synchronization accomplished by **path length change**
- Between **100 and 275 GeV**, this can be done by a **small radial shift** – there is enough room in the beampipe
- For lower energies, use an inner instead of an outer arc as a **shortcut**. 90 cm path length difference corresponds to **41 GeV** beam energy





# Electron Storage Ring

- Electron Storage Ring (ESR) consists of six **FODO**-cell arcs, and six straight sections (IRs)
- **Straight sections** are used for:
  - Detectors (IR6 and IR8)
  - RF cavities (IR10)
  - Injection and cross-over with HSR (IR12)
  - Instrumentation (IR2)
  - Cross-over with HSR (IR4)



# Emittance Control in the ESR

- EIC needs **constant 24 nm emittance from 5 to 18 GeV**, but equilibrium emittance in an electron storage ring depends on beam energy:

$$\epsilon_x = C_q \frac{\gamma^2}{J_x} \frac{I_5}{I_2}$$

- Synchrotron radiation integrals:

$$I_5 = \oint \frac{\mathcal{H}}{|\rho^3|} ds, \quad I_2 = \oint \frac{1}{\rho^2} ds$$

- “curly H” function depends on Twiss parameters:

$$\mathcal{H} = \gamma D^2 + 2\alpha D D' + \beta D'^2$$

- **Changing the beam optics changes the emittance**

- Remember:

$$\epsilon_x = C_q \frac{\gamma^2}{J_x} \frac{I_5}{I_2}$$

- For a single FODO cell,

$$I_{5,\text{FODO}} = \frac{\phi^5 \left(1 - \frac{3}{4} \sin^2 \frac{\mu}{2} + \frac{1}{60} \sin^4 \frac{\mu}{2}\right)}{4L_p \sin^2 \frac{\mu}{2} \sin \mu}$$

- Betatron phase advance  $\mu$  per FODO cell is the “knob” to adjust the emittance
- 60 degrees at 10 GeV and 90 degrees at 18 GeV both yield ~24 nm
- How about 5 GeV?



# Emittance at 5 GeV

- Again,

$$\epsilon_x = C_q \frac{\gamma^2}{J_x} \frac{I_5}{I_2}$$

with

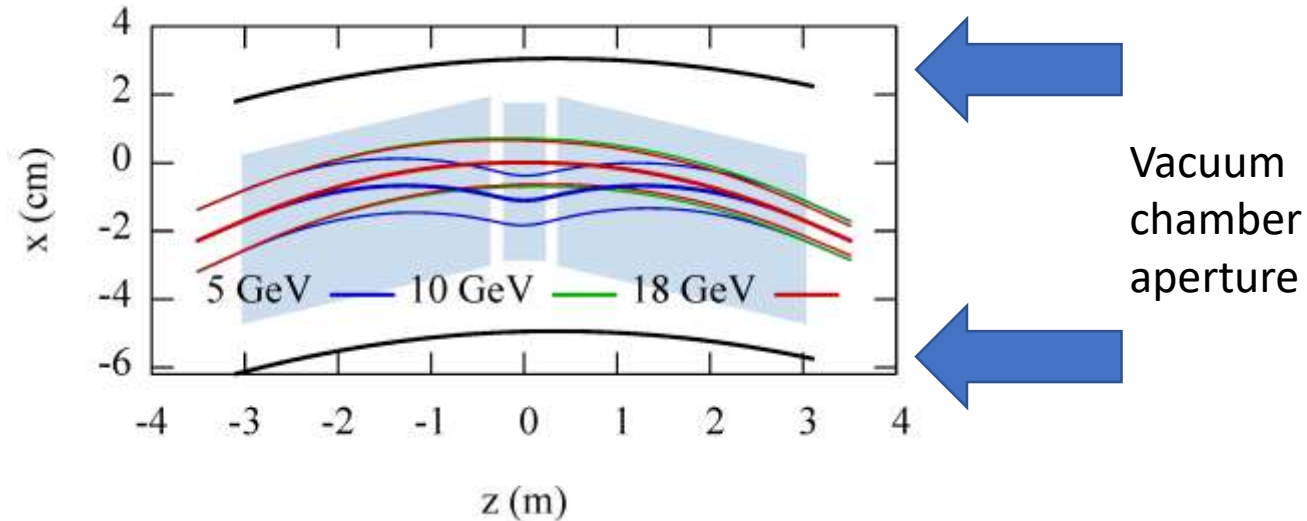
$$I_5 = \oint \frac{\mathcal{H}}{|\rho^3|} ds, \quad I_2 = \oint \frac{1}{\rho^2} ds$$

- **Changing the bending radius changes the emittance** – but how do we do that?



# Super-Bends

- Arc dipoles to be split into 3 segments:



- Above 10 GeV, all segments **powered uniformly**
- At 5 GeV, short center dipole provides a **reverse bend** to reach desired 24 nm emittance
- In addition, the reverse bend also **increases the radiation damping decrement**, allowing for larger beam-beam parameter (see later slides)

# Emittance Fine-Tuning

- FODO cell phase advances of 60 degrees at 5 and 10 GeV, and 90 degrees at 18 GeV result in **almost the right emittance**
- For good dynamic aperture, **each sextupole needs** a (nearby) **partner 180 degrees** away, such that nonlinear effects cancel
- This limits the choice of phase advances to values such as **45, 60, 72, or 90 degrees**
- Deviating from those phase advances would give the right emittance, but would be detrimental for dynamic aperture

**What else can be used to fine-tune the emittance?**

# Damping Partition Numbers

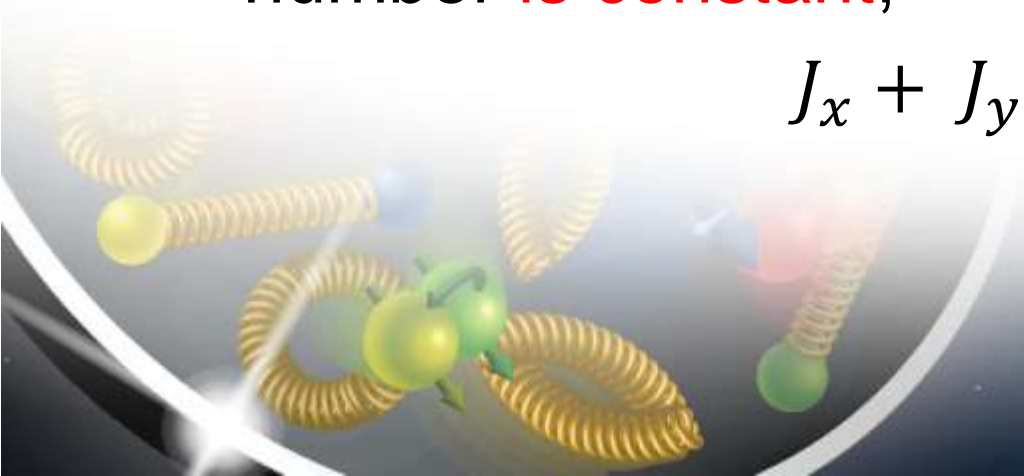
- Once more,

$$\epsilon_x = C_q \frac{\gamma^2}{J_x} \frac{I_5}{I_2}$$

with **damping partition number  $J_x$  horizontal**

- **Sum** of horizontal, vertical and longitudinal damping partition number **is constant**,

$$J_x + J_y + J_z = 4$$



# Manipulating Damping Partition Numbers

- Rewrite damping partition numbers as

$$J_x = 1 - D$$

$$J_y = 1$$

$$J_z = 2 + D$$

with

$$D = \frac{\oint \left[ \frac{D}{\rho} \left( 2k_{\text{quad}} + \frac{1}{\rho^2} \right) \right] ds}{\oint \frac{ds}{\rho^2}}$$



# Radial Shift

- In any lattice with **separated-function magnets** only (dipoles, quadrupoles, ...), either

$$1/\rho = 0 \text{ (in quadrupoles)}$$

or

$$k_{quad} = 0 \text{ (in dipoles)}$$

so

$$D = 0$$

However, **a radial shift** makes every quadrupole a **combined-function magnet** where  $1/\rho \neq 0$  and  $k_{quad} \neq 0$

- As a result,

$$D \neq 0$$

$$J_x \neq 1$$

and the resulting **equilibrium emittance is modified**



# EIC Electron Polarization

- Physics program requires bunches with **spin “up” and spin “down”** (in the arcs) to be stored **simultaneously**
- Sokolov-Ternov **self-polarization** would produce only polarization **anti-parallel** to the main dipole field
- Only way to achieve required spin patterns is by **injecting bunches with desired spin orientation at full collision energy**
- **Sokolov-Ternov will over time re-orient all spins** to be anti-parallel to main dipole field
- **Spin diffusion** reduces equilibrium polarization
- Need **frequent bunch replacement** to overcome Sokolov-Ternov and spin diffusion

# High Average Electron Polarization

- **Frequent injection** of bunches with high initial polarization of 85%
- Initial **polarization decays** towards  $P_\infty < \sim 50\%$
- At 18 GeV, every **bunch is replaced** (on average) after 2.2 min with RCS cycling rate of 2Hz

B P

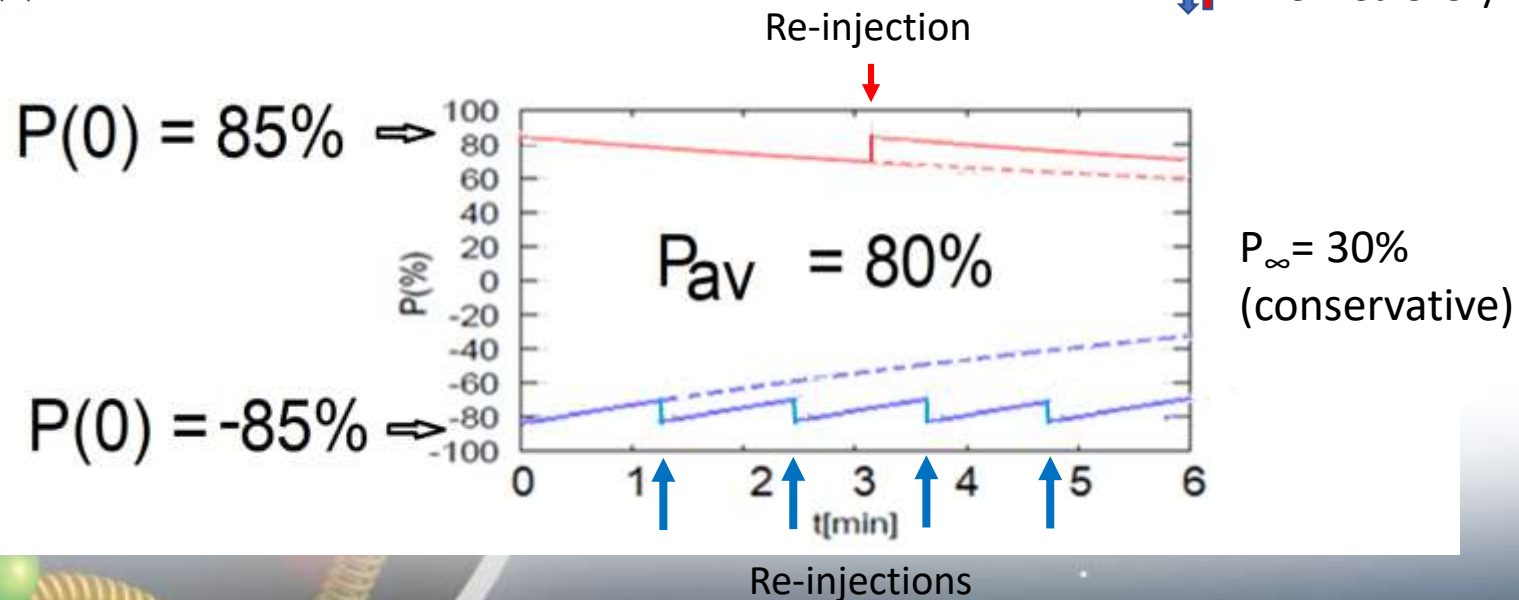


Refilled every 1.2 minutes

B P



Refilled every 3.2 minutes



# Rapid Cycling Synchrotron as Injector for ESR

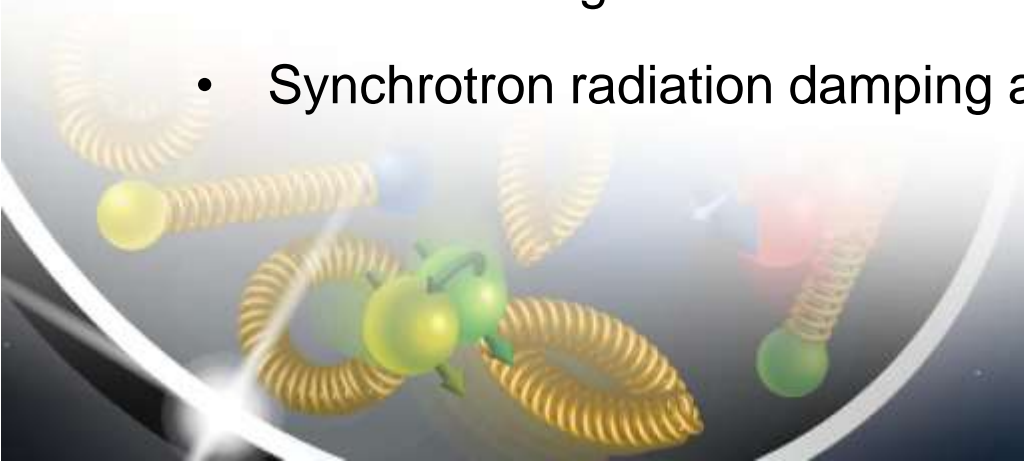
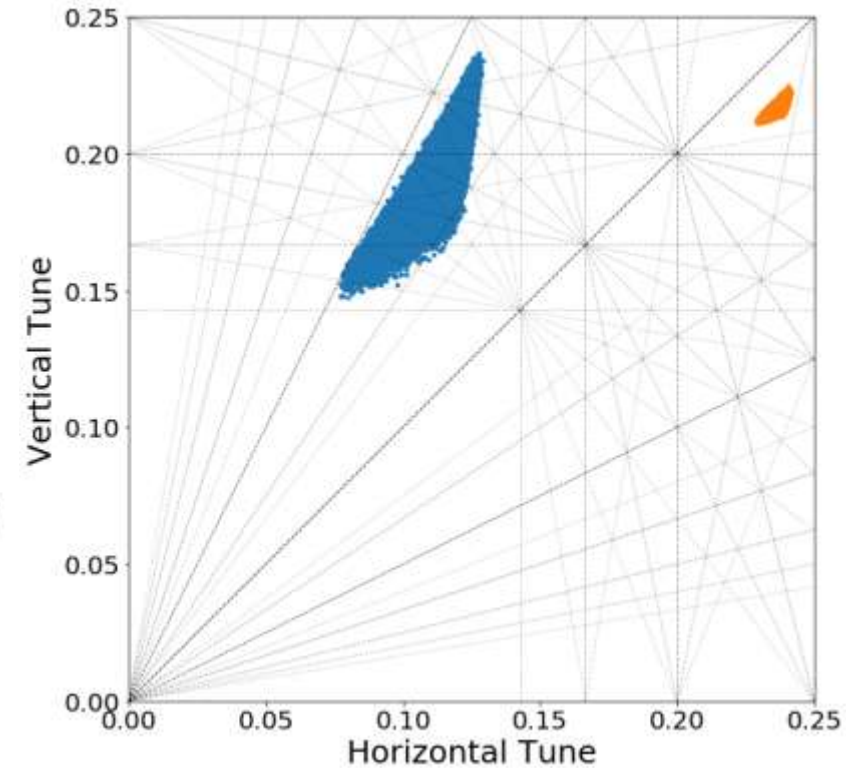
- Both the strong intrinsic and imperfection resonances that cause depolarization occur at spin tunes:
  - $G\Upsilon = nP \pm Q_y$
  - $G\Upsilon = nP \pm [Q_y]$  (integer part of tune)
- To preserve polarization, these resonances need to be avoided
- To accelerate from 400 MeV to 18 GeV requires the spin tune ramping from
  - $0.907 < G\Upsilon < 41$ .
- If we use a **periodicity** of  $P=96$  and a **tune**  $Q_y$  with an integer value of 50 then our first two intrinsic resonances will occur outside of the range of our spin tunes
  - $G\Upsilon_1 = 50 + v_y$  ( $v_y$  is the fractional part of the tune)
  - $G\Upsilon_2 = 96 - (50 + v_y) = 46 - v_y$
  - Also our imperfection resonances will follow suit with the first major one occurring at  $G\Upsilon_2 = 96 - 50 = 46$
- Spin tracking shows 98 percent polarization transmission with realistic magnet misalignments

# Beam-beam Interaction

- Beam-beam force is highly non-linear:

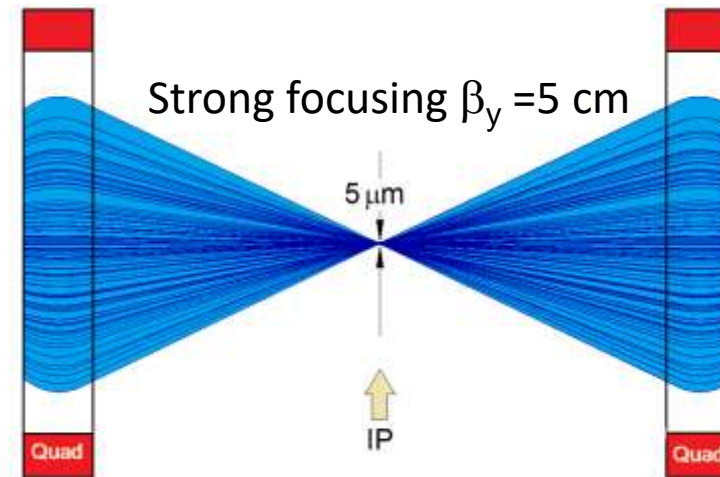
$$F_z(x, y) = \frac{Ne^2 z}{2\pi\epsilon_0 r^2} \left[ 1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right], \quad r^2 = x^2 + y^2, \quad z = x, y$$

- Particles in the core experience stronger focusing than particles in the transverse tails
- Amplitude-dependent focusing results in **betatron tune spread**
- Resulting tune footprints need to be placed in-between strong resonance lines
- Synchrotron radiation damping allows for larger tune spread for electrons than for hadrons



# Luminosity and Focusing

- Luminosity  $\sim 1/(\text{spot size})$
- A **smaller spot size** at the IP means **more luminosity**
- At the IP, **(beam size)X(beam divergence)= const.** in each plane (emittance)
- For a given beam (= fixed emittance), a **smaller IP beam size means larger divergence**
- A larger beam divergence leads to a larger beam size at the nearest focusing magnets – **(size at magnet)=(divergence)X(distance)**
- **Magnets need to have larger aperture** while gradient (= focusing strength) remains the same – peak field at magnet poles is **technically limited**



Focusing elements for both beams need to be as close as possible to the IP

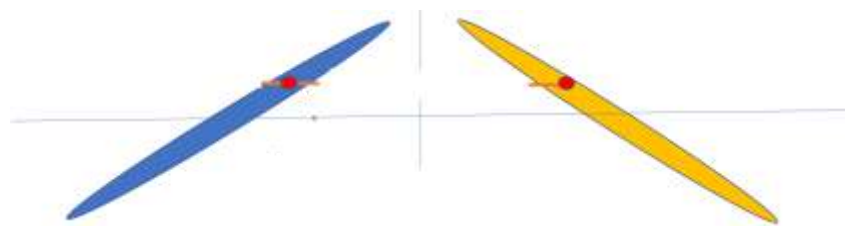
# Crossing angle collisions

- **Beam energies** of electrons and hadrons are **vastly different** in EIC
- Focusing elements for electrons would have only little effect on hadrons, while hadron magnets would overfocus electrons
- **Beams need to be separated** into their respective focusing systems as close **as possible to the IP**
- A **separator dipole** would have to deflect the (“weaker”) electrons and would therefore generate a **wide synchrotron radiation fan** that would need to pass through the detector – requires **large beam pipe diameter** (HERA-II)
- Best solution: **Crossing angle collisions!**

# Crossing Angle and Luminosity



- In **head-on** collisions, **every beam particle** in one beam can potentially interact with **every particle** in the other beam

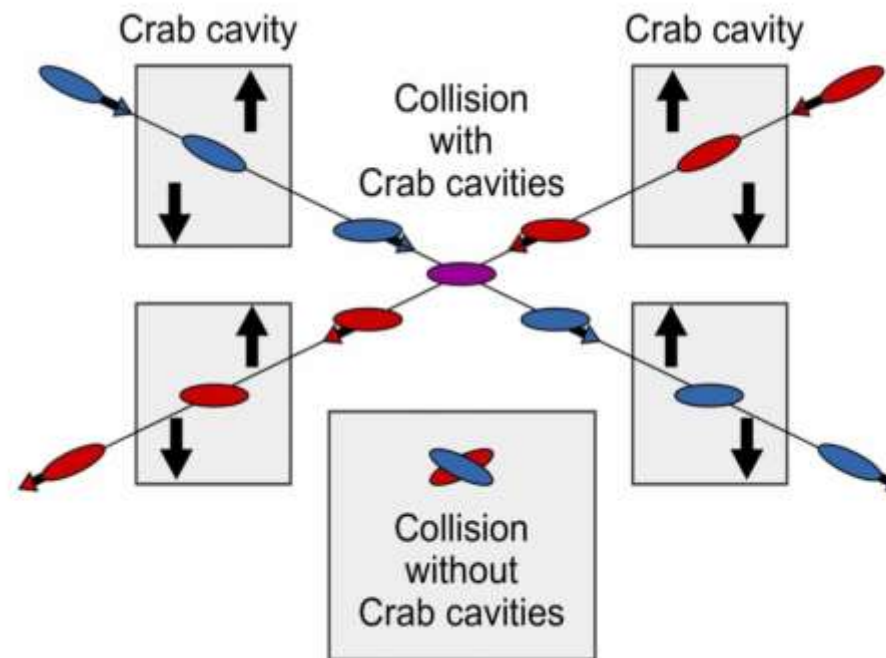


- Long ( $\sim \pm 6$  cm), skinny (100  $\mu\text{m}$ ) bunches colliding at an angle have **very little overlap**
- With **25 mrad crossing angle**, each particle can only interact with a  **$\pm 4$  mm thick slice** of the  $\pm 6$  cm long oncoming bunch



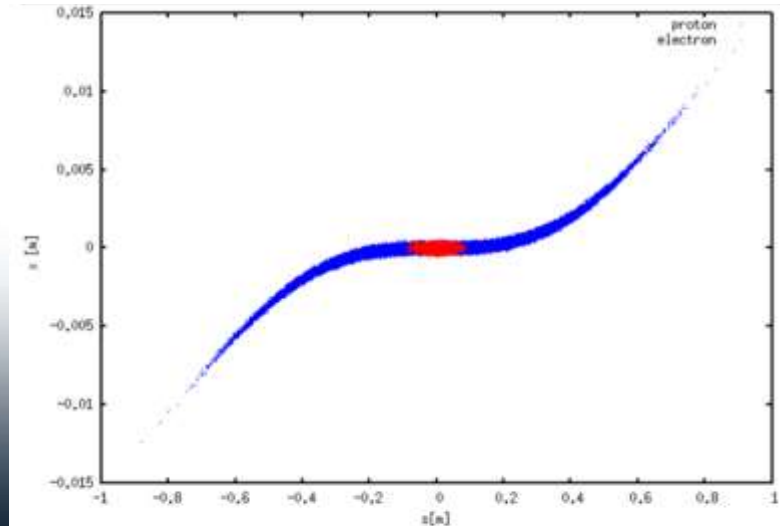
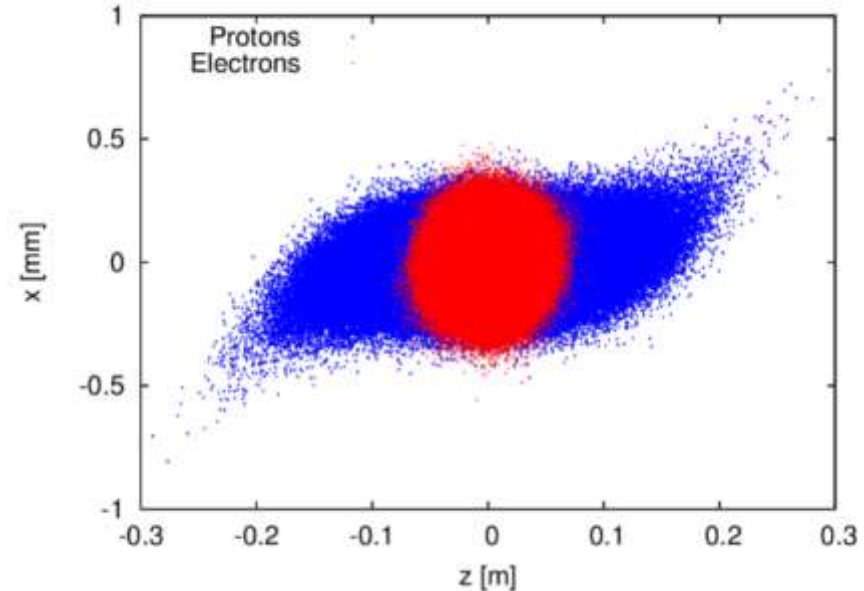
# Crab Crossing to the Rescue

- Head-on collision geometry is restored by rotating the bunches before colliding (“crab crossing”)
- Bunch rotation (“crabbing”) is accomplished by transversely deflecting RF resonators (“crab cavities”)
- Actual collision point moves laterally during bunch interaction



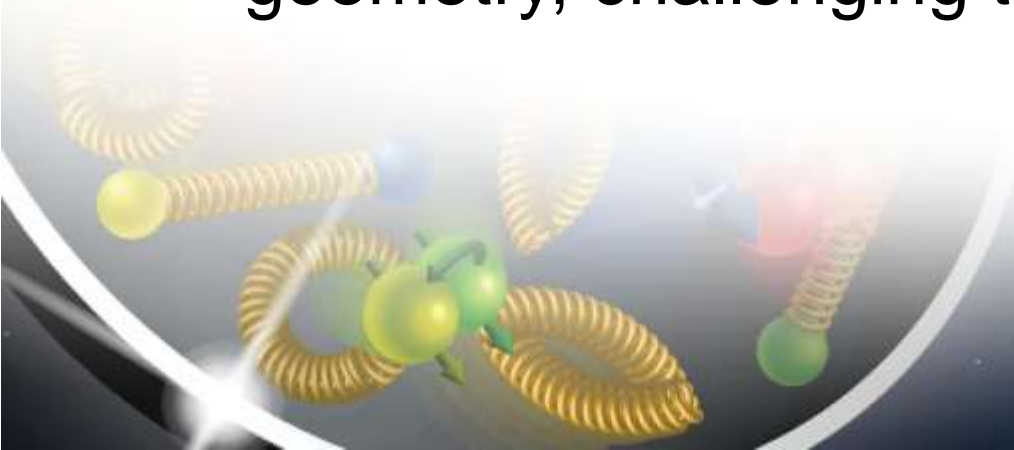
# Nobody's perfect

- Bunch **rotation** (crabbing) is **not linear** due to finite wavelength of RF resonators (crab cavities)
- Long hadron bunches are **"S"-shaped** during collision
- Distorted shape **results in transverse offset** between electron bunch and head and tail of proton bunch – reduced luminosity and severe beam dynamics effects
- Longer bunches, skinnier bunches, or increased crossing angle **all make this worse**
- **Higher harmonic crab cavities** can **"straighten out"** the kick and therefore the bunch, but at a cost – space and money
- EIC already plans on **197 MHz crab cavities, plus 394 MHz harmonics**
- **197 MHz as low as technically feasible** (niobium sheets for cavity production, cavity size in tunnel)



# Spin Rotators

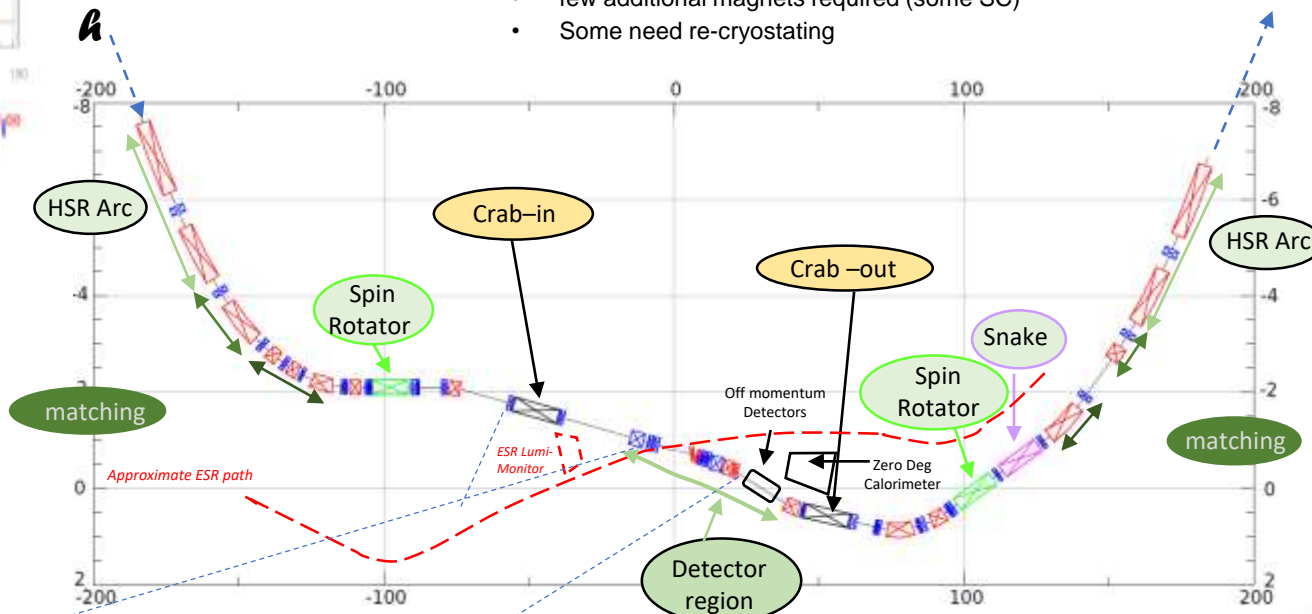
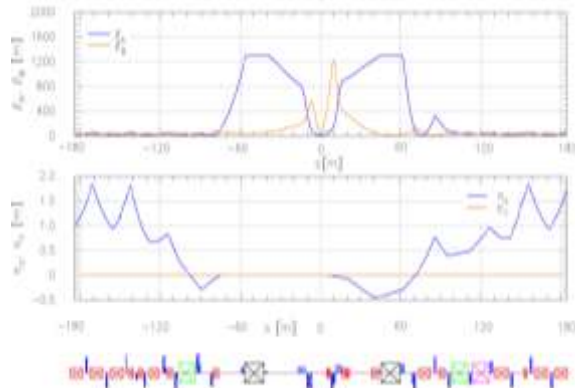
- Both electrons and protons will have longitudinal polarization at the IP
- Hadron spin rotators will be taken from present RHIC (helical dipoles)
- Electron spin rotators are based on solenoid magnets with subsequent dipole – large chunk of beamline with fixed geometry, challenging to fit into existing tunnel



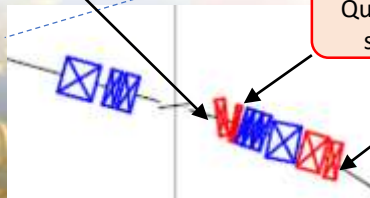
# HSR layout in IR6

- Forward and rear hadron lattice matched into RHIC

- Snake at correct angle
- Beta = 1300m at crab cavities
  - Hor. phase advance 90°
- Matching Magnets
  - Mostly repurposed RHIC magnets
  - few additional magnets required (some SC)
  - Some need re-cryostating



B0pF spectrometer



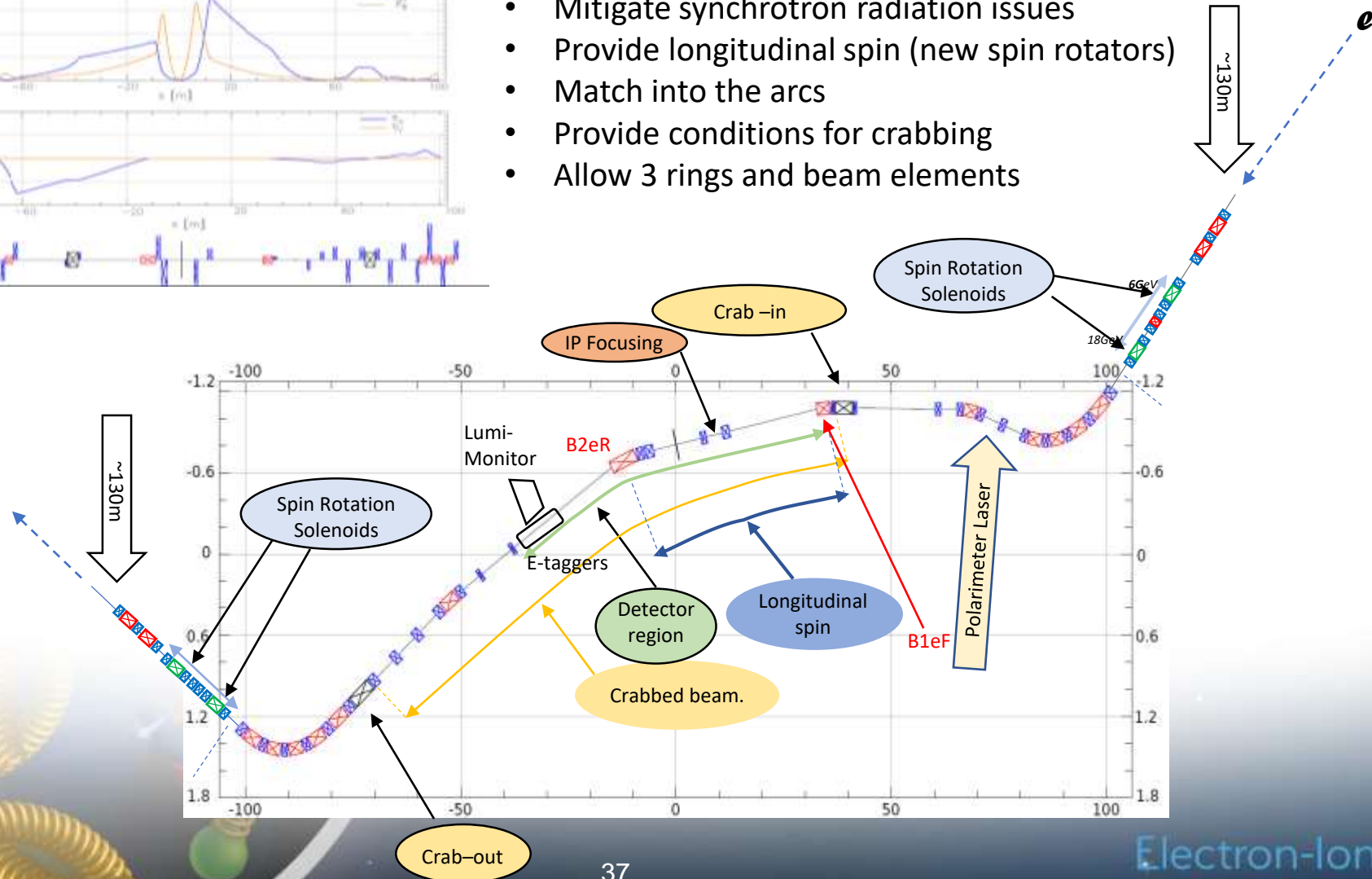
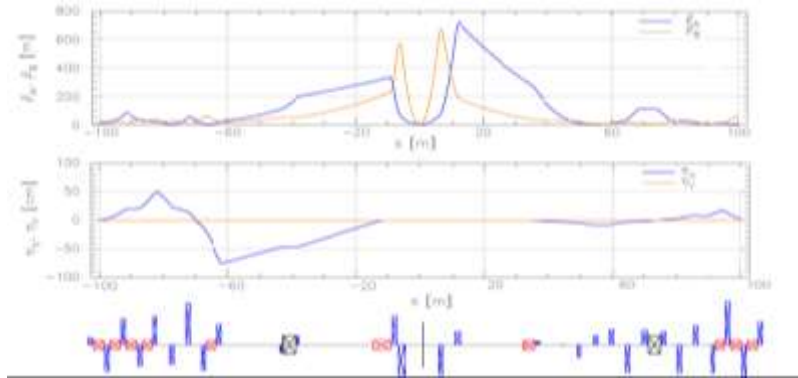
3 Dipoles and 3 Quadrupoles in one shared cryostat

Nov 2021 layout

# ESR layout in IR6

Design to:

- Provide room for detector components
- Mitigate synchrotron radiation issues
- Provide longitudinal spin (new spin rotators)
- Match into the arcs
- Provide conditions for crabbing
- Allow 3 rings and beam elements



# EIC IR Layout

## High luminosity:

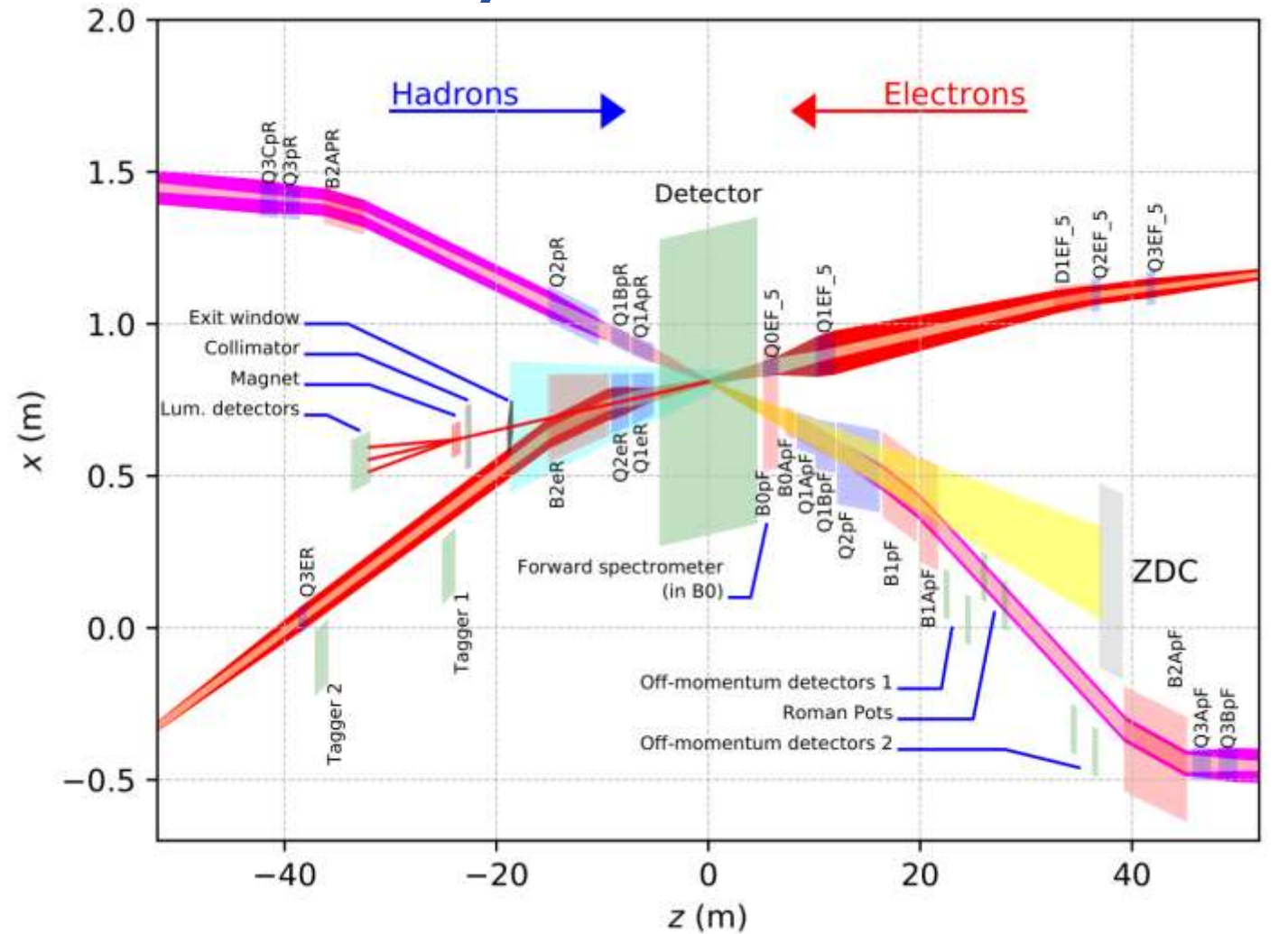
- Small  $b^*$  for high luminosity
- Limited IR chromaticity contributions
- Large final focus quadrupole aperture

## Physics requirements:

- Large detector acceptance
- Forward spectrometer
- No machine elements within  $\pm 4.5\text{m}$  from the IP
- Space for luminosity detector, neutron detector, "Roman Pots"

## Multi-stage separation:

- Electrons from protons
- Protons from neutrons
- Electrons from Bethe-Heitler photons (luminosity monitor)



# Summary

- The EIC will be the next large nuclear physics facility, starting operations ~2031
- It fulfills all the requirements listed in the White Paper, facilitating a rich physics program
- These requirements make it a very challenging machine – high beam currents, polarization, novel hadron cooling technique, large energy range, ...
- A great opportunity to work on the forefront of accelerator science and technology!

