

# ***SRF Cavity Fault Classification Using Machine Learning at Jefferson Lab***

Chris Tennant | Jefferson Lab

*December 3, 2021*

FRIB/MSU Seminars Series

# About the Talk

- this talk is not about
  - ✓ advancing the state-of-the-art in machine learning
  - ✓ implementing cutting edge algorithms
- this talk is about
  - ✓ a conceptually simple machine learning classification problem
  - ✓ the development of a deployed system – from data collection to implementation
  - ✓ valuable lessons learned along the way
  - ✓ the challenges of working with real-world data

PHYSICAL REVIEW ACCELERATORS AND BEAMS **23**, 114601 (2020)

Editors' Suggestion

## Superconducting radio-frequency cavity fault classification using machine learning at Jefferson Laboratory

Chris Tennant<sup>1</sup>, Adam Carpenter, Tom Powers, Anna Shabalina Solopova<sup>2</sup>, and Lasitha Vidyaratne  
*Jefferson Laboratory, Newport News, Virginia 23606, USA*

Khan Iftekharuddin  
*Old Dominion University, Norfolk, Virginia 23529, USA*

## Deep Learning Based Superconducting Radio-Frequency Cavity Fault Classification at Jefferson Laboratory

Lasitha Vidyaratne, Adam Carpenter, Tom Powers, Chris Tennant, Khan Iftekharuddin, Md Monibor Rahman and Anna S Shabalina

**Original Research** This work investigates the efficacy of deep learning for classifying C100 superconducting radio-frequency (SRF) cavity faults in the Continuous Electron Beam Accelerator Facility (CEBAF) at Jefferson Lab. CEBAF is a large, high-power continuous wave ...

Accepted on 18 November 2021  
Front. Artif. Intell. doi: 10.3389/frai.2021.718950

# Acknowledgements

Adam Carpenter

Tom Powers

Monibor Rahman

Lasitha Vidyaratne

and many others!

# Outline

- ***ML Landscape***
- ***Definitions***
- ***Fault Isolation and Identification***
  - ✓ **Supervised Learning: Machine Learning**
  - ✓ **Supervised Learning: Deep Learning**
  - ✓ **Unsupervised Learning**
- ***Fault Prediction***
- ***Other Work***
- ***Summary***





# AI/ML in Accelerator Physics

1987

## Some Applications of AI to the Problems of Accelerator Physics\*

T. Higo<sup>†</sup>, H. Shoaee and J. E. Spencer  
Stanford Linear Accelerator Center  
Stanford University, Stanford, CA 94305

### Abstract

Failure of orbit correction schemes to recognize betatron oscillation patterns obvious to any machine operator is a good problem with which to analyze the uses of Artificial Intelligence and the roles and relationships of operators, control systems and machines. Because such error modes are very common, their generalization could provide an efficient machine

1989

## Accelerator Diagnosis and Control by Neural Nets\*

J. E. SPENCER  
Stanford Linear Accelerator Center  
Stanford University, Stanford, California 94309

### Abstract

Neural Nets(NN) have been described as a solution looking for a problem. In the last conference, Artificial Intelligence(AI) was considered in the accelerator context. While good for local surveillance and control, its use for large complex systems(LCS) was much more restricted. By contrast, NN provide a good metaphor for LCS. It can be argued that they are logically equivalent to

## Neural Network Technique for Orbit Correction in Accelerators/Storage rings. \*

Eva Bozoki and Aharon Friedman  
National Synchrotron Light Source,  
Brookhaven National Laboratory,  
PO Box 5000  
Upton, NY 11973-5000

1993

### Abstract

We are exploring the use of Neural Networks, using the SNNS simulator [1], for orbit control in accelerators (primarily circular accelerators) and storage rings. The orbit of the beam in those machines are measured by orbit monitors

↑  
“Neural Nets (NN) have been described as a solution looking for a problem.”

# Rise of Peer-Reviewed ML Publications

PHYSICAL REVIEW ACCELERATORS AND BEAMS **21**, 112802 (2018)

## Online tuning and light source optimization using physics-informed Gaussian process

PHYSICAL REVIEW ACCELERATORS AND BEAMS **23**, 044601 (2020)

Machine learning for orders of magnitude speedup in multiobjective optimization of particle accelerators

PHYSICAL REVIEW ACCELERATORS AND BEAMS **23**, 081601 (2020)

PHYSICAL REVIEW ACCELERATORS AND BEAMS **23**, 074601 (2020)

## Neural network-based multiobjective optimization algorithm for nonlinear beam dynamics

## Autonomous Control of a Particle Accelerator using Deep Reinforcement Learning

## Neural networks for beam dynamics optimization of storage ring nonlinear dynamics

Jinyu Wan<sup>1,2</sup>, Paul Chu,

<sup>1</sup>Key Laboratory of Particle Acceleration Physics and Chinese Academy of Sciences

<sup>2</sup>University of Chinese Academy of Sciences

Andriy Novichkov<sup>\*</sup> and Ilya Agapov<sup>1</sup>  
DESY, Notkestrasse 85, 22607, Hamburg, Germany

## MACHINE LEARNING FOR BEAM DYNAMICS AT CERN LARGE HADRON COLLIDER

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Apple  
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Los Alamos National Laboratory  
sunil@lanl.gov

## Optimization of the CLIC Final-Focus using Artificial Neural Networks

A PREPRINT

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Los Alamos National Laboratory  
lrybarczyk@lanl.gov

Gohil<sup>1,2</sup>, and D. Schulte<sup>1</sup>

P. Arpaia<sup>1</sup>, G. Azzopardi<sup>2</sup>, F. Blanc<sup>3</sup>, G. Bregliozzi<sup>4</sup>, X. Buffat<sup>2</sup>, L. Coyle<sup>3,2</sup>, E. Fol<sup>5,2</sup>, F. Giordano<sup>1,2</sup>, M. Giovannozzi<sup>2</sup>, T. Pieloni<sup>3,2</sup>, R. Prevete<sup>1</sup>, S. Redaelli<sup>2</sup>, B. Salvachua<sup>2</sup>, B. Salvant<sup>2</sup>, M. Schenk<sup>3</sup>, M. Solfaroli Camillocci<sup>2</sup>, R. Tomás<sup>2</sup>, G. Valentino<sup>6</sup>, F.F. Van der Veken<sup>6,2</sup>, and J. Wenninger<sup>2</sup>

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<sup>2</sup>Beams Department, CERN, Esplanade des Particules 1, 1211 Geneva 23, Switzerland

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<sup>4</sup>Technology Department, CERN, Esplanade des Particules 1, 1211 Geneva 23, Switzerland

<sup>5</sup>Johann Wolfgang Goethe Universität, Max-von-Laue-Str. 9, 60438 Frankfurt, Germany

<sup>6</sup>University of Malta MSD2080, Msida, Malta

<sup>1</sup>European Organization for Nuclear Research (CERN), Geneva, Switzerland

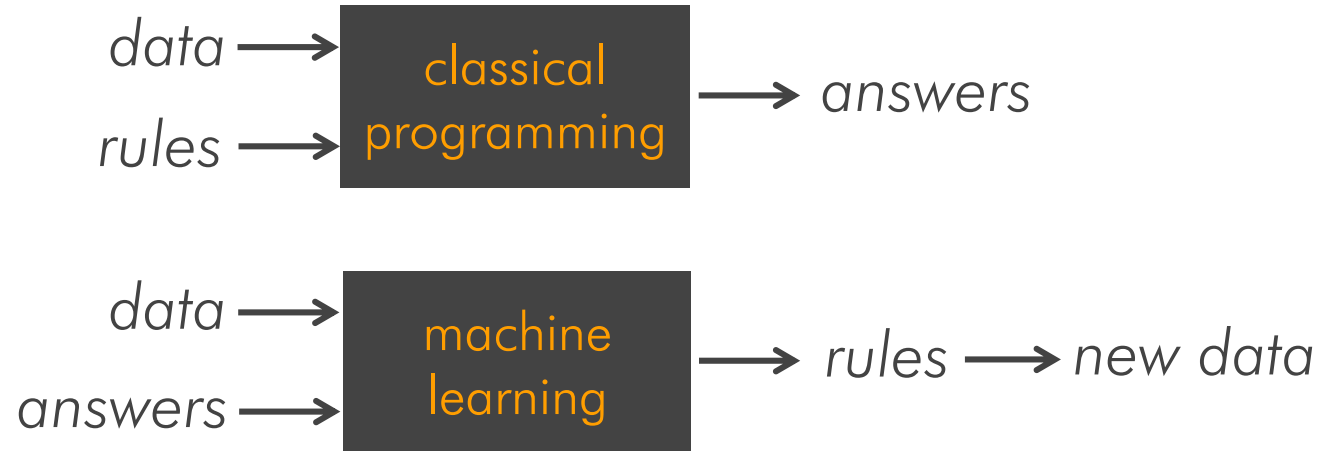
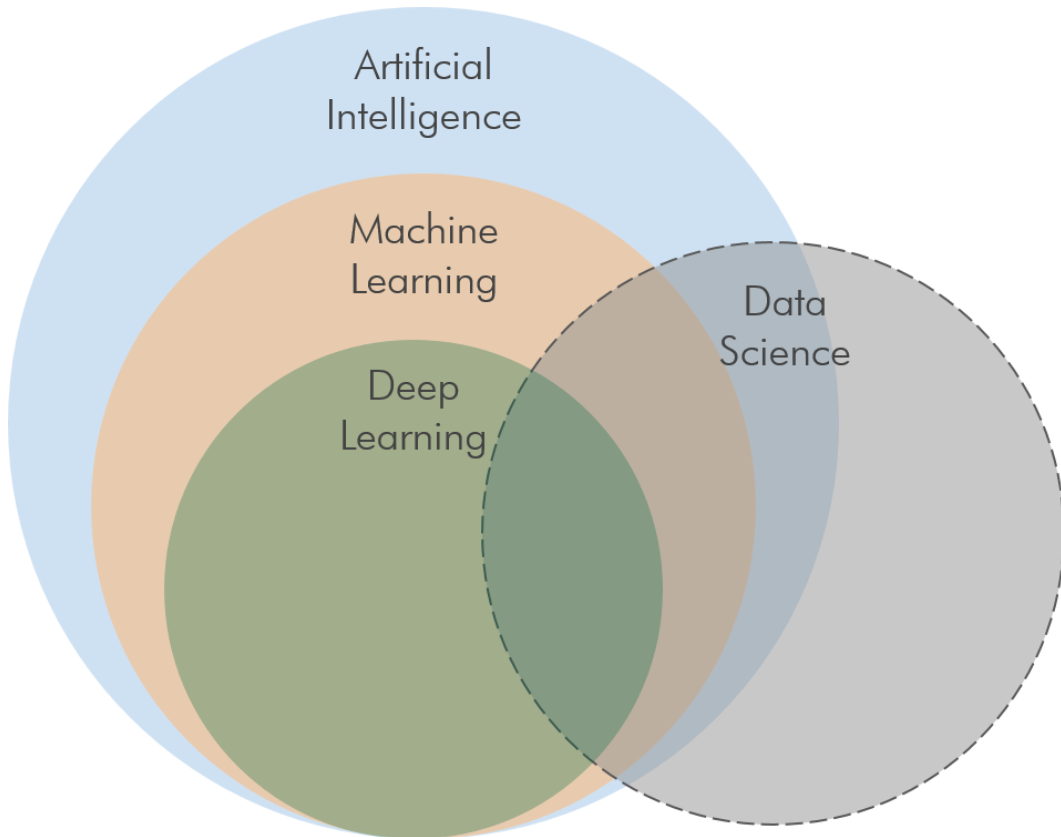
<sup>2</sup>John Adams Institute, University of Oxford, Oxford, United Kingdom

<sup>1</sup>CERN, Geneva 1211, Switzerland

<sup>2</sup>University of Malta, Msida MSD 2080, Malta

# Machine Learning 101

machine learning: *"The field of study that gives computers the ability to learn without being explicitly programmed"* A. Samuel



*"a machine-learning system is trained rather than explicitly programmed"* F. Chollet

# ML and Particle Accelerators

- particle accelerators represent the most complex scientific instruments designed, built, and operated
- there is clear motivation to maximize scientific output per operating dollar

## Model Learning Algorithms for Anomaly Detection in CERN Control Systems

ICALEPCS,  
CERN, BE-Industrial Co

F. Tilaro, B. Bradu, M. Gonzalez-Berges

## Anomaly Detection in Accelerators Using Machine Learning

Authors: Anwesha Das<sup>1</sup>, Daniel Ratner<sup>1</sup>

Michael Borland<sup>2</sup>, Louis Emery<sup>2</sup>, 2

Reid Smith<sup>3</sup>, Guimei Wang<sup>3</sup>

## Detection and Classification of Collective Beam Instabilities in the LHC

### DEEP NEURAL NETWORK FOR ANOMALY DETECTION IN ACCELERATORS

M. Piekarski, W. Kitka, NSRC SOLARIS, Jagiellonian University, Krakow, Poland  
J. Jaworek-Korjakowska, AGH University of Science and Technology, Krakow, Poland

### EXPERIENCE USING NuPIC TO DETECT ANOMALIES IN CONTROLS DATA\*

T. D'Ottavio<sup>†</sup>, P. S. Dyer, J. Piacentino, Jr., M. R. Tomko  
Brookhaven National Laboratory, Upton, USA

### MODEL LEARNING ALGORITHMS FOR ANOMALY DETECTION IN CERN CONTROL SYSTEMS

F. Tilaro, B. Bradu, M. Gonzalez-Berges, F. Varela, CERN, Geneva, Switzerland  
M. Roshchin, Siemens AG, Corporate Technology, Munich, Germany

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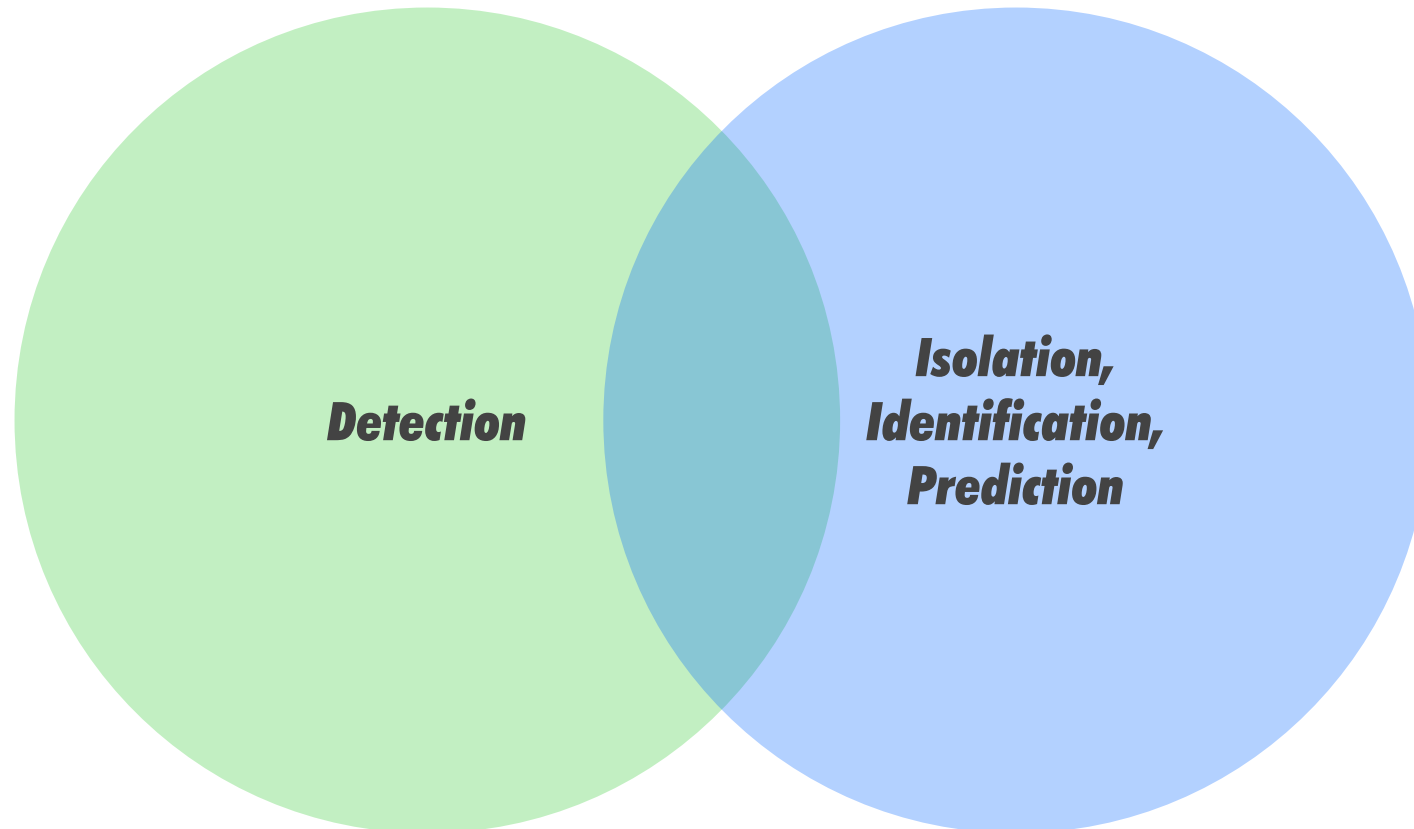


# Definitions

- **Fault**: an unpermitted deviation of at least one characteristic property or parameter of the system from acceptable, usual or standard conditions
- **Fault Detection**: monitoring measured variables to determine if a fault has occurred (if a fault has occurred, it may be important to determine the time at which the fault occurred)
- **Fault Isolation**: determining the location of a fault once it is known that a fault has occurred
- **Fault Identification**: determining the type of fault
- **Fault Prediction**: providing advanced warning of an impending fault

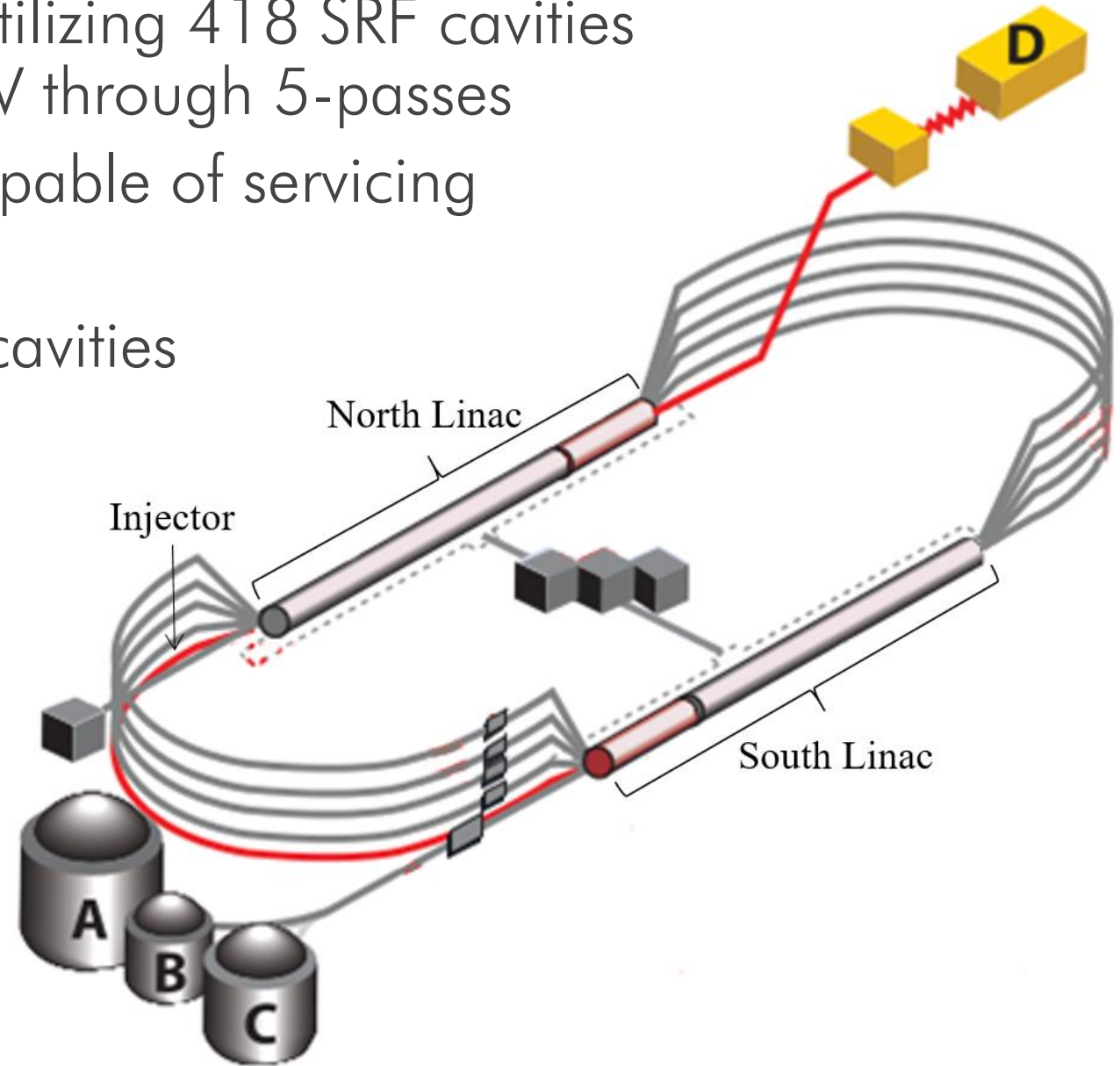
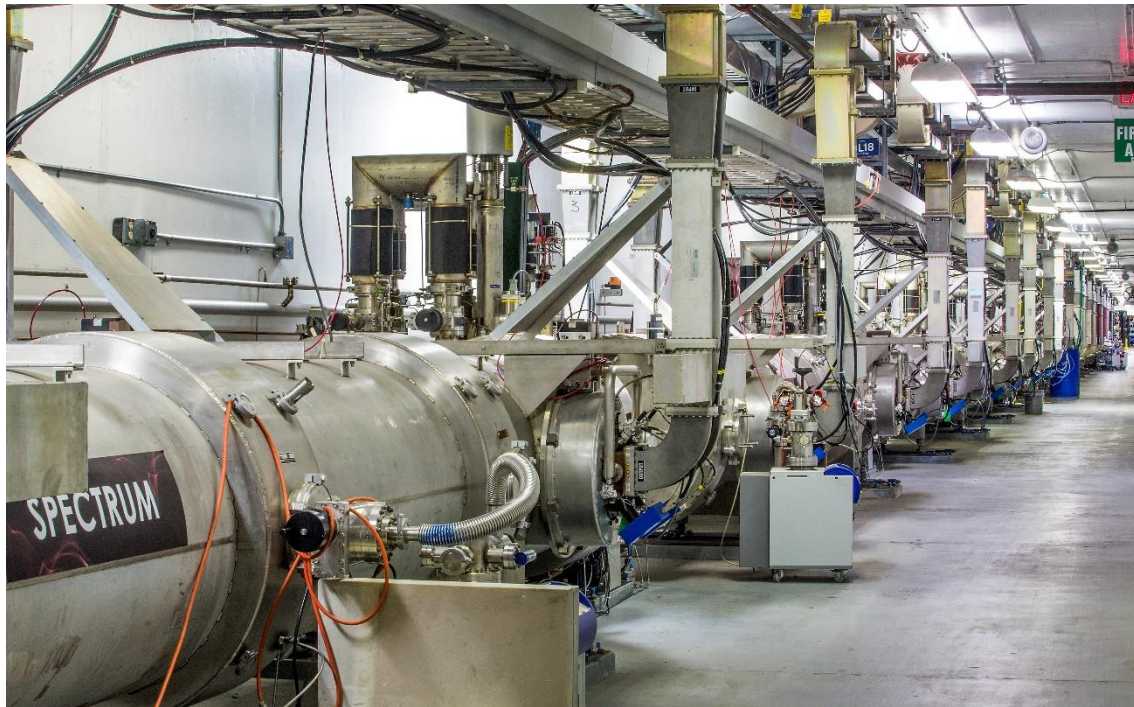
# Detection vs (Isolation, Identification, Prediction)

- machine protection systems, personal safety systems, alarms, and other engineered systems are able to detect many types of faults
- in these instances, detection is not necessary → it's (painfully) obvious when a fault has occurred



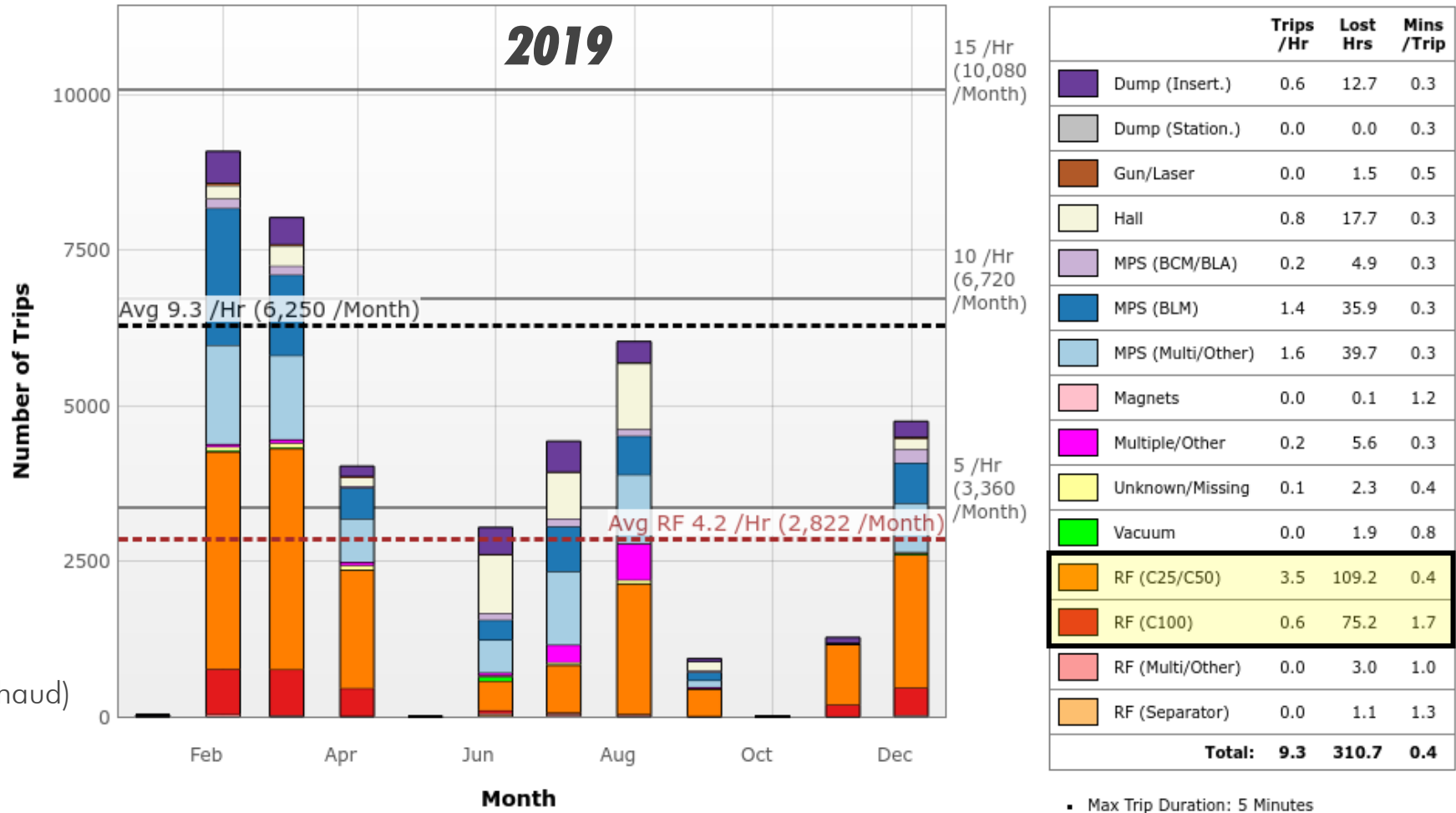
# Continuous Electron Beam Accelerator Facility

- CEBAF is a CW recirculating linac utilizing 418 SRF cavities to accelerate electrons up to 12 GeV through 5-passes
- it is a nuclear physics user-facility capable of servicing 4 experimental halls simultaneously
- the heart of the machine is the SRF cavities



# CEBAF Down Time Manager

- CEBAF short machine downtime trips (< 5 min.) in 2019



(courtesy R. Michaud)

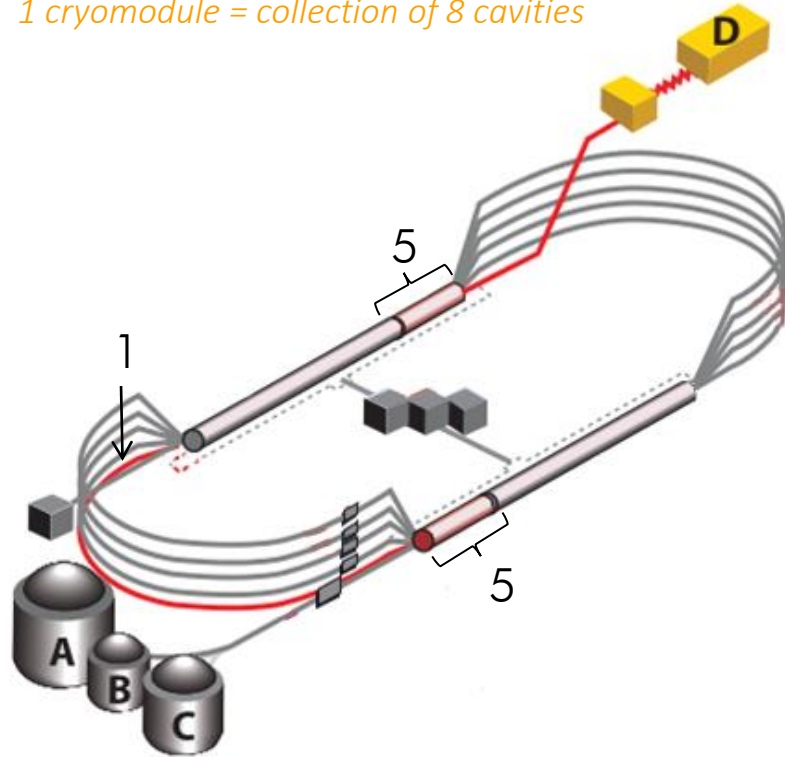
- Max Trip Duration: 5 Minutes
- Rate from Program (4492.47 hrs)
- SAD Trips excluded



# Defining the Problem

we have the ability to record high-fidelity data from 12 cryomodules

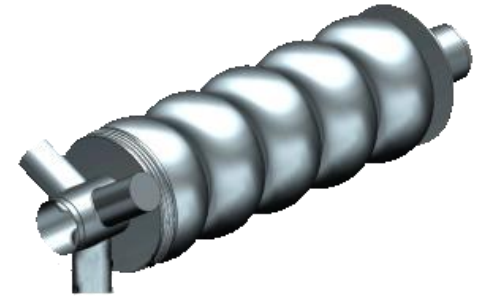
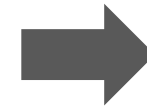
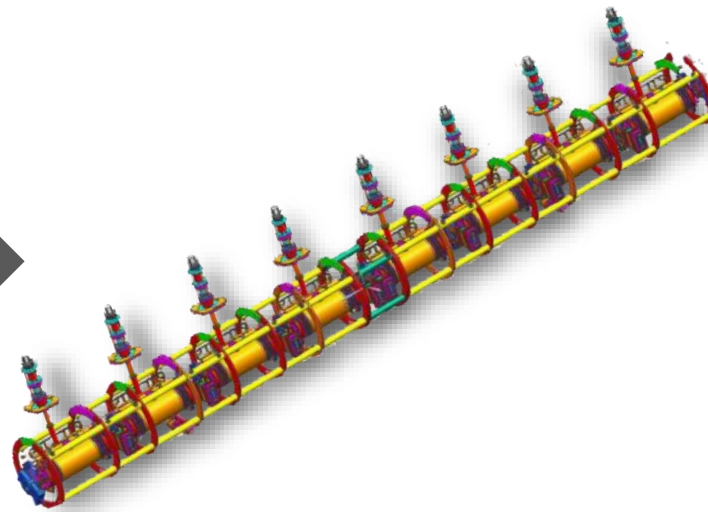
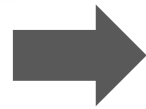
*1 cryomodule = collection of 8 cavities*



## FAULT ISOLATION

Which of the 8 cavities faulted first?

*17 signals/cavity × 8 cavities = 136 signals*



## FAULT IDENTIFICATION

What kind of trip was it?

*17 signals*

train a model to correctly classify the cavity and type of RF fault given waveform data

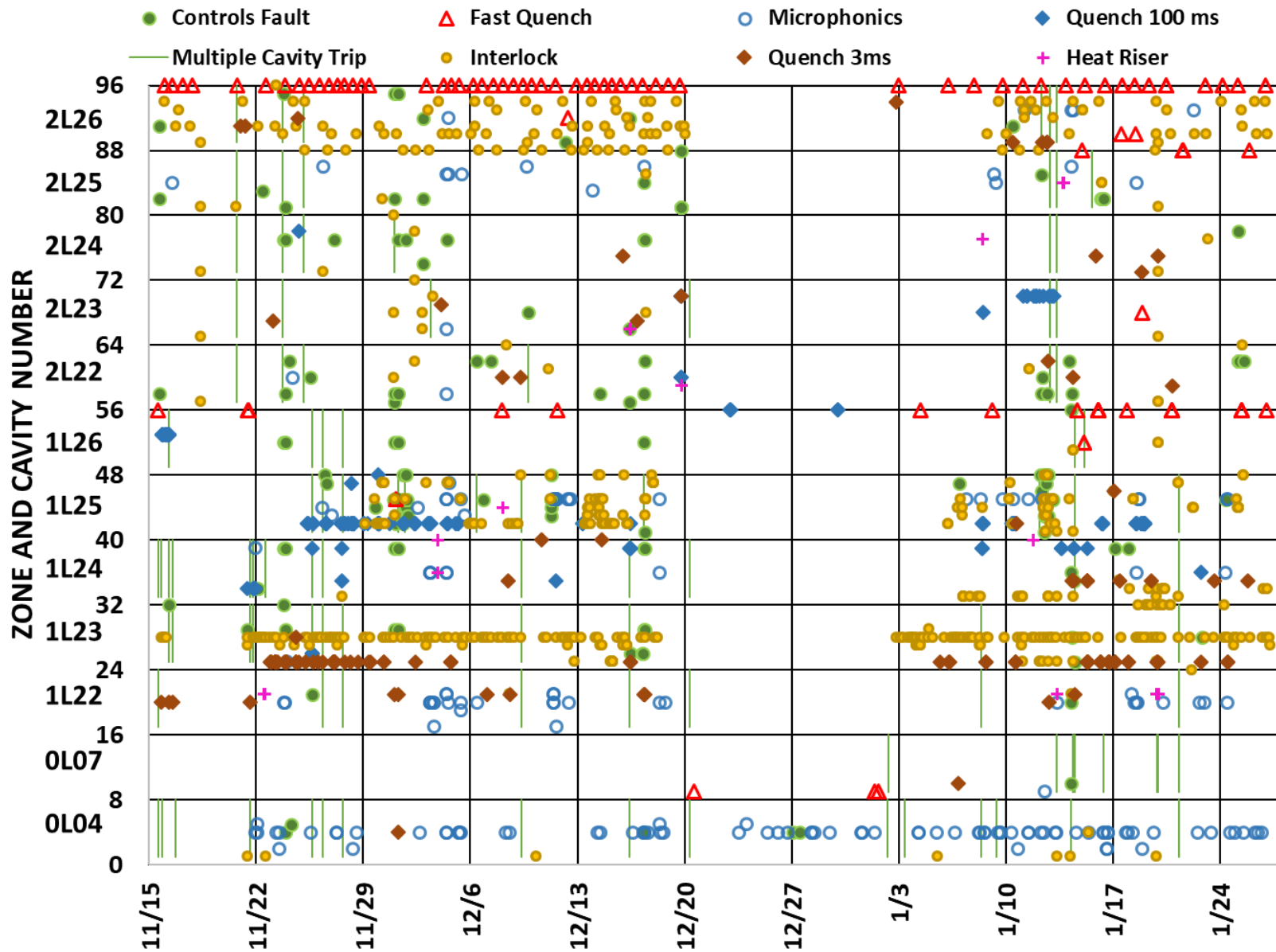
*machine learning*

*multi-class classification*

*time-series data*

# Brute Force Data Analysis

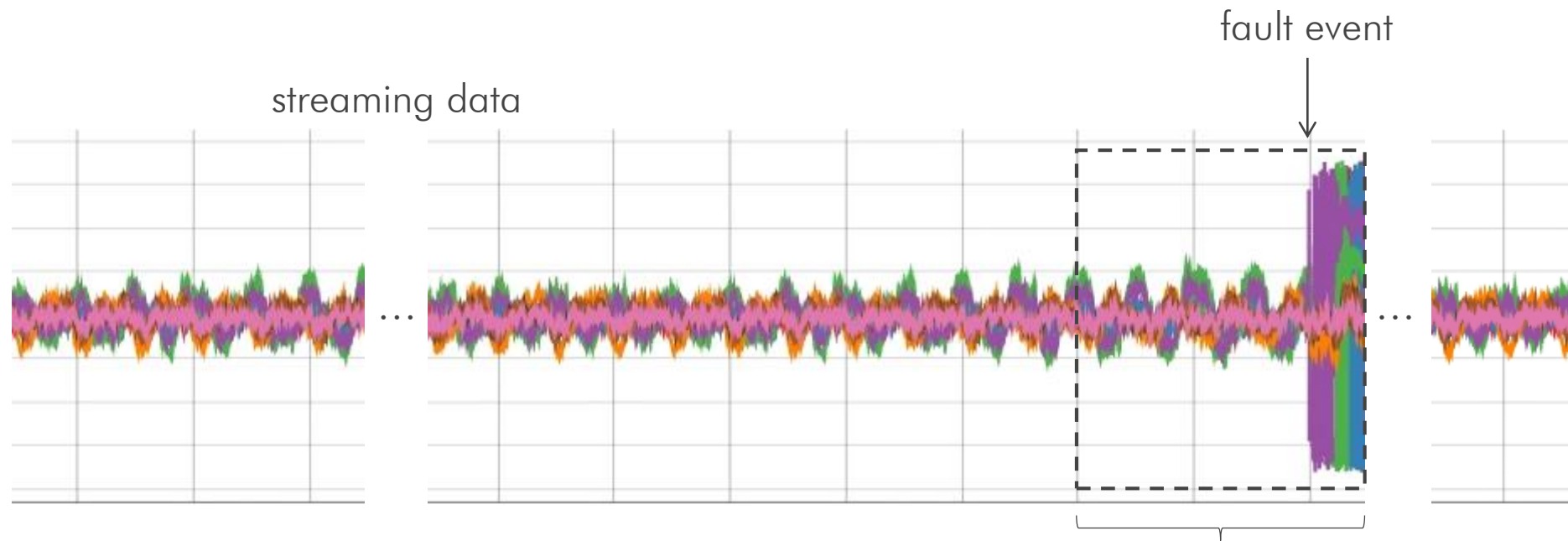
## C100 FAULTS BASED ON WAVEFORMS FOR 14 Nov 19 TO 27 Jan 20



(courtesy T. Powers)

# Data Acquisition System

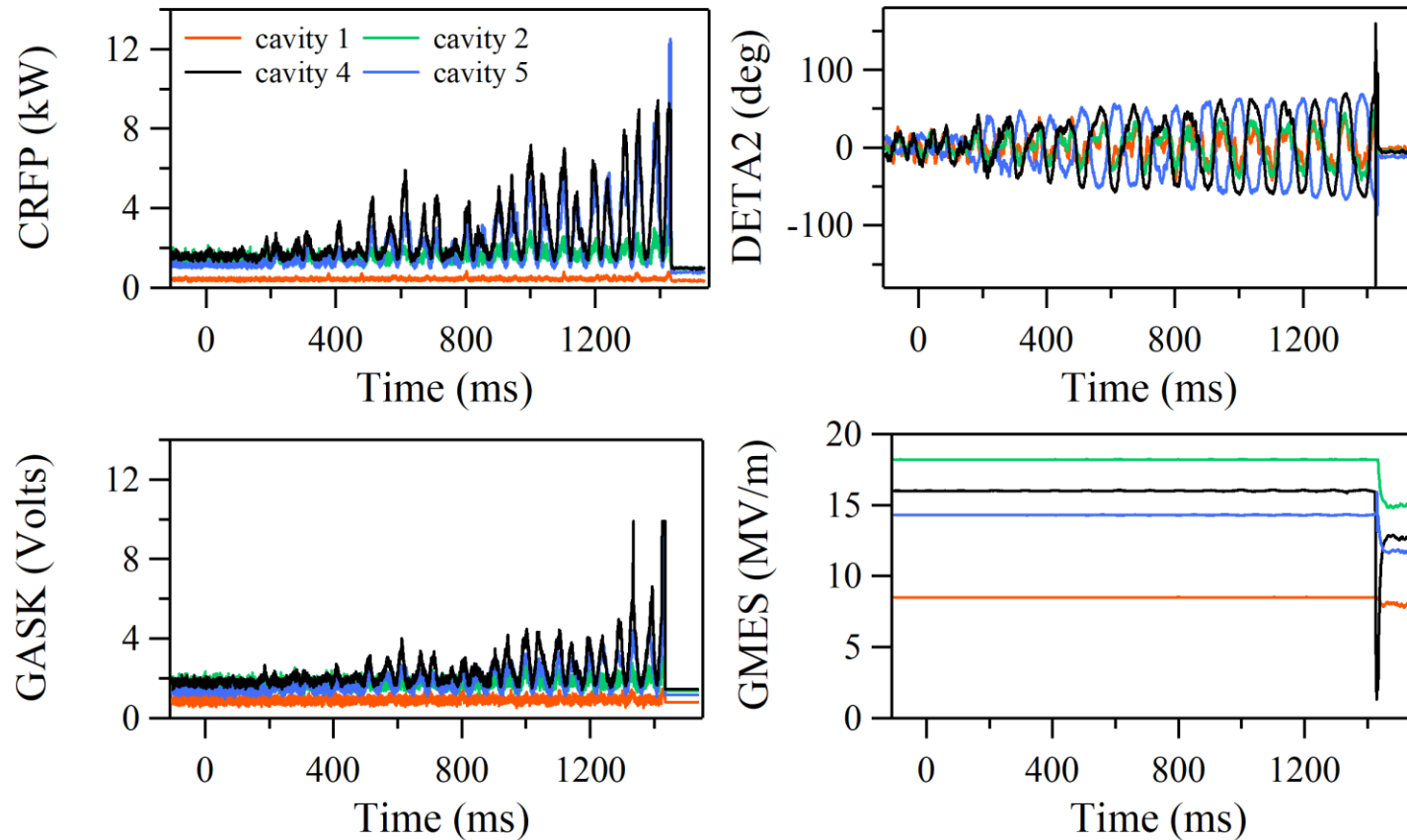
- waveform harvester was developed to capture RF time-series signals after a fault and write them to file for later analysis
  - ✓ each of the 17 harvested waveform signals is 8,192 points long
  - ✓ trigger set such that 94% of the recorded data precedes the fault and 6% after
  - ✓ pre-fault data provides valuable information about the root cause of the trip



$8,192 \text{ samples} \times 0.2 \text{ ms/sample} = 1.64 \text{ seconds}$

# Motivation

- labeling is hard
  - ✓ have a subject matter expert with 30+ years SRF experience to label fault events
  - ✓ closer to annotating medical images than distinguishing between cats and dogs



17 signals/cavity × 8 cavities = 136 traces



# Benefit of Fault Isolation and Identification

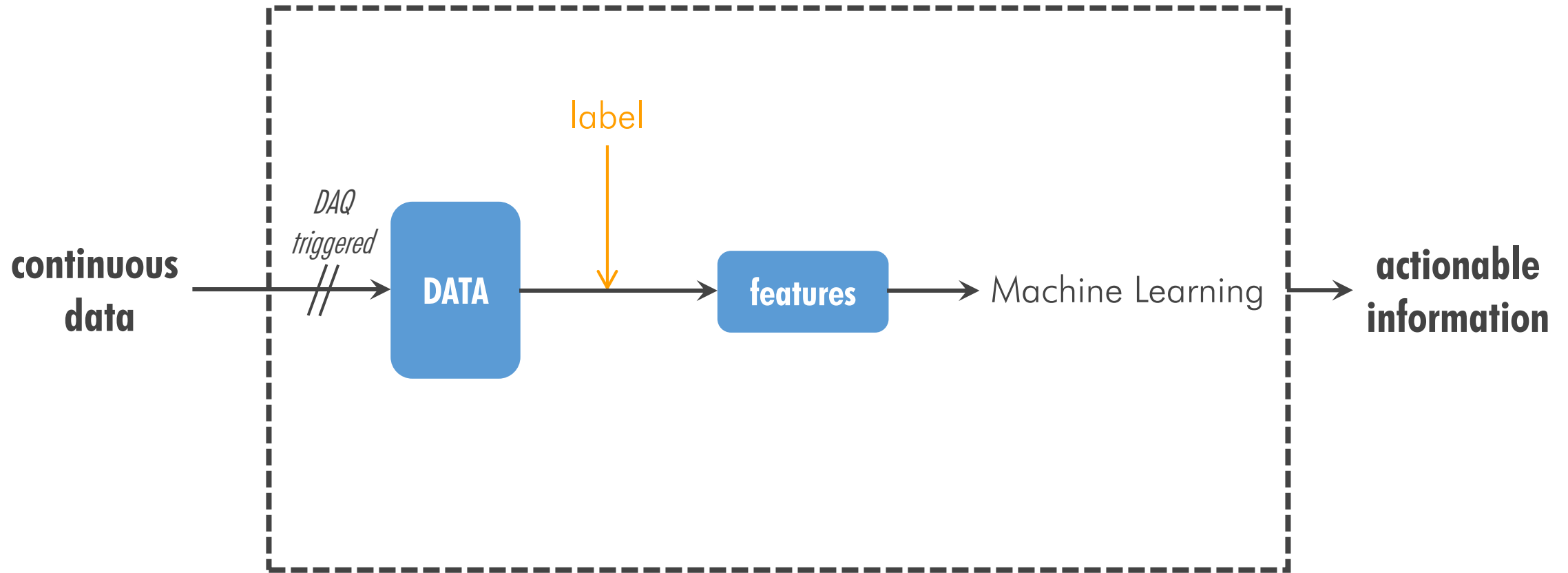
## ***Post-Run Analysis***

- use aggregate statistics for data-driven guidance for maintenance and/or upgrade activities
  - ✓ analysis of fall 2018 data indicated three cryomodules in the South Linac were prone to microphonic-based faults → provided justification to perform microphonics hardening (installing tuner dampers) → reduced microphonics-based trip rates → gradients could be increased in those cryomodules

## ***Post-Fault Analysis***

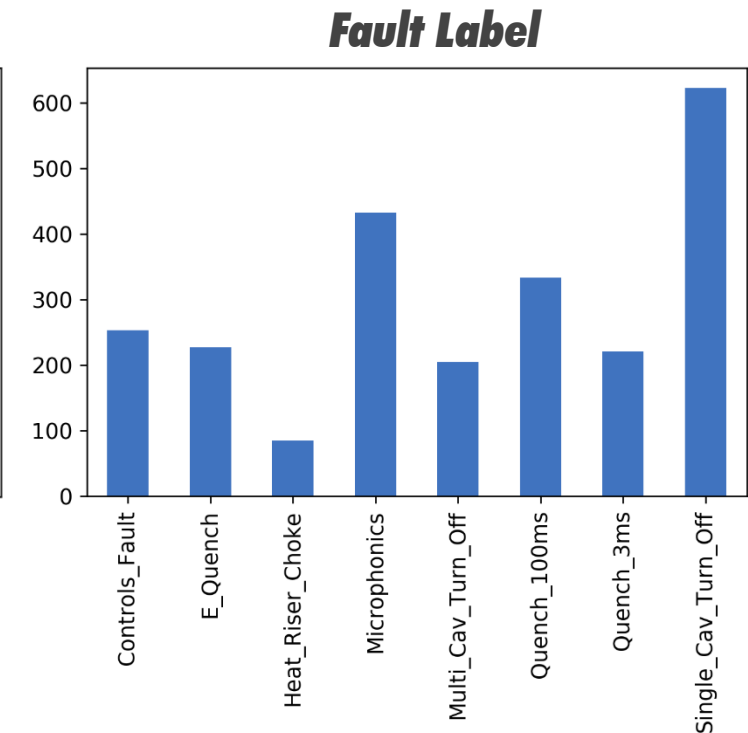
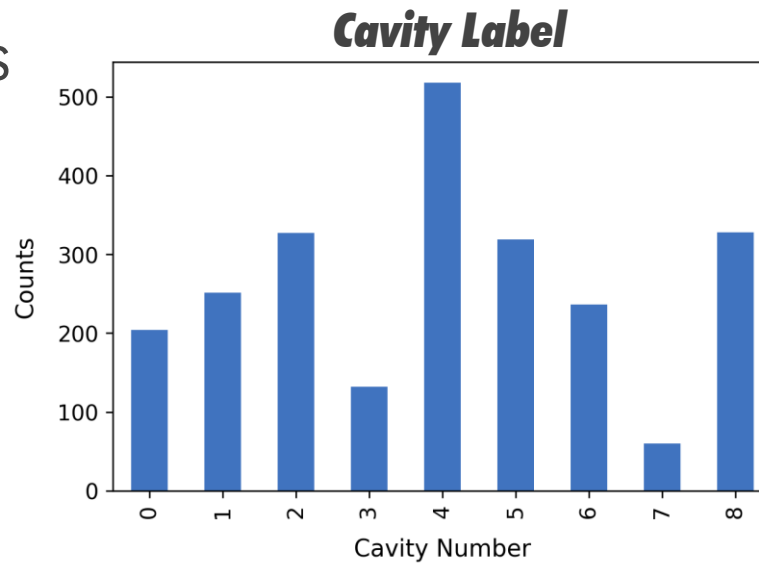
- provides critical feedback to control room operators
- fault types get mapped to actions for the operators
  - ✓ “if Fault A happens  $X$  times within  $Y$  minutes, drop gradient in the cavity by  $Z$  MV/m”
  - ✓ “if Fault B happens  $X$  times within  $Y$  minutes, contact a SME”

# Workflow

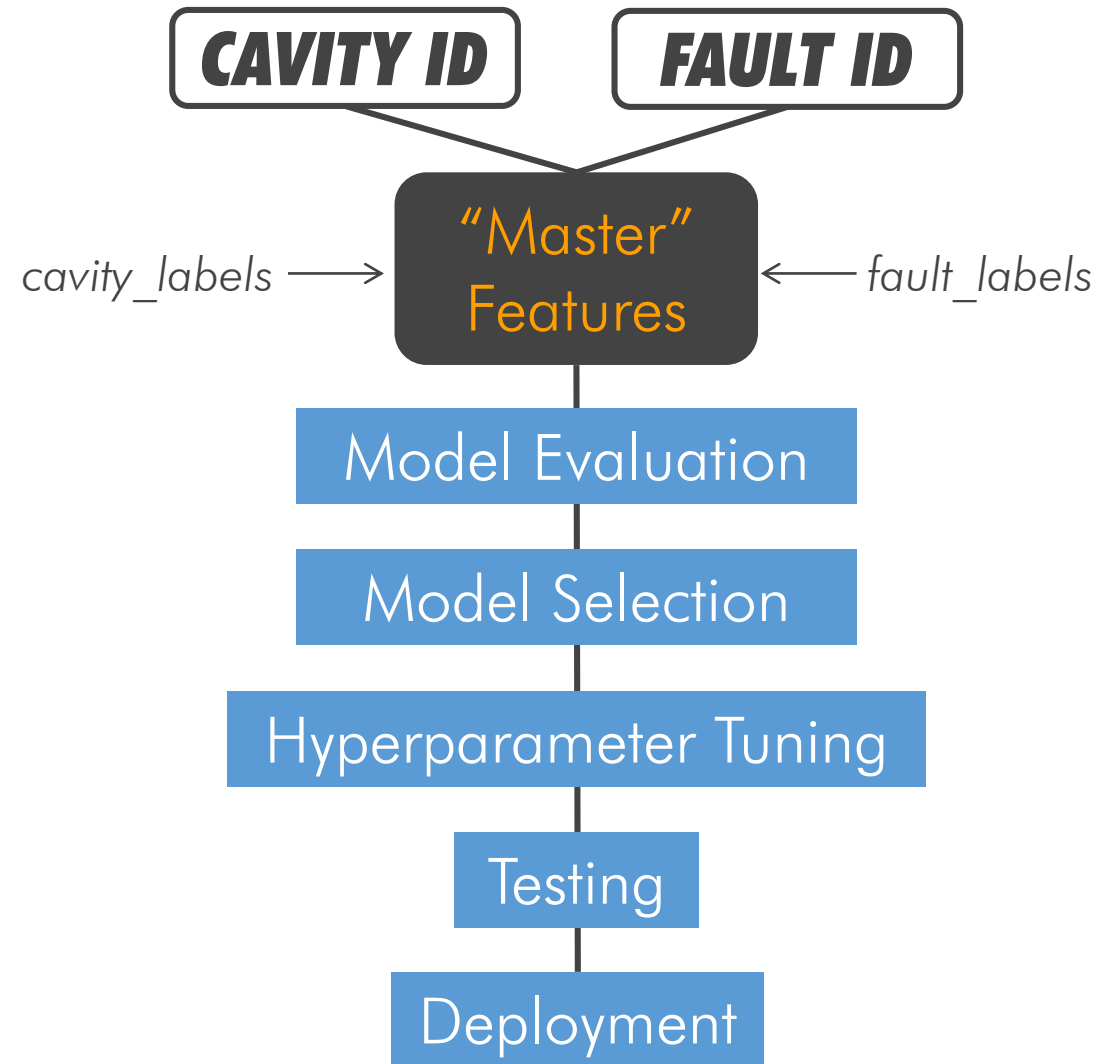


# Master Dataset

- perform feature extraction by fitting each time-series signal with 6 autoregressive coefficients:
  - ✓  $8 \text{ cavities} \times 4 \text{ signals/cavity} \times 6 \text{ features/signal} = 192 \text{ features}$
- data from January 18, 2019 to March 9, 2020
  - ✓ event must include all 8 cavities
  - ✓ must be sampled at 5 kHz (0.20 ms sample time)
- 2,375 events  $\times$  192 features



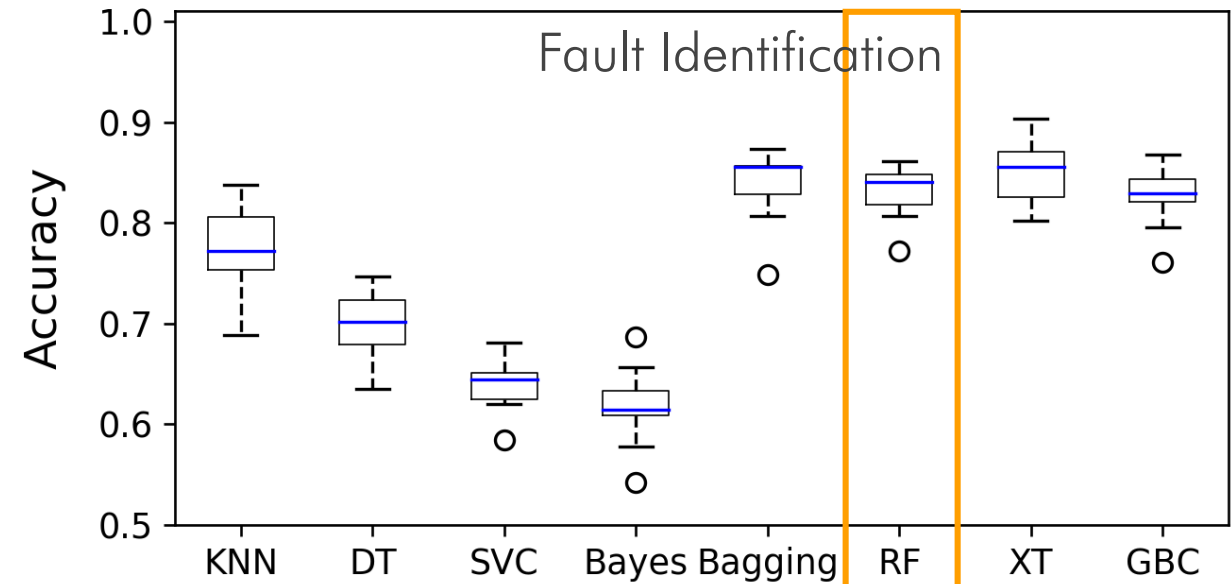
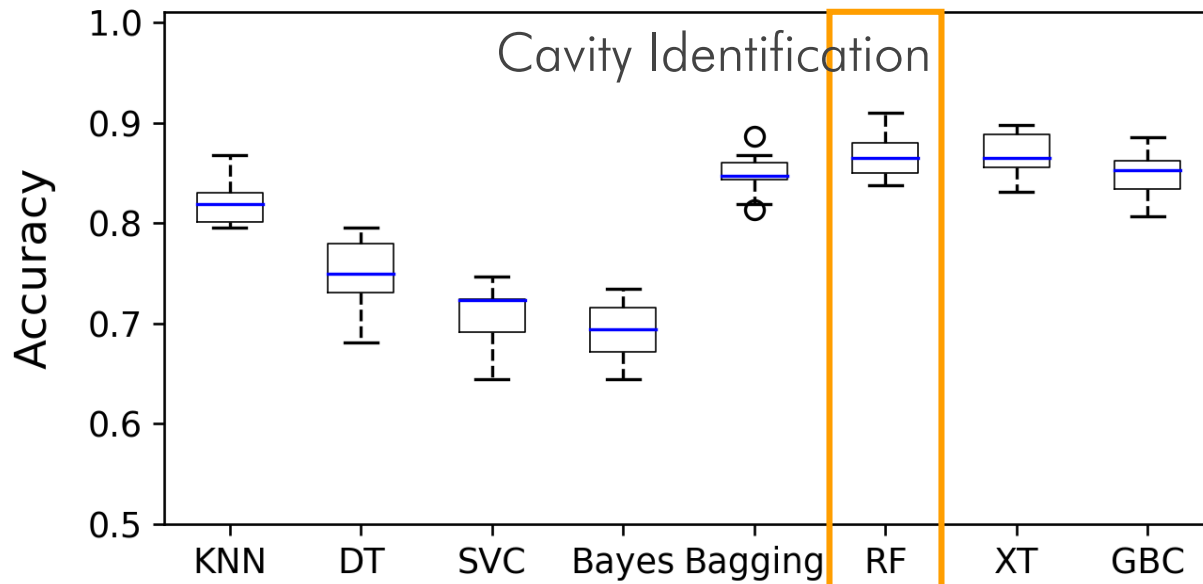
# Workflow: Developing ML Models





# Model Evaluation and Selection

- split data into train/test (70%/30%)
- 10-fold cross-validation scores for several different algorithms
  - ✓ ensemble models excel
- perform hyperparameter optimization on Random Forest classifier



	Cavity Identification	Fault Type
10-fold cross-validation (%)	$87.97 \pm 1.81$	$85.52 \pm 3.65$
accuracy (test data) (%)	87.94	87.66

# ML Model Performance

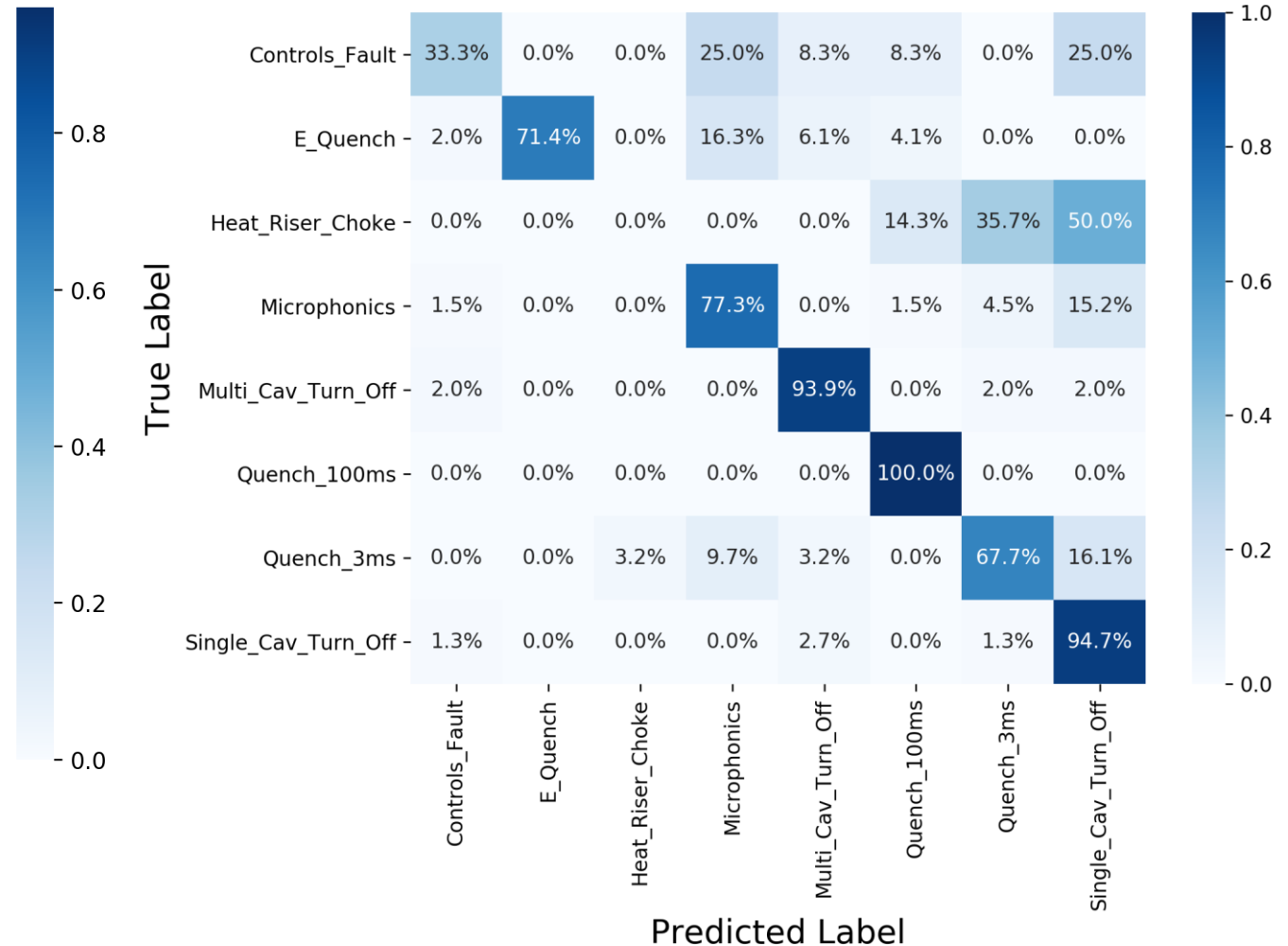
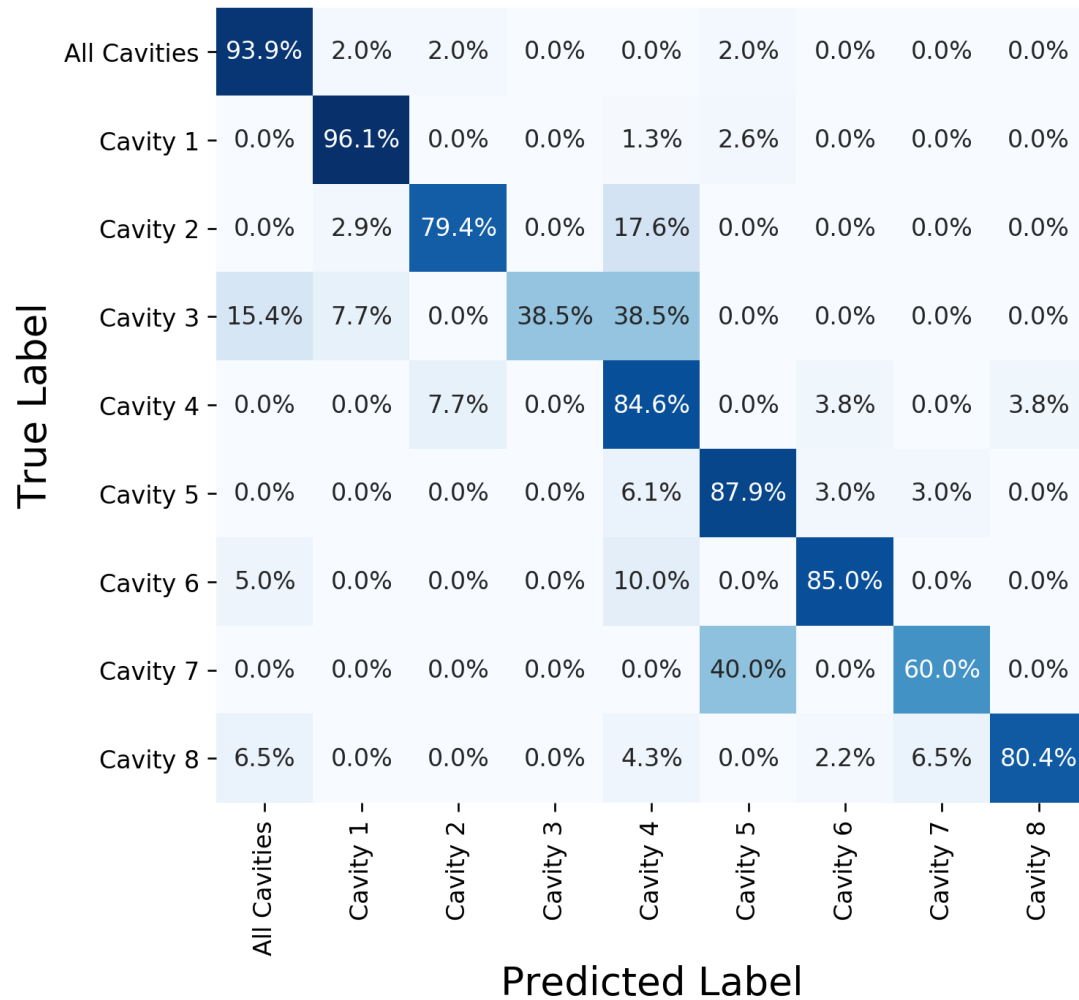
- models were applied to data collected from March 10-24, 2020
  - ✓ physics run was prematurely ended due to COVID-19
- 312 fault events were analyzed by the models
- summary of model performances compared to labeled data

	Agree	Disagree	Total
<b>Cavity Model</b>	265	47	312
<b>Fault Model</b>	244	68	312

- cavity model accuracy: 84.9%
  - ✓ testing accuracy: 87.9%
- fault model accuracy: 78.2%
  - ✓ testing accuracy: 87.7%

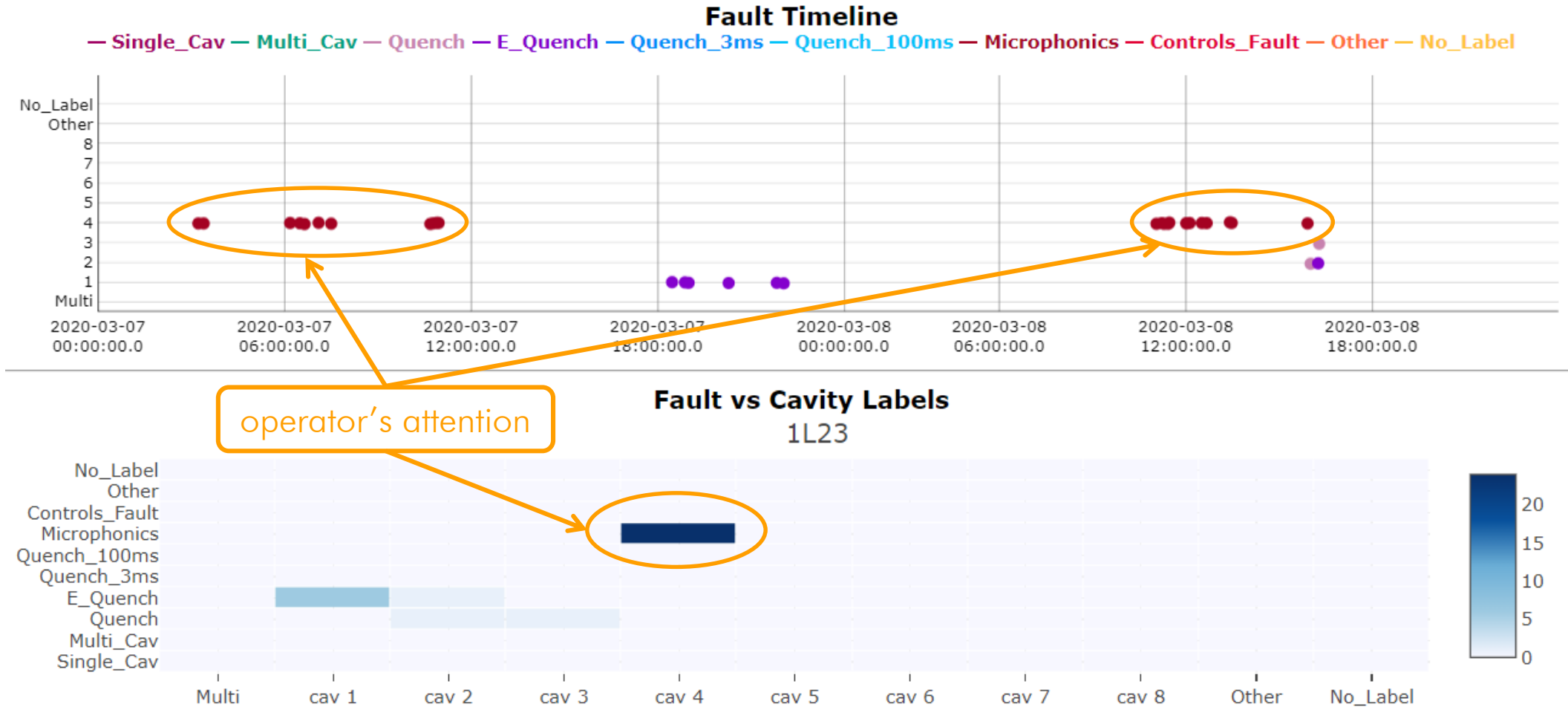
# ML Model Performance

- confusion matrices showing ML model performance



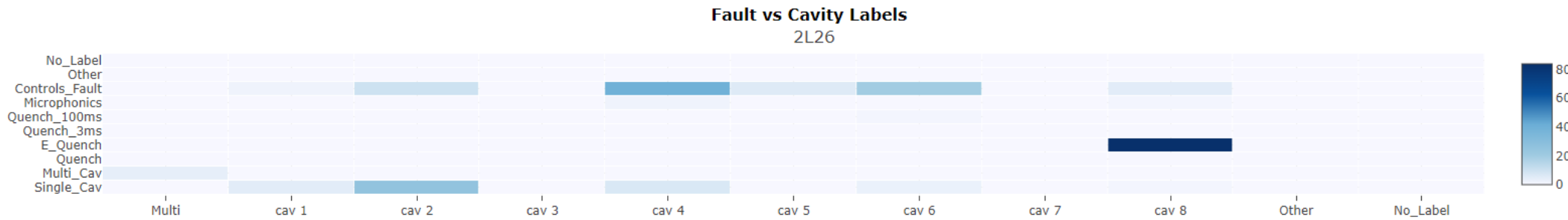
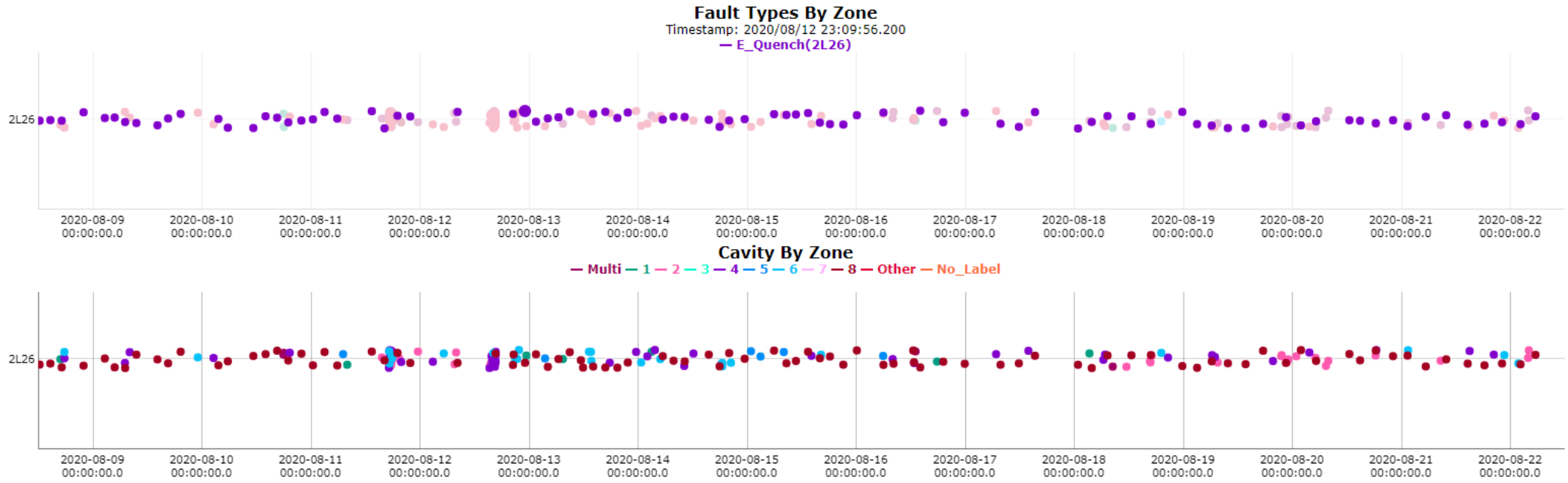
# Visualization and Communication

- for ML models to be effective, information must be communicated clearly and concisely
- visualize spatial and temporal nature of model predictions



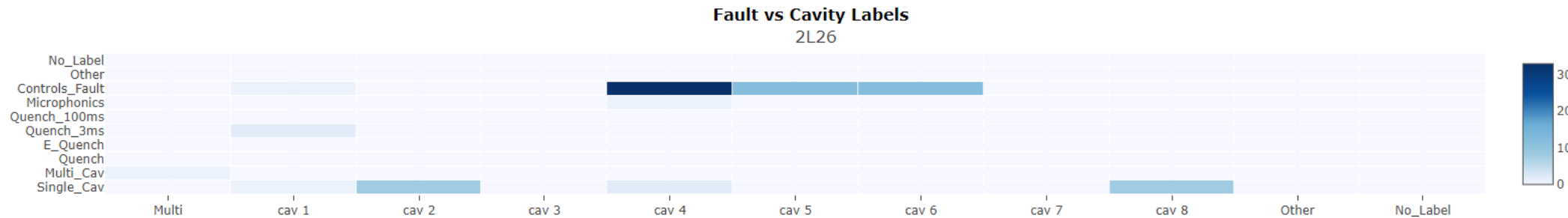
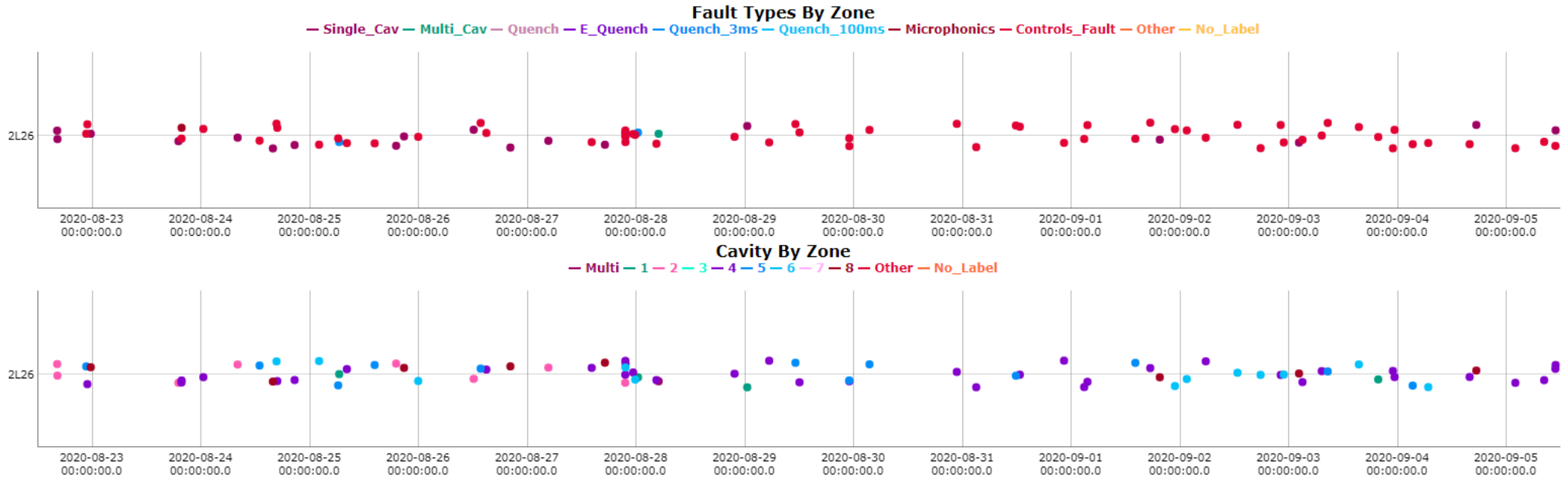
# Post-Fault: Actionable Information

- cavity 8 in cryomodule 2L26 plagued by electronic quenches



# Post-Fault: Actionable Information

- turn down gradient 9/5/2020 and faults went away completely

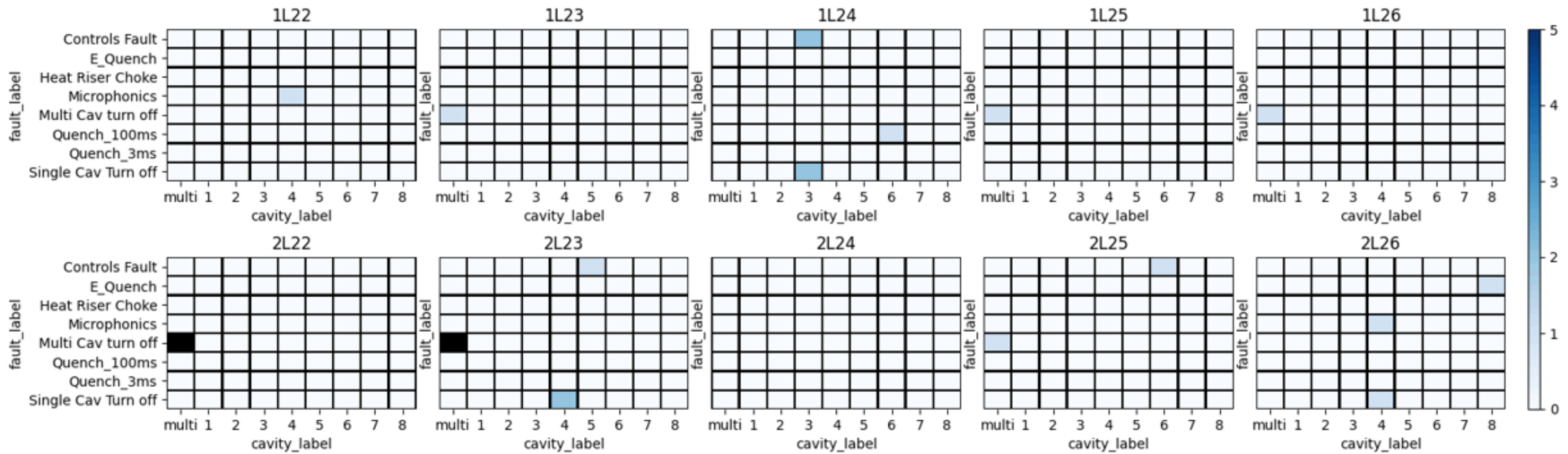




# Post-Run: Actionable Information

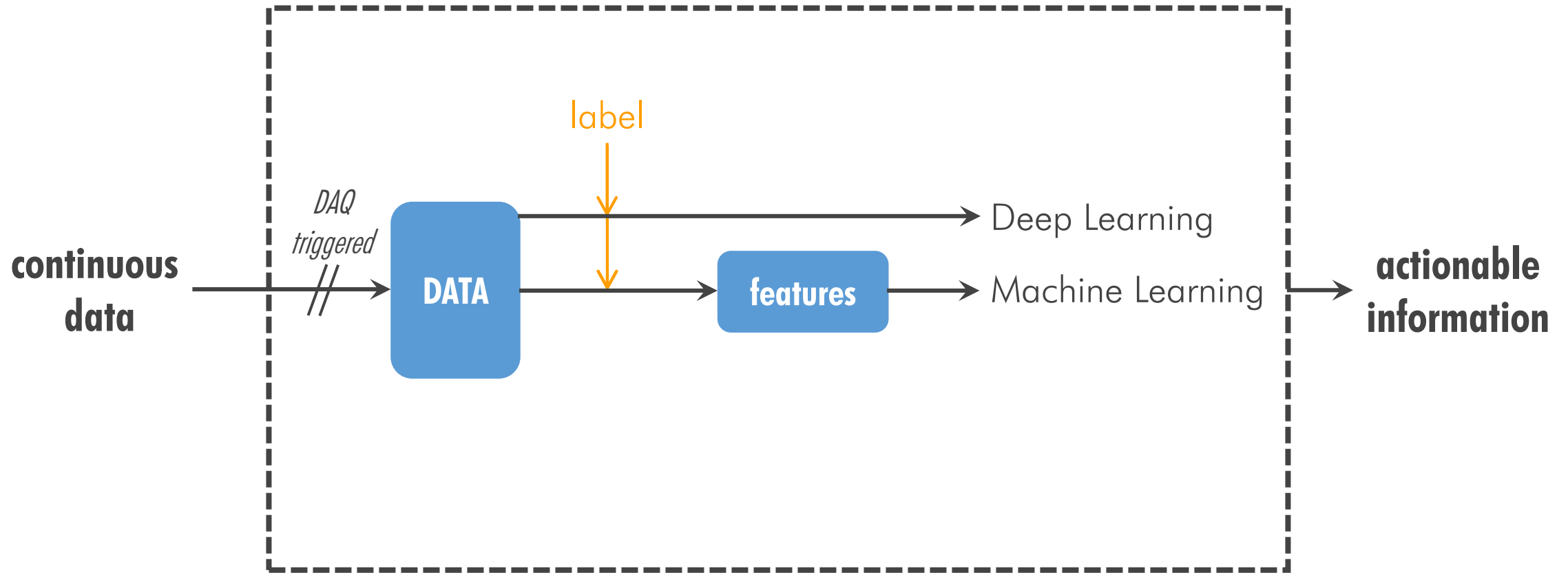
## North Linac

2020-07-13



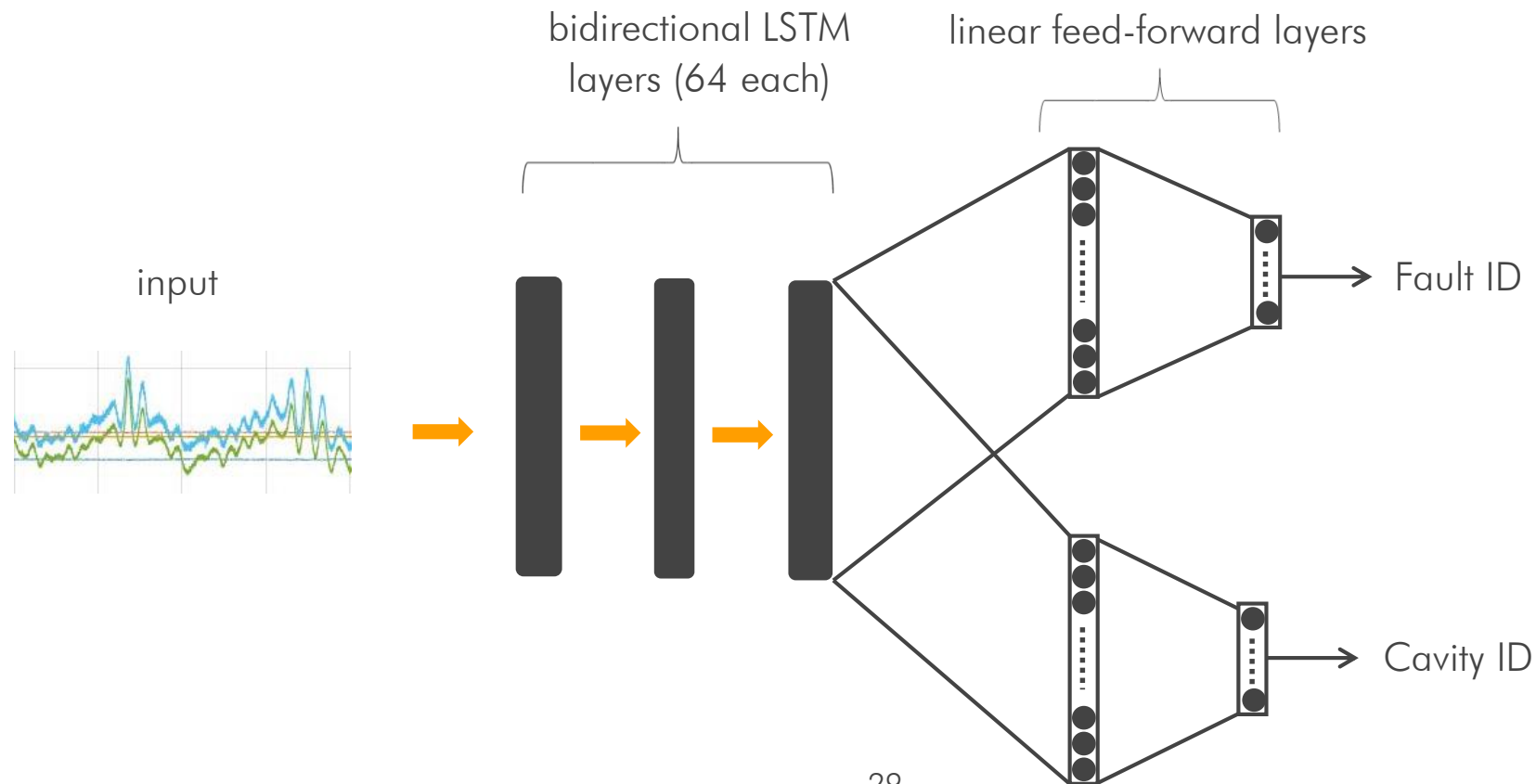
## South Linac

# Workflow



# Deep Recurrent Architecture

- bidirectional LSTM layers for temporal feature learning
- training for simultaneous classification of cavity and fault: two-branch model
- training/validation/test (60%/20%/20%) stratified sampling

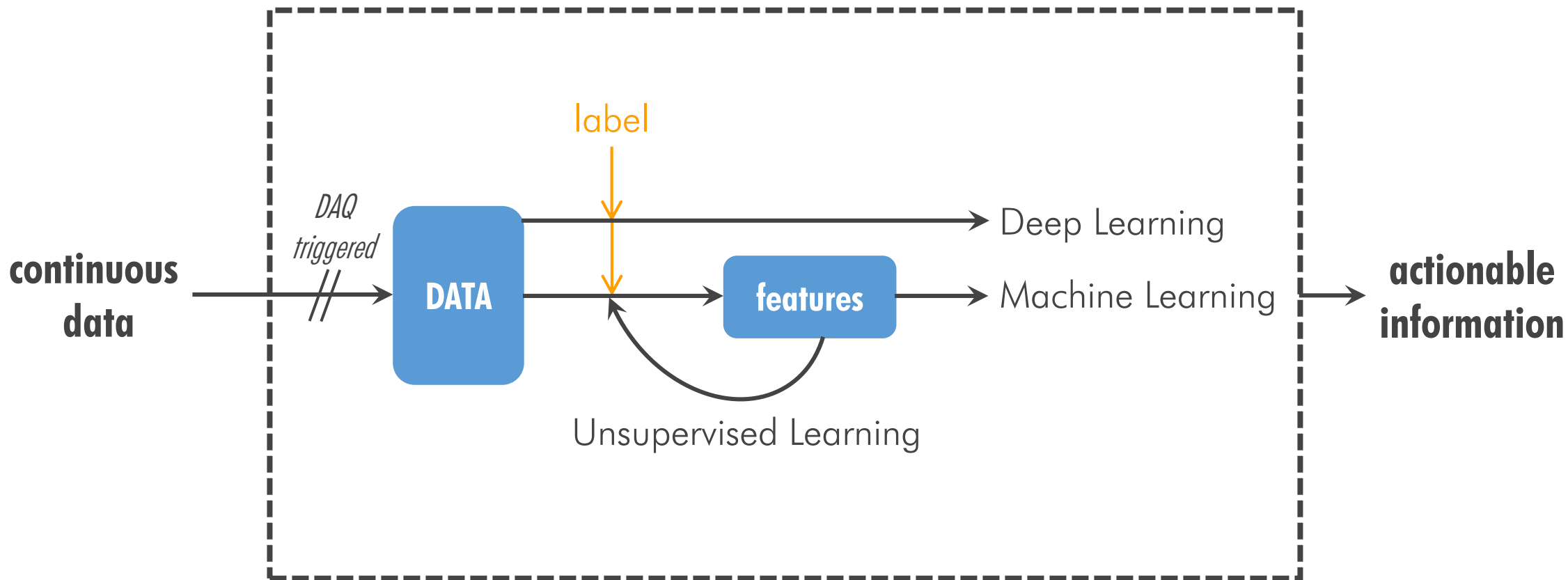


# Deep Recurrent Architecture Results

	Cavity Classification		Fault Classification	
	<i>Input Size</i>	<i>Test Accuracy (%)</i>	<i>Input Size</i>	<i>Test Accuracy (%)</i>
<b>17 waveforms/cavity</b>	136×256	86.1	136×256	82.1
<b>4 waveforms/cavity</b>	32×256	87.7	32×256	81.3

- with more data, deep learning approaches the accuracies of the machine learning models
- additionally, several convolutional neural network (CNN) architectures were investigated yielding comparable results

# Workflow



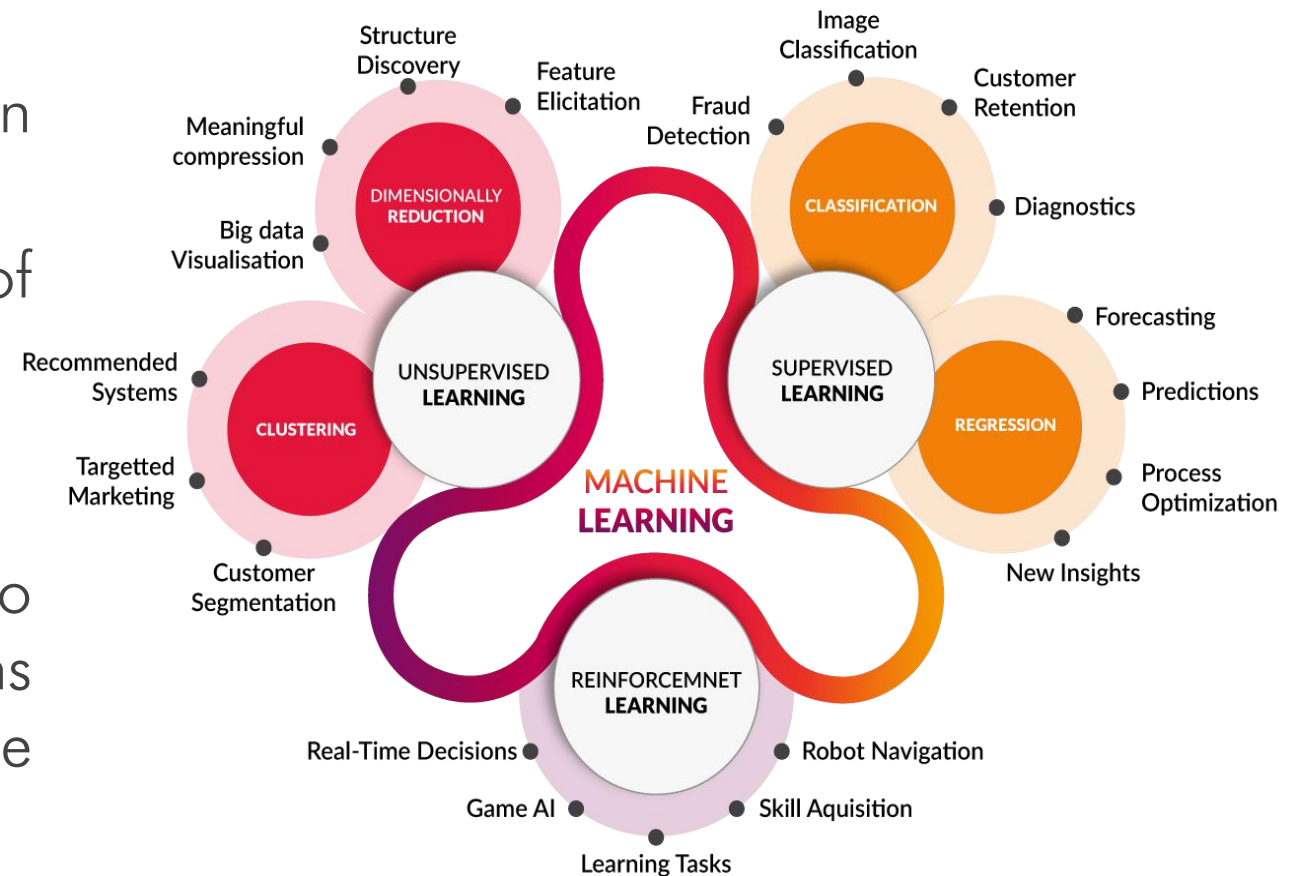
# Unsupervised Learning

## Dimensionality Reduction

- provides a means of compressing the features into a lower dimensional space
- allow for visualization of higher-dimension datasets
- speeds up training and inference time of machine learning models

## Clustering

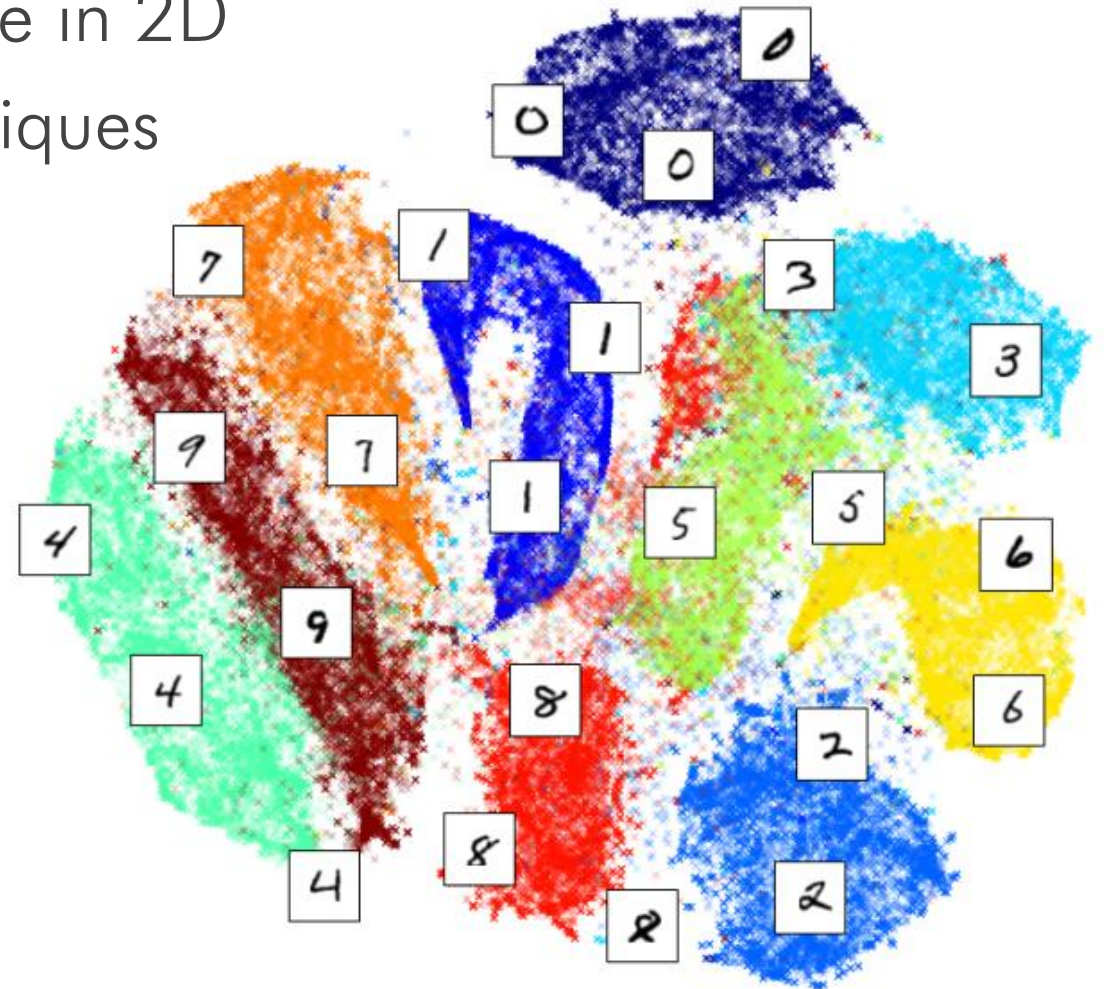
- by grouping data that are similar into clusters, underlying structure and patterns emerge that offer useful insights into the dataset



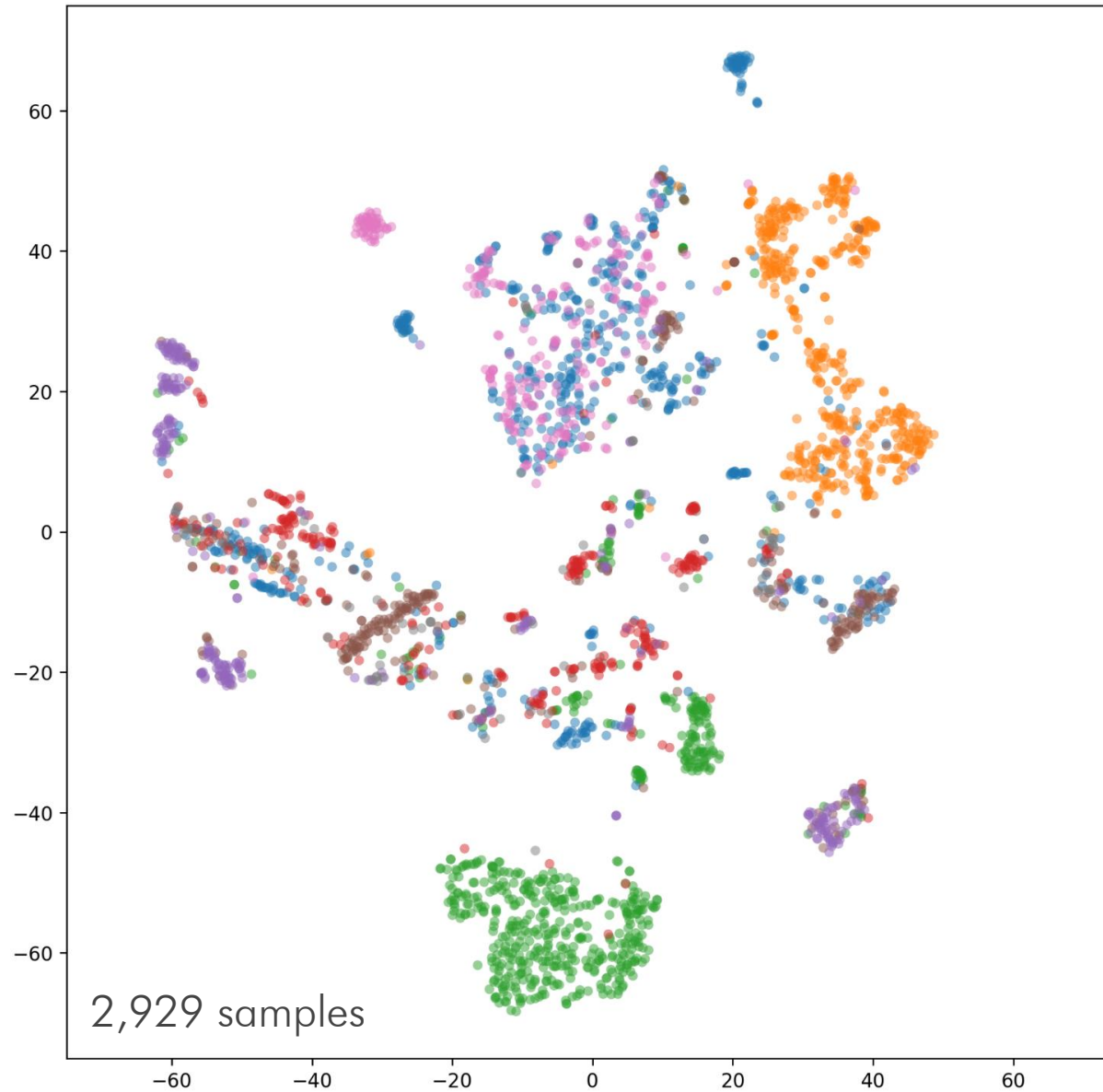


# MNIST Example

- consider familiar MNIST, handwritten digit dataset
- this is a very high dimensional space
- with dimensionality reduction can visualize in 2D
- if unlabeled, could apply clustering techniques



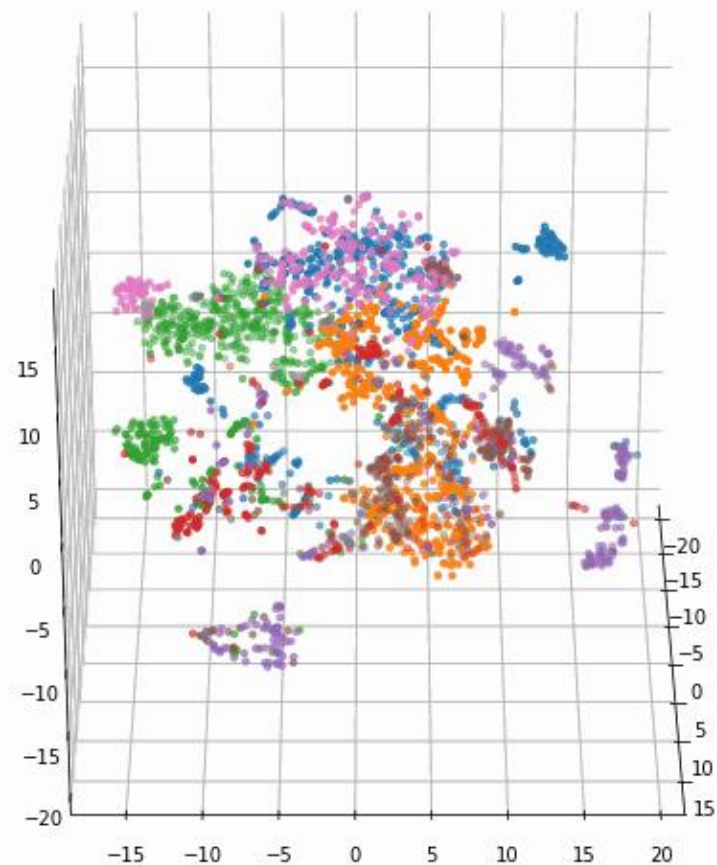
# Dimensionality Reduction: 2D



- Heat Riser Choke (636)
- Controls Fault (598)
- Electronic Quench (469)
- 3 ms Quench (330)
- Microphonics (284)
- 100 ms Quench (278)
- Single Cavity Turn-Off (270)
- Unknown (64)

# Dimensionality Reduction: 3D

- clusters are evident
- however, clusters of same fault-type are often separated

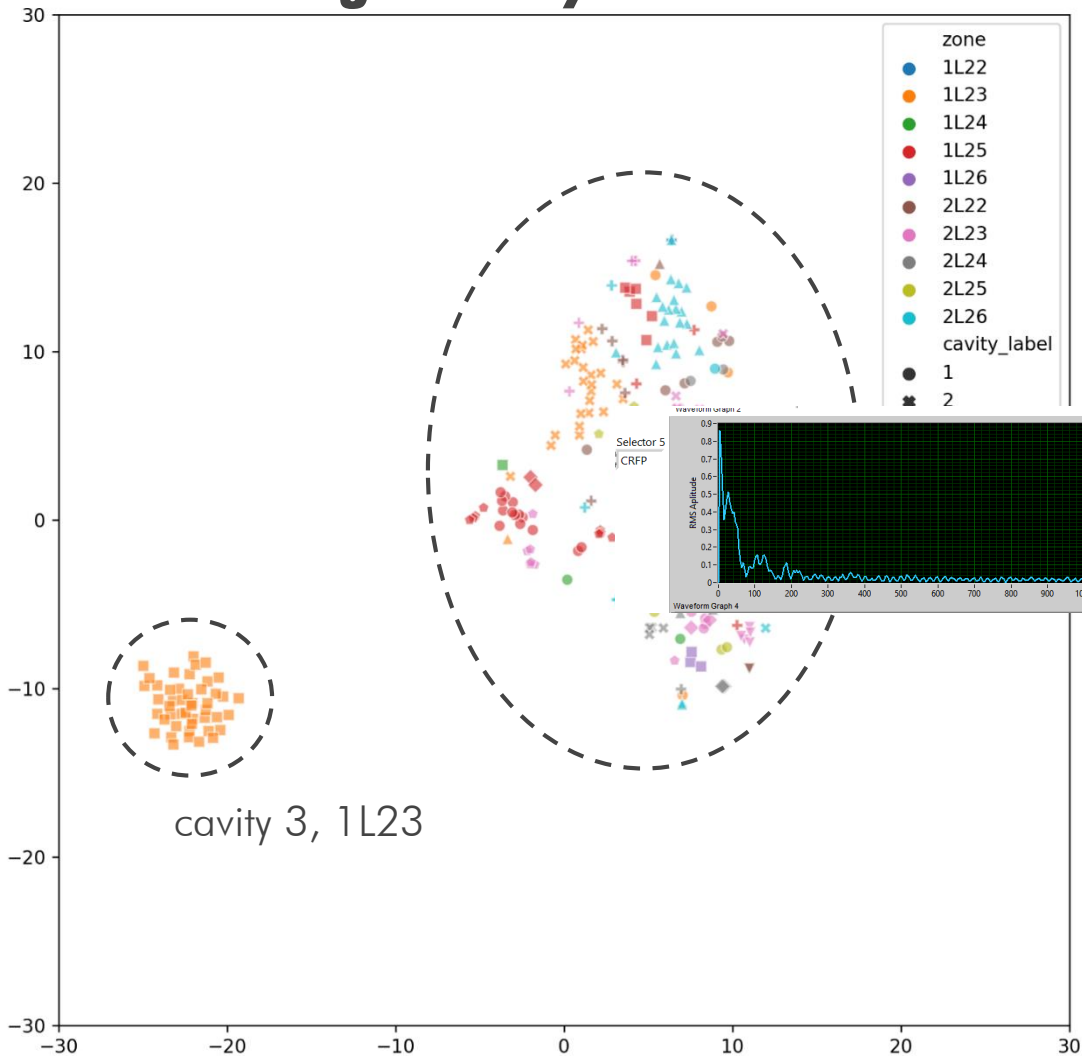


**Heat Riser Choke (636)**  
**Controls Fault (598)**  
**Electronic Quench (469)**  
**3 ms Quench (330)**  
**Microphonics (284)**  
**100 ms Quench (278)**  
**Single Cavity Turn-Off (270)**  
**Unknown (64)**

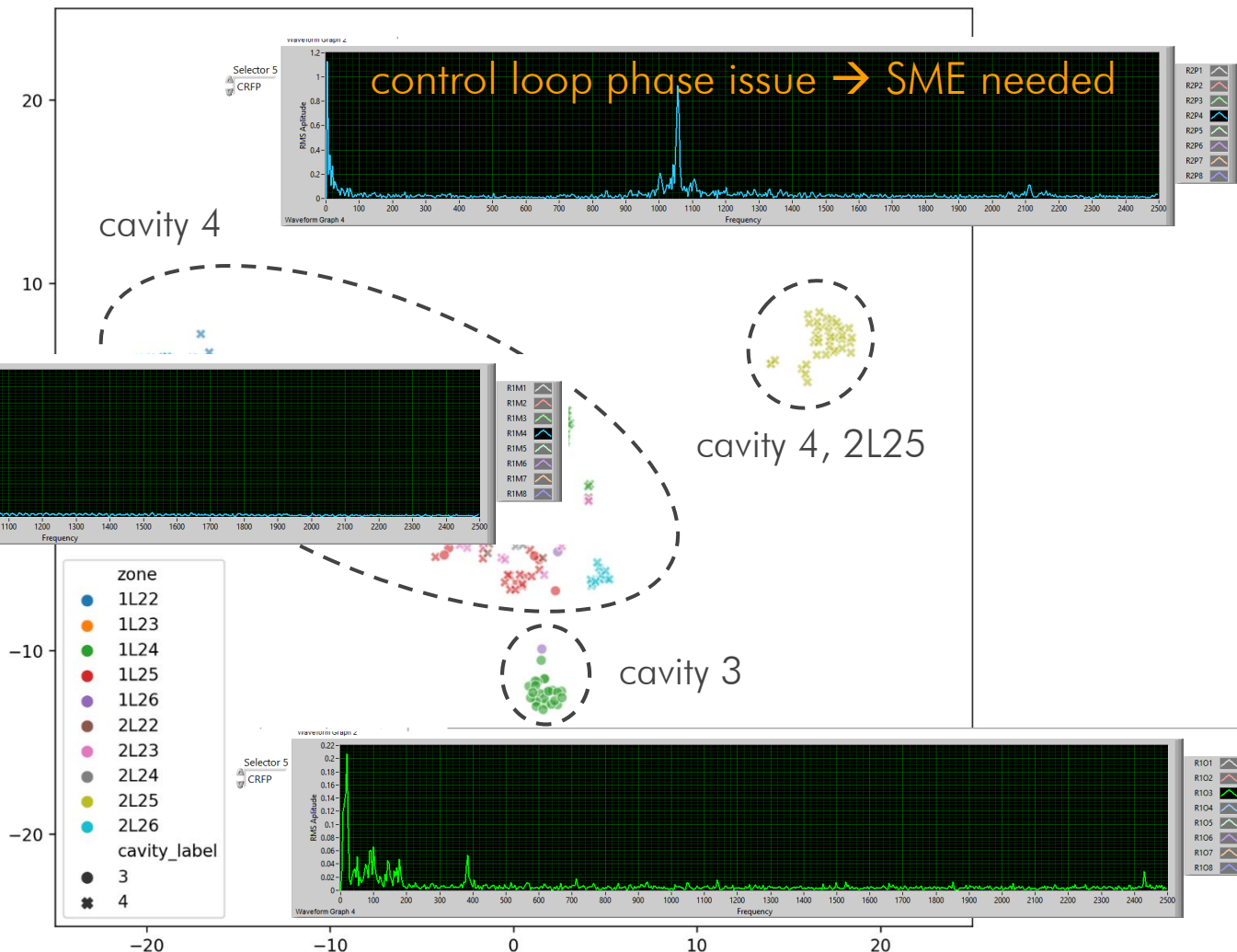
2,929 samples

# Dimensionality Reduction

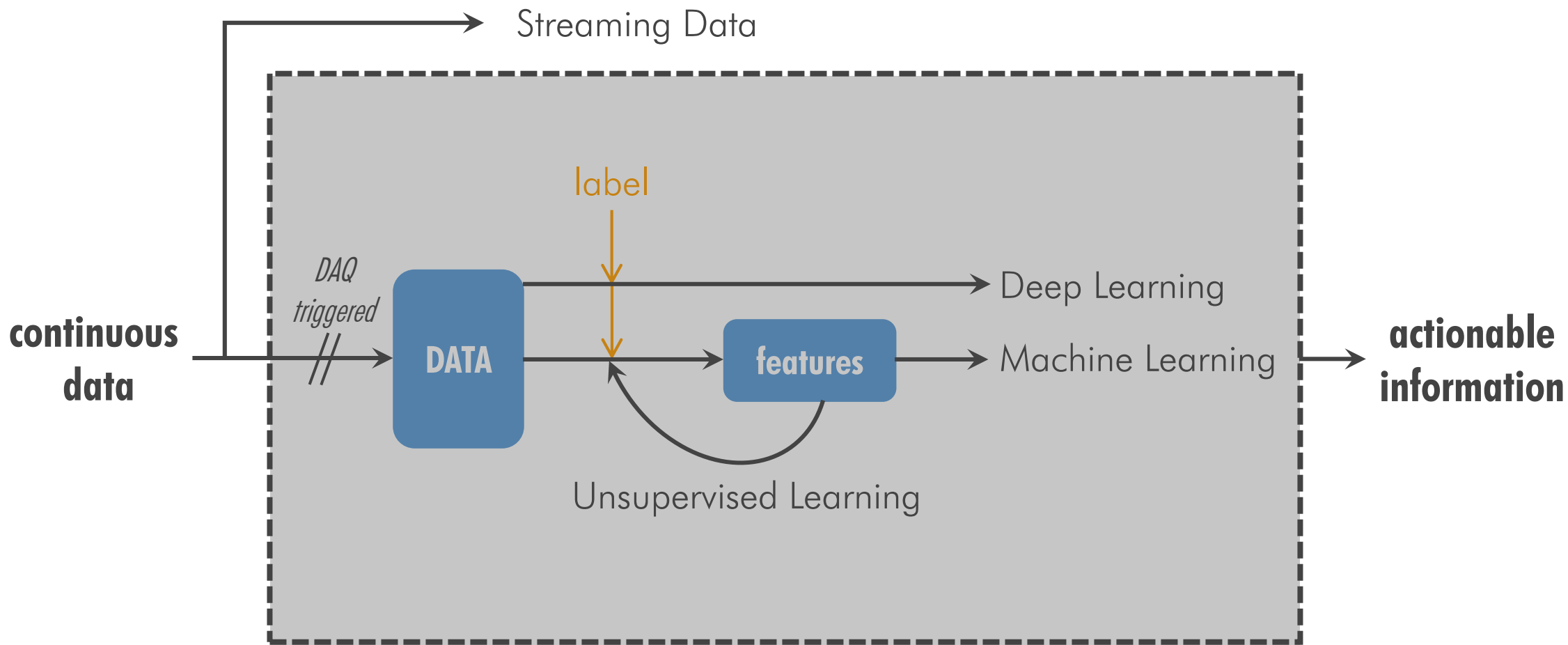
## Single Cavity Turn-off



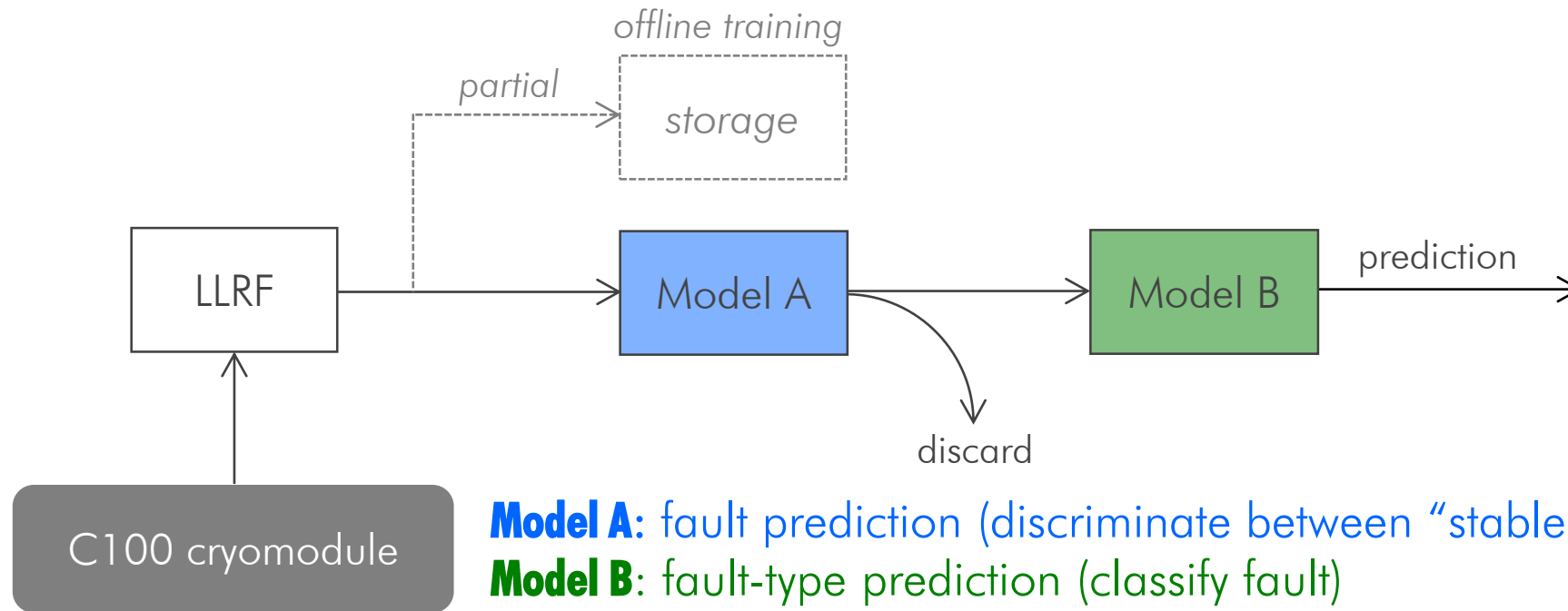
## Controls Faults in Cavities 3 and 4



# Workflow



# C100 Fault Prediction: Future



**Model A:** fault prediction (discriminate between “stable” and “impending”)

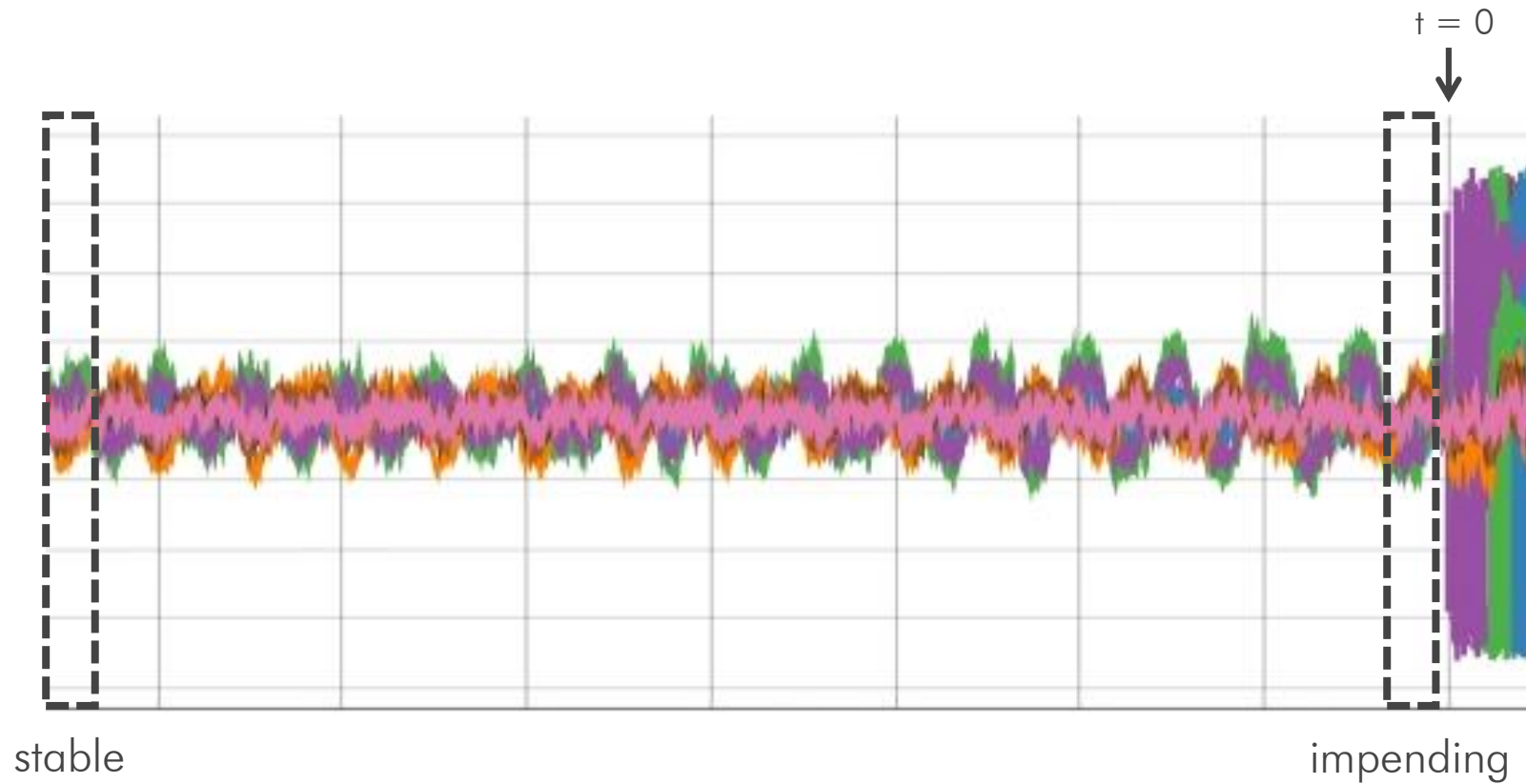
**Model B:** fault-type prediction (classify fault)

- learning from data streams requires:
  - ✓ ability to process an example, inspect it only once, after which the data is discarded
  - ✓ using a limited amount of memory
  - ✓ the ability of models to predict at any point

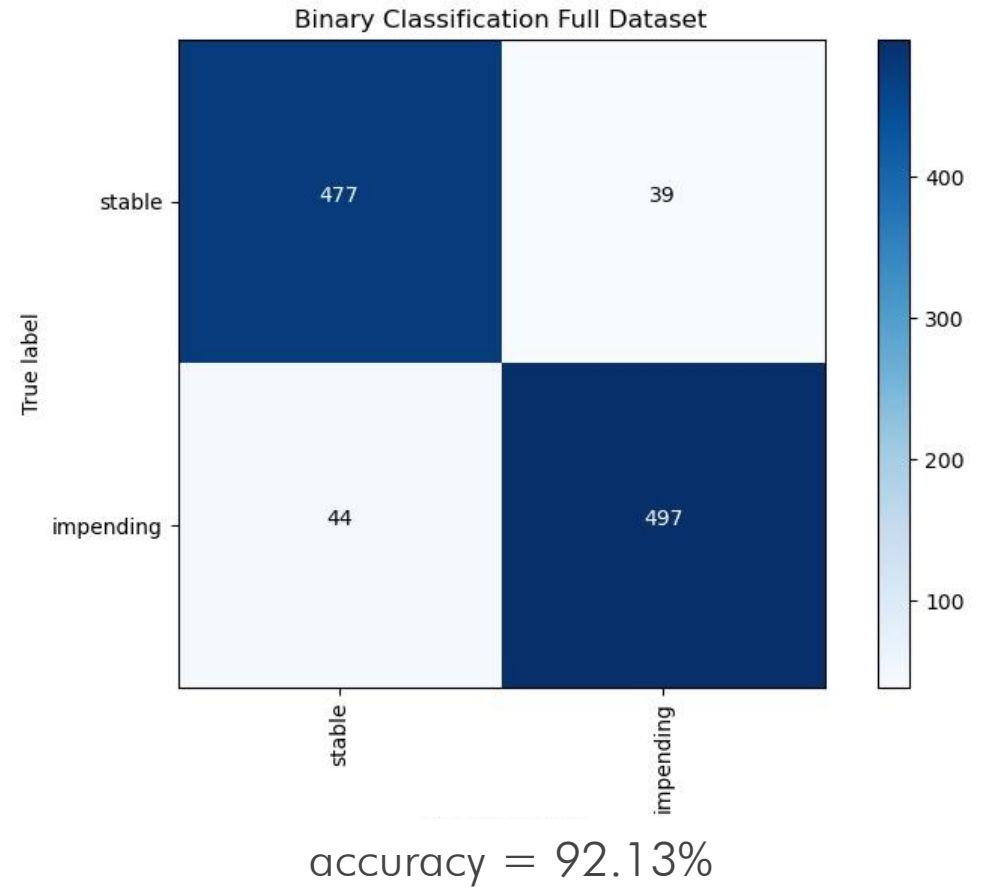
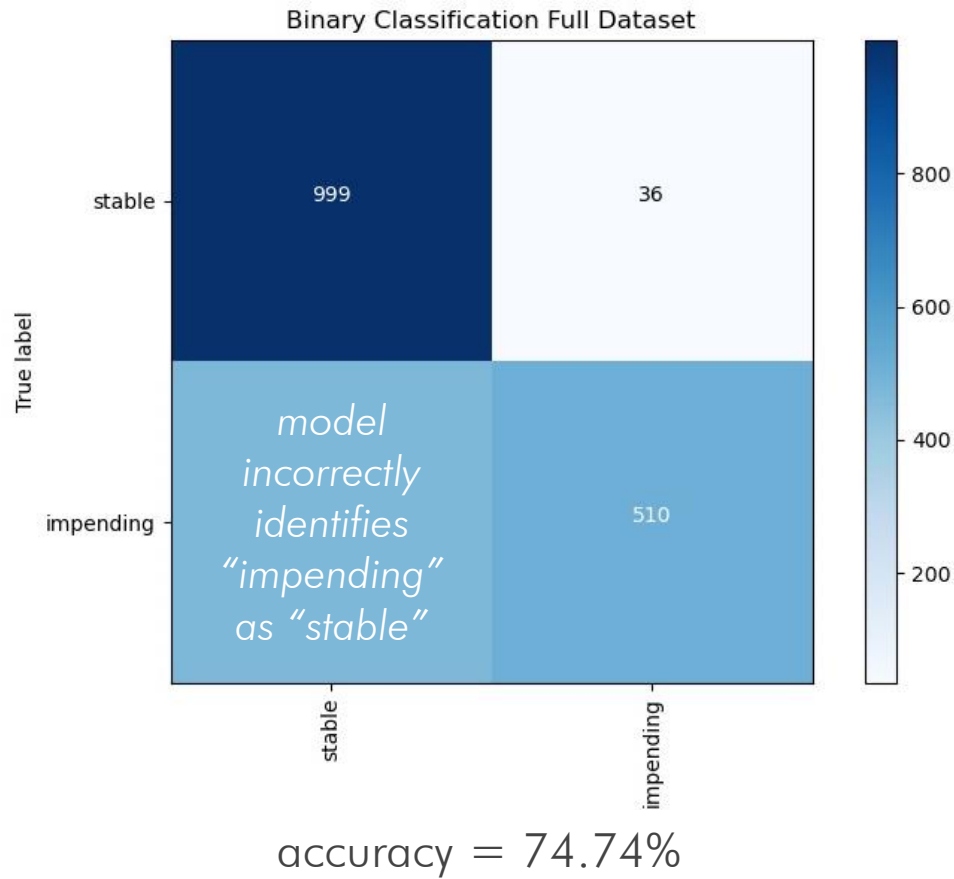


# From Isolation and Identification to Prediction

- initial step: discriminate between “stable” and “impending” fault conditions
  - ✓ use saved waveforms



# Initial Step: Binary Classifier



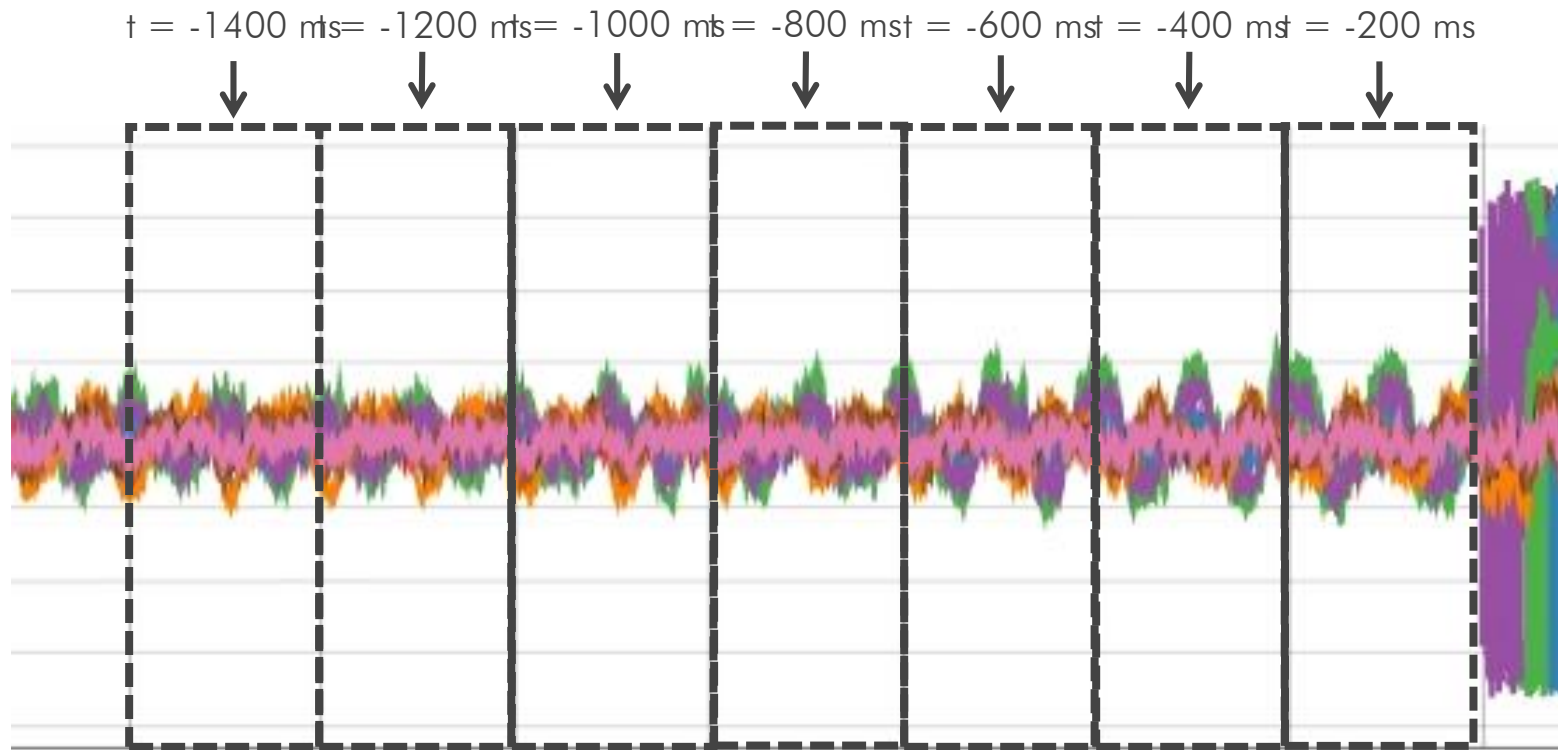
- remove fault types which do not show any precursors



	Precision	Recall	f1-score	Support
Stable	0.9155	0.9244	0.9199	516
Impending	0.9272	0.9186	0.9229	541
Accuracy	0.9213			

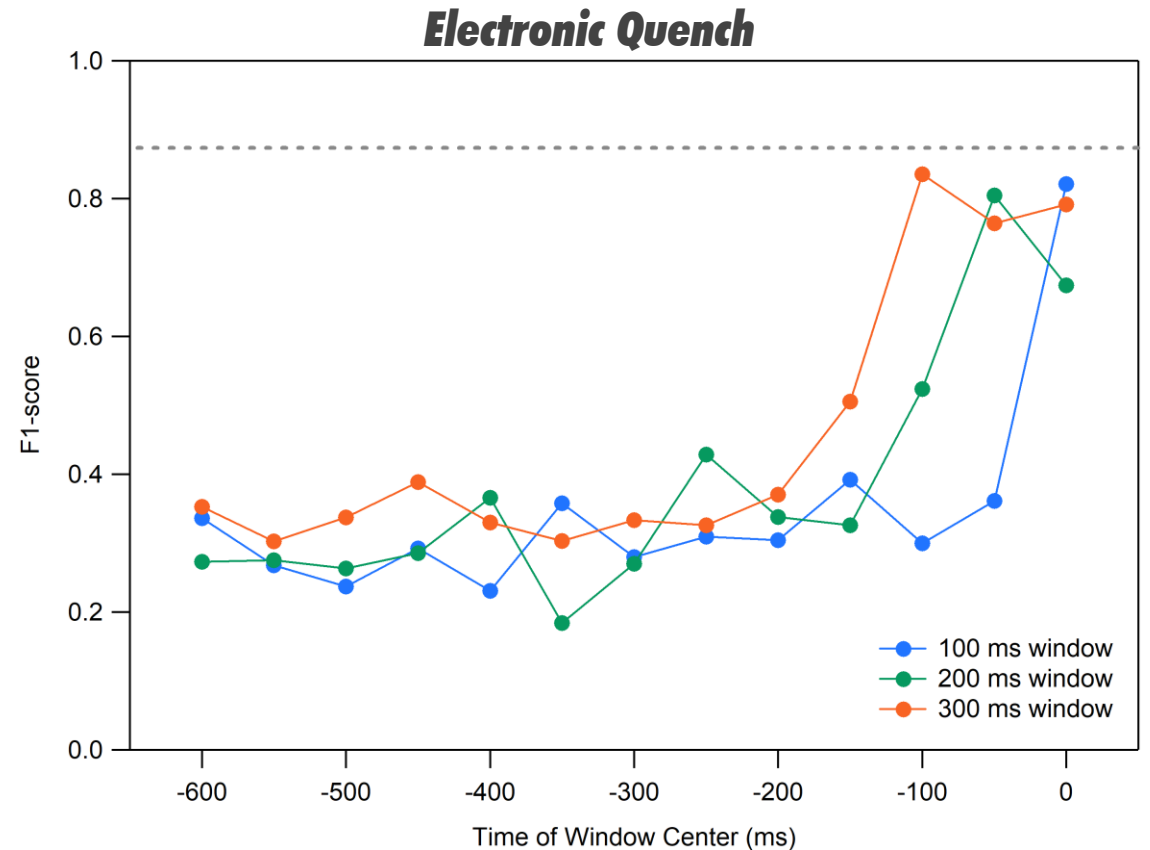
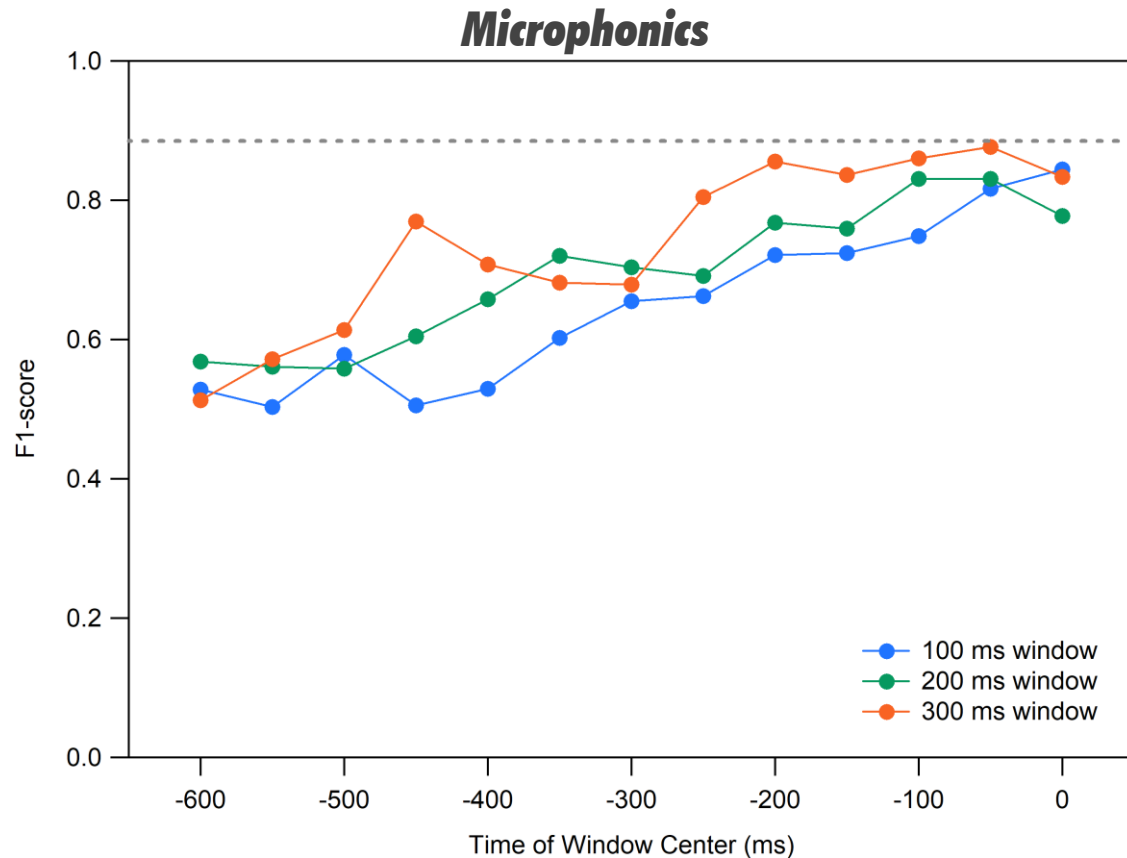
# Intermediate Step: Sliding Window

- can data prior to event accurately predict the fault type?
  - ✓ use saved waveforms



# Intermediate Step: Sliding Window

- initial results suggests that for some fault types, prediction is possible



- motivates continued study

✓ what kind of targeted mitigations could be implemented in those time-scales?

# Data: Fueling AI

**Detection** → **Isolation** → **Identification** → **Prediction**

*beam off*

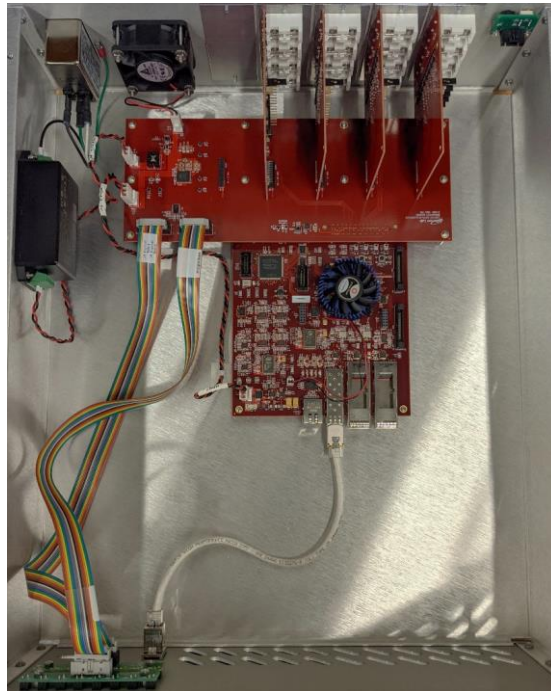
*high sample frequency "snapshots"*

*high sample frequency  
streaming data*

- commensurate increase in data fidelity required

SRF cavity instability  
detection in legacy  
cryomodules

*prototype DAQ for legacy  
CEBAF cryomodules*



field emission  
management

*JLab designed  
radiation detector*

# Cavity Instability Detection

## **Problem**

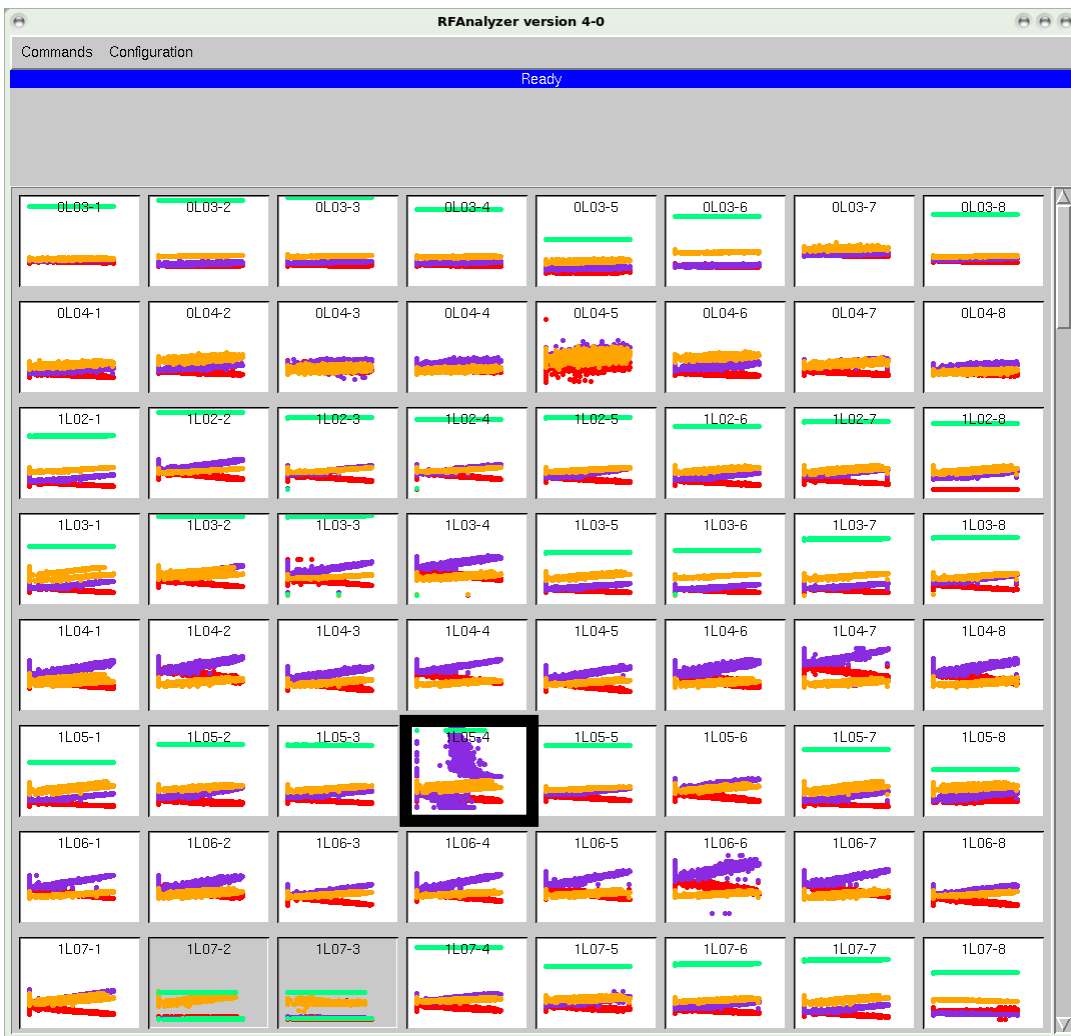
- ✓ SRF cavities can become unstable without presenting faults
- ✓ cavity instability causes beam energy instability, which can lead to beam loss and limited availability of beam for experiments
- ✓ identifying an unstable SRF cavity with the present diagnostics at CEBAF is difficult and time-consuming
  - *present diagnostics for the legacy cavities are not fast enough to record fast transient instabilities*

## **Solution**

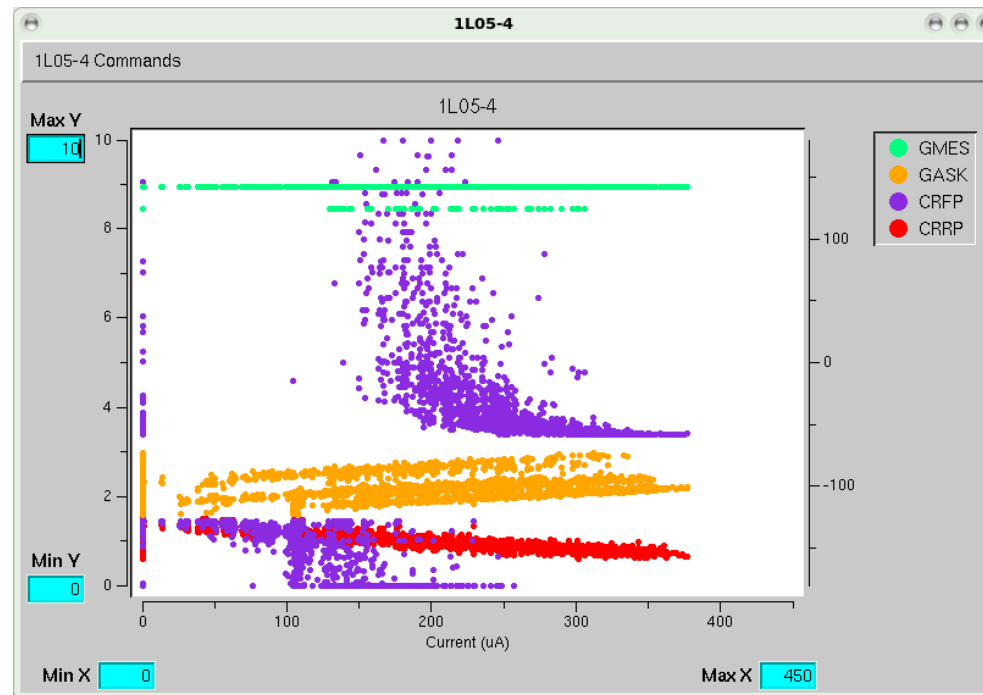
- ✓ develop and install a new fast DAQ system for the legacy SRF cavities
- ✓ apply AI to the data acquired by the new DAQ to identify unstable cavities
- ✓ the goal is to quickly identify misbehaving cavities and therefore improve beam quality and availability



# Cavity Instability Detection



RF Analyzer Tool



- note, this represents an obvious example
- not all instances are so easily detectable by an operator

# Field Emission Management

- Goal:

Maintain low levels of field emitted (FE) radiation without invasive interruptions to physics and prevent damage to beamline components

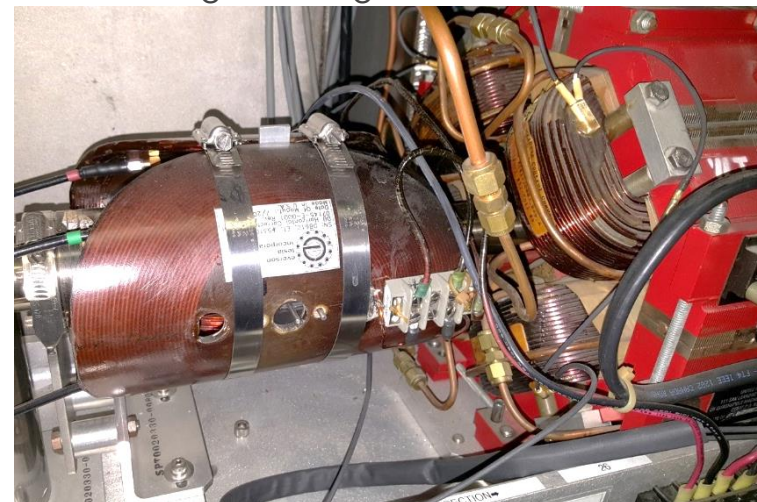
- Description:

Use machine learning models – trained on data acquired with newly installed radiation monitors – to model radiation levels, identify cavities that are the source of excessive FE and/or cavities where field emission onsets have changed

*radiation area*



*damaged beamline valve*   *damaged magnet and cables*



# Field Emission Management

## Problem

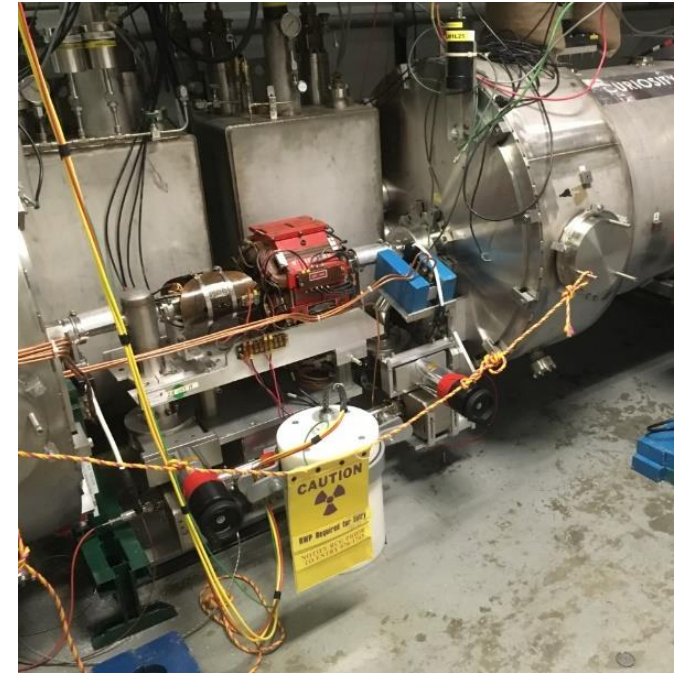
- ✓ field emission is a notorious problem resulting component damage, trips, activation, etc.
- ✓ a single cavity produces field emitted electrons with a non-linear response to gradient above a threshold (FE onset)
  - *these may change over time due to various factors*
- ✓ FE electrons can have complicated interactions with neighboring cavities and/or cryomodules and can be transported substantial distances up or downstream

## Solution

- ✓ use machine learning models to help manage this radiation problem non-invasively
  - *can we model radiation levels given an RF configuration (GSETs, etc.)?*
  - *can we identify cavities that are the source of lots FE-related radiation?*
  - *can we identify cavities with changed radiation onset thresholds?*
  - *can we identify new field emitters and localize them in a linac?*

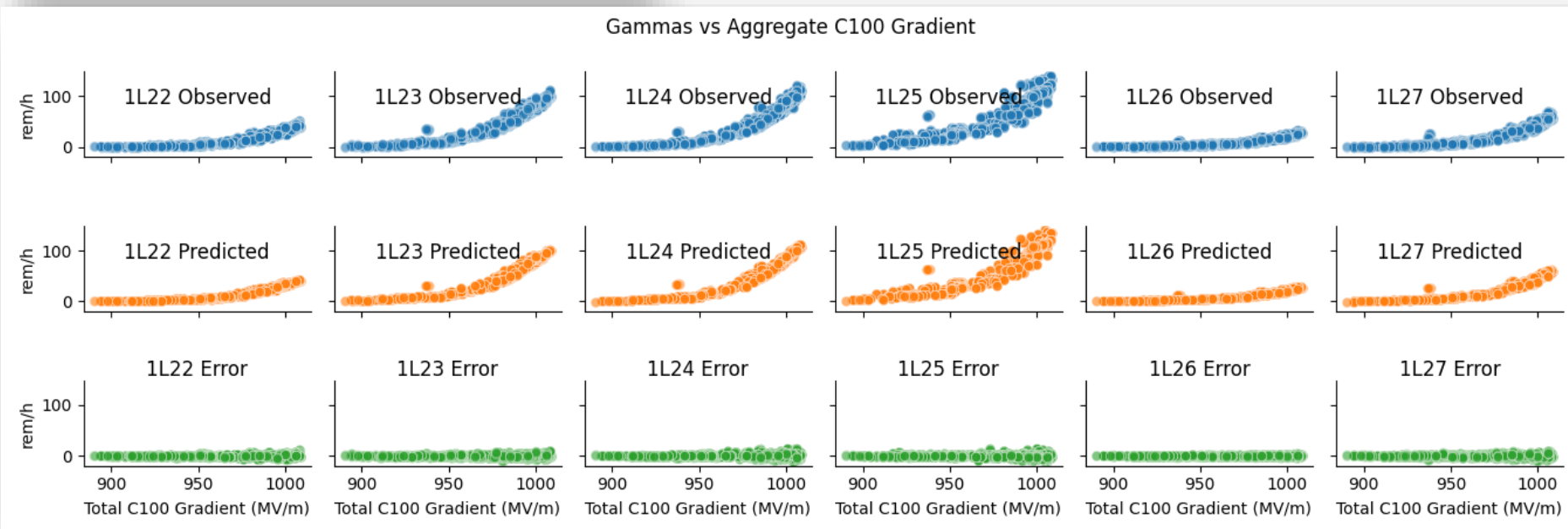
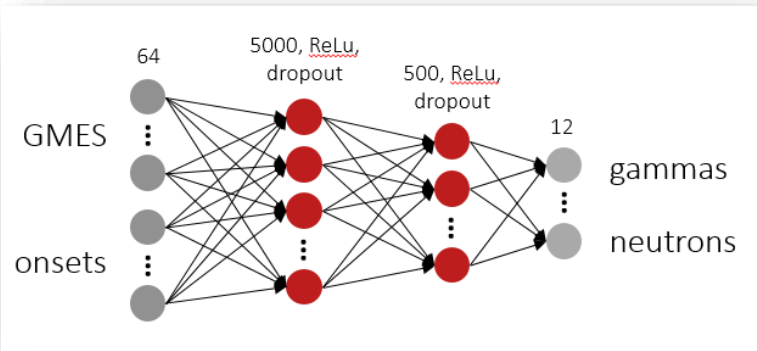
# Field Emission Management: Data Requirements

- Jefferson Lab designed, installed, and commissioned a new neutron and gamma radiation detection system focused on FE radiation
  - ✓ operational August 2021
  - ✓ measure neutron dose rates correctly in the presence of photon radiation
  - ✓ detectors are “blind” to low energy photons and electrons
  - ✓ integrated into EPICS with signals for gamma and neutron dose rates
  - ✓ wide dynamic range
  - ✓ currently have 21 detectors installed



# Deep Learning Model

- develop deep learning models that *do not rely on feature engineering*
  - ✓ getting similar performance as ML model





# Summary

- detecting, localizing (isolation) and classifying (identification) represent areas ripe for AI/ML application
- the transition to fault prediction represents an ultimate goal
- cannot overemphasize the importance of access to information-rich data
  - ✓ higher fidelity data is needed as you move along the spectrum from detection to isolation to identification to prediction
  - ✓ to achieve good performance, in addition to higher fidelity data, may also need additional and/or different data

# *Thank You.*



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